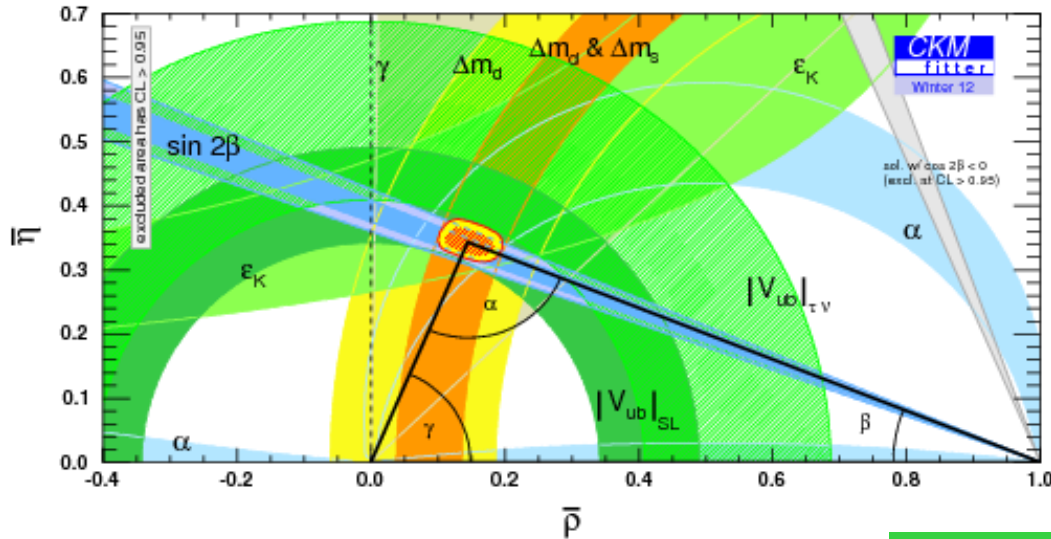


# Recent Results of D semi-leptonic Decays

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(BESIII Collaboration)

Charm 2012 Conference

# Charm's Role in the Big Picture



Flavor Physics:

- \* Over-constrain CKM matrix
- \* Search for New Physics

Difficulties:

- \* Mixing is not theoretically clean
- \*  $V_{ub}$  is not theoretically clean

Example:  $V_{ub}$  from  $B \rightarrow \pi l \nu$

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

Latest result:

$$V_{ub} \times 10^3 = 3.92 \pm 0.09(\text{exp}) \pm 0.45(\text{theory})$$

- \* Needs inputs from Lattice QCD
- \* Charm physics provides perfect calibration

# Why Semi-leptonic D decays

- Large branching fraction, theoretically tractable, experimentally accessible
- $P \rightarrow P$  transition
  - Measure CKM elements
  - Validate LQCD
- $P \rightarrow V$  transition
  - More factors
  - No unquenched calculations existed
- Rare / forbidden modes
  - New physics, new interactions

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{cx}|^2 p_X^3 |f_+(q^2)|^2$$

# Experiment Results

- Exclusive  $D/D_s$  decays
  - \*  $P \rightarrow P | \nu$  :
    - $D \rightarrow K/\pi e \nu$  (BF, form factor)
      - Results from FOCUS, Belle, Barbar, CLEOc
      - Results from BESIII (**brand new**)
  - \*  $P \rightarrow V | \nu$  :
    - $D^+ \rightarrow K \pi e \nu$  (**new**)
    - $D/D^+ \rightarrow \rho e \nu$  (**new**)
  - \* rare decay /search
    - $D^+ \rightarrow \eta/\eta'/\phi e \nu$
    - $D_s \rightarrow \omega e \nu$  (**new**)
- Inclusive  $D/D_s$  Decays

# $D^0 \rightarrow K e \nu \text{ \& \ } \pi e \nu$

- BESIII,  $\sim 2.93 \text{ fb}^{-1}$  data taken at  $\psi(3770)$ ,  $\sim 923 \text{ pb}^{-1}$  analyzed (by two groups, partially blind analysis)
- Double tag technique ,  
tag side: fully reconstructed hadronic modes  
signal side: missing neutrino inferred

$$U = E_{\text{miss}} - c \left| \vec{P}_{\text{miss}} \right| \approx 0$$

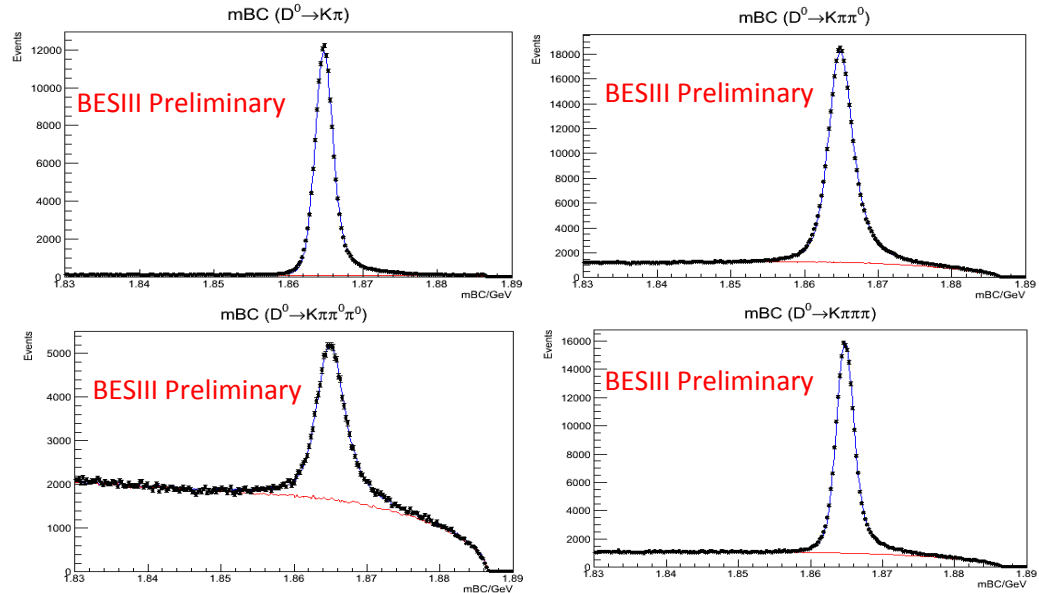
- Simple differential decay rate function (massless lepton assumed)

$$\frac{\Delta\Gamma(D \rightarrow \pi(K)e\nu)}{dq^2} = \frac{G_F^2 |V_{cd(s)}|^2}{24\pi^3} p^3 |f_+(q^2)|^2$$

# Tag Mode Reconstruction

- Four tag modes picked
- Best tag mode based minimum  $\Delta E$

$$\Delta E \equiv E - E_{beam}$$

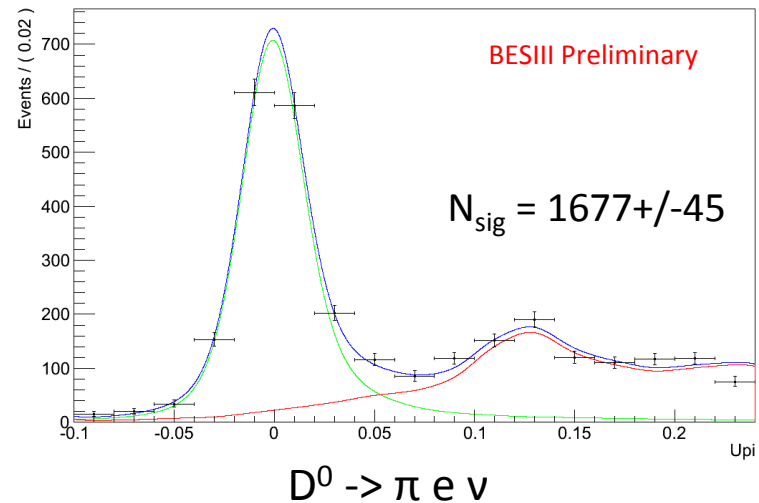
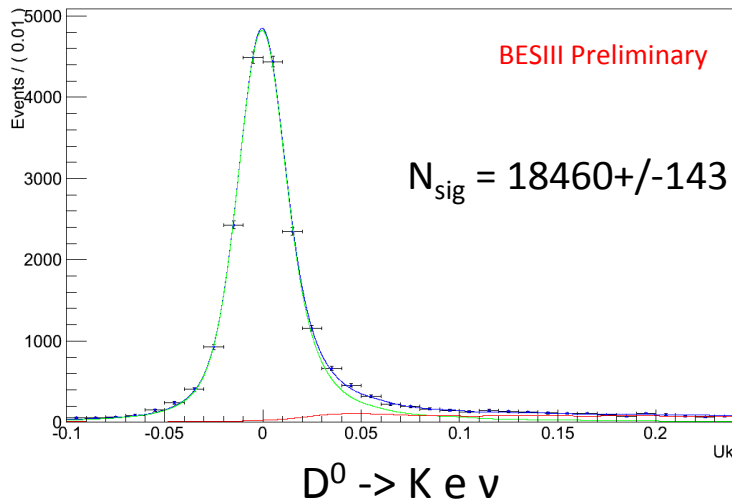


BESIII Preliminary

Mode	Data Yield	Fraction of All Tags (%)	Tag Efficiency(%)
$D^0 \rightarrow K^- \pi^+$	$159,929 \pm 413$	20.7	$62.08 \pm 0.07$
$D^0 \rightarrow K^- \pi^+ \pi^0$	$323,348 \pm 667$	41.8	$33.56 \pm 0.03$
$D^0 \rightarrow K^- \pi^+ \pi^0 \pi^0$	$78,467 \pm 480$	10.1	$14.93 \pm 0.04$
$D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$	$211,910 \pm 550$	27.4	$36.80 \pm 0.04$

# Signal Selection

- Two good oppositely-charged tracks
- Kaon/pion and electron PID requirements
- Electron has same charge as the tag side K
- Veto if any unmatched EMC shower is  $> 250\text{MeV}$  (some background has extra  $\pi^0$ )



# Branching Fraction Results

$$\begin{aligned}
 N_{tag}^{obs} &= 2N_{D\bar{D}} B_{tag} \epsilon_{tag} \\
 N_{sig}^{obs} &= 2N_{D\bar{D}} B_{tag} B_{sig} \epsilon_{tag,sig}
 \end{aligned}
 \longrightarrow
 B_{sig} = \frac{N_{sig}^{obs}}{\sum_{\alpha} N_{tag}^{obs,\alpha} \epsilon_{sig}^{\alpha} / \epsilon_{tag,sig}^{\alpha}}$$

BESIII Preliminary

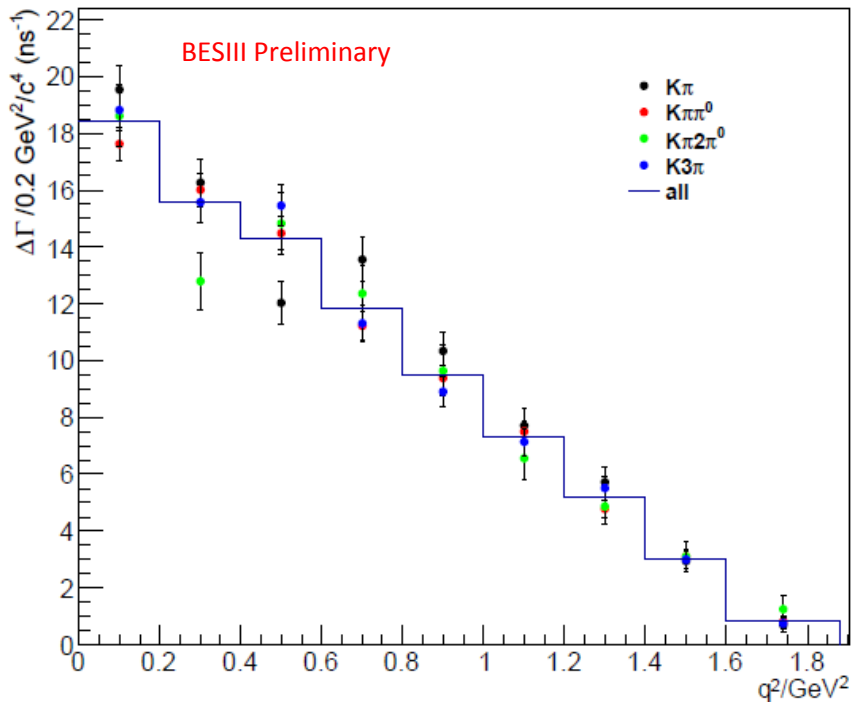
Mode	measured branching fraction(%)	PDG	CLEOc
$\bar{D}^0 \rightarrow K^+ e^- \bar{\nu}$	$3.542 \pm 0.030 \pm 0.067$	$3.55 \pm 0.04$	$3.50 \pm 0.03 \pm 0.04$
$\bar{D}^0 \rightarrow \pi^+ e^- \bar{\nu}$	$0.288 \pm 0.008 \pm 0.005$	$0.289 \pm 0.008$	$0.288 \pm 0.008 \pm 0.003$

- \* Systematics are preliminary
- \* Will improve using full (3x) data set in the near future

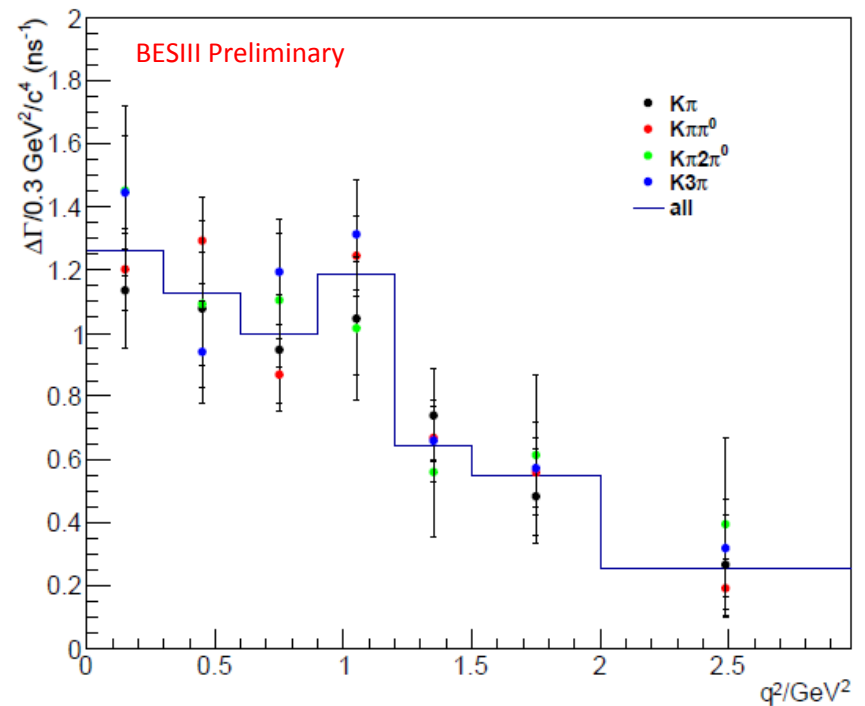


# Partial Decay Rates Results

- Measured in each  $q^2$  bin, by fitting U distribution
- Compare results from each tag mode



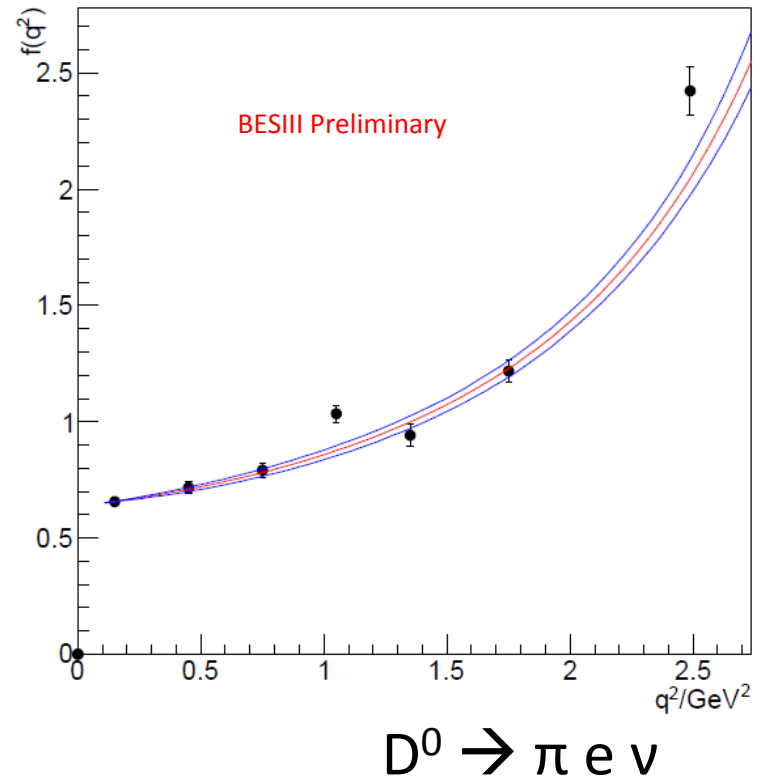
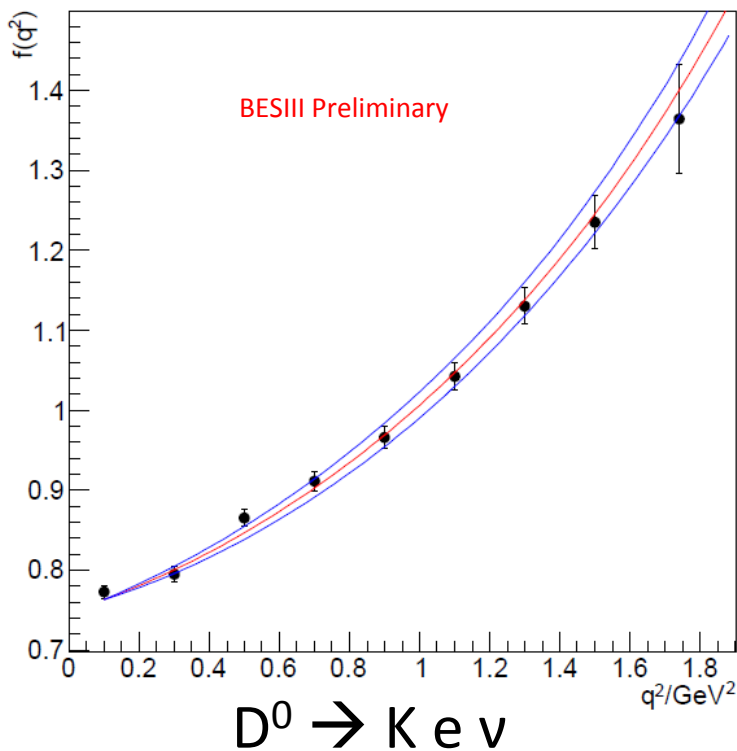
$D^0 \rightarrow K e \nu$



$D^0 \rightarrow \pi e \nu$

# $f(q^2)$ Results

- Points: data with stat. error only
- Curves: from Fermilab-MILC within one stat. error, preliminary, [arXiv:1111.5471](https://arxiv.org/abs/1111.5471) (XXIX International Symposium on Lattice Field Theory);
- Other theoretical work: HPQCD, [arXiv:1111.0225](https://arxiv.org/abs/1111.0225)
- Comparing shape only here ( $f_+(0)$  not known)



# Form Factor Parameterization

Fit to partial decay rates  $\Delta\Gamma$

Simple pole model:

$$f_+(q^2) = \frac{f_+(0)}{1 - q^2/m_{pole}^2}$$

Modified pole model:  
Becirevic and Kaidalov  
PLB 478, 417 (2000)

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{pole}^2}\right) \left(1 - \alpha \frac{q^2}{m_{pole}^2}\right)}$$

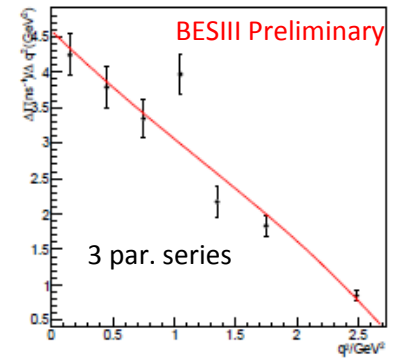
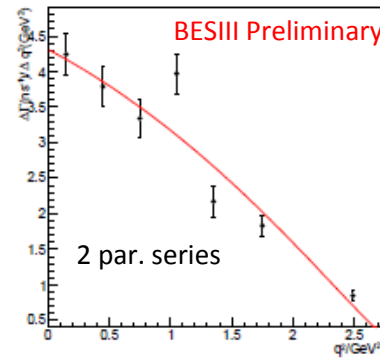
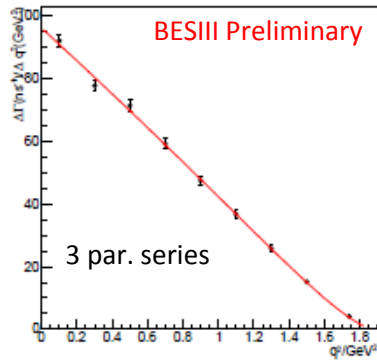
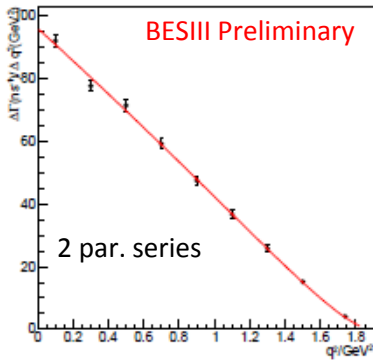
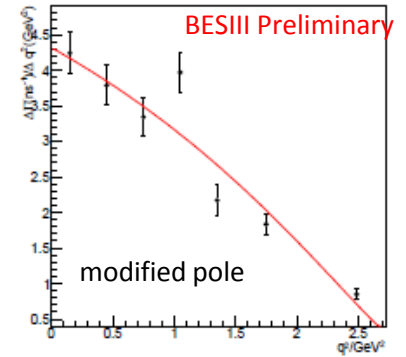
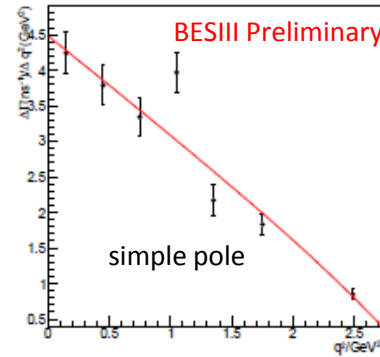
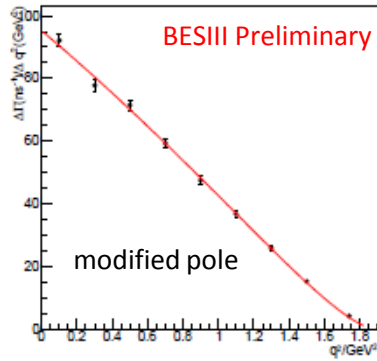
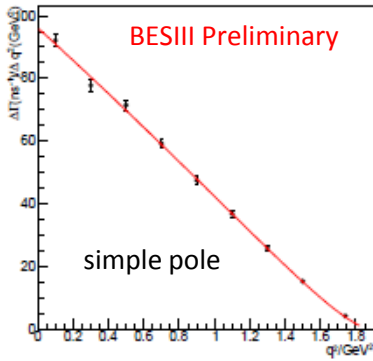
Series expansion:  
Becher and Hill  
PLB 633, 61 (2006)

$$f_+(q^2) = \frac{1}{P(q^2) \phi(q^2, t_0)} \sum_{k=0}^{\infty} a_k(t_0) [z(q^2, t_0)]^k$$

Could fit:  $f_+(0)$ ,  $r_1 = a_2/a_1$ ,  $r_2 = a_3/a_1$

# Form Factor Fits

$$\chi^2 = \sum_{i,j=1}^n (\Delta\Gamma_i - g(q^2)_i) C_{ij}^{-1} (\Delta\Gamma_j - g(q^2)_j)$$



$D^0 \rightarrow K e \nu$

$D^0 \rightarrow \pi e \nu$

# Form Factor Results

BESIII Preliminary

Simple Pole	$f_+(0) V_{cd(s)} $	$m_{pole}$	
$D^0 \rightarrow Ke\nu$	$0.729 \pm 0.005 \pm 0.007$	$1.943 \pm 0.025 \pm 0.003$	
$D^0 \rightarrow \pi e\nu$	$0.142 \pm 0.003 \pm 0.001$	$1.876 \pm 0.023 \pm 0.004$	
Modified Pole	$f_+(0) V_{cd(s)} $	$\alpha$	
$D^0 \rightarrow Ke\nu$	$0.725 \pm 0.006 \pm 0.007$	$0.265 \pm 0.045 \pm 0.006$	
$D^0 \rightarrow \pi e\nu$	$0.140 \pm 0.003 \pm 0.002$	$0.315 \pm 0.071 \pm 0.012$	
2 par. series	$f_+(0) V_{cd(s)} $	$r_1$	
$D^0 \rightarrow Ke\nu$	$0.726 \pm 0.006 \pm 0.007$	$-2.034 \pm 0.196 \pm 0.022$	
$D^0 \rightarrow \pi e\nu$	$0.140 \pm 0.004 \pm 0.002$	$-2.117 \pm 0.163 \pm 0.027$	
3 par. series	$f_+(0) V_{cd(s)} $	$r_1$	$r_2$
$D^0 \rightarrow Ke\nu$	$0.729 \pm 0.008 \pm 0.007$	$-2.179 \pm 0.355 \pm 0.053$	$4.539 \pm 8.927 \pm 1.103$
$D^0 \rightarrow \pi e\nu$	$0.144 \pm 0.005 \pm 0.002$	$-2.728 \pm 0.482 \pm 0.076$	$4.194 \pm 3.122 \pm 0.448$

# $D^+ \rightarrow K \pi e \nu$

- BaBar,  $347.5 \text{ fb}^{-1} \Upsilon(4s)$ , PRD 83, 072001 (2011)
- Measurements of  $K\pi$  resonant and non-resonant contributions: S-wave, search of radially excited P-wave and D-wave
- Accurate measurements of  $K^*(892)$  modes: resonance parameters, form factors
- $K\pi$  S-wave phase versus the  $K\pi$  mass

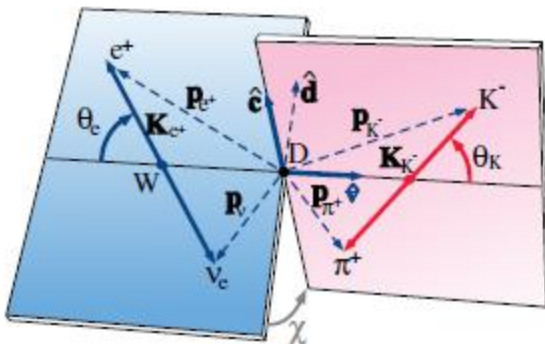


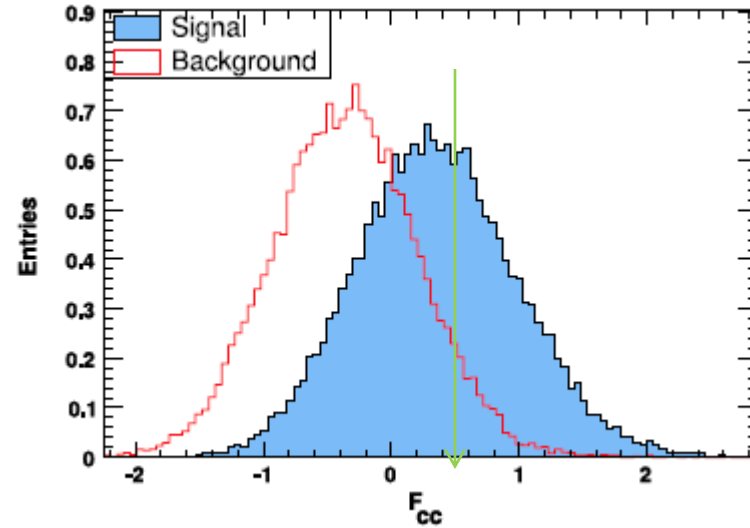
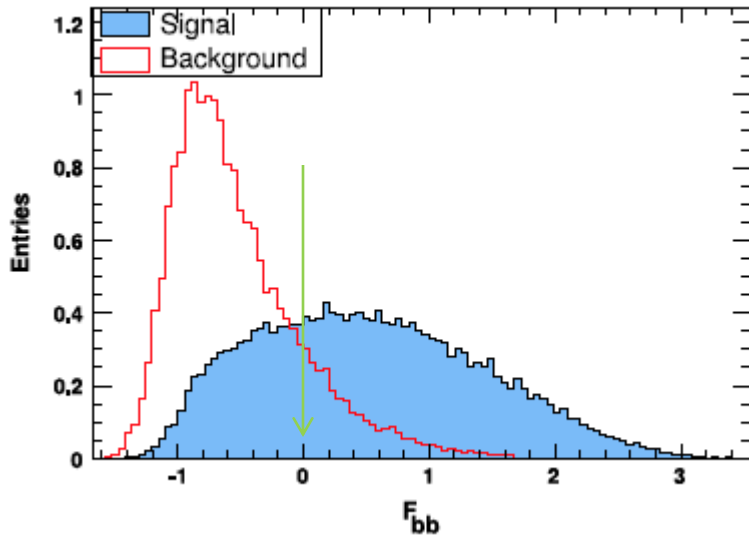
FIG. 3 (color online). Definition of angular variables.

Differential decay rate has 5 degrees of freedom:

- $m^2$ , of the  $k \pi$  system
- $q^2$ , of the  $e \nu$  system
- $\cos(\theta_k)$
- $\cos(\theta_e)$
- $\chi$

# Event Selection

- Particles boosted to the CM system
- Fisher discrimination variables to reject:  
(1) BB\_bar events (2) continuum background (mainly from charm)



Cuts:  $F_{bb} > 0$ ,  $F_{cc} > 0.5$

After cuts:  $244 \times 10^3$  signal events left with  $S/B = 2.3$

# Form Factor Parameterization

Form factors expanded into partial waves:

$F_{10}$  for S-wave contribution,

$F_{i1}$  and  $F_{i2}$  for P and D waves, respectively

$$\mathcal{F}_1 = \mathcal{F}_{10} + \mathcal{F}_{11} \cos\theta_K + \mathcal{F}_{12} \frac{3\cos^2\theta_K - 1}{2};$$

$$\mathcal{F}_2 = \frac{1}{\sqrt{2}} \mathcal{F}_{21} + \sqrt{\frac{3}{2}} \mathcal{F}_{22} \cos\theta_K;$$

$$\mathcal{F}_3 = \frac{1}{\sqrt{2}} \mathcal{F}_{31} + \sqrt{\frac{3}{2}} \mathcal{F}_{32} \cos\theta_K.$$

$F_{i1} \rightarrow$  Helicity form factors  $\rightarrow$  axial-vector form factor  $A_{1,2}(q^2)$   
the vector form factor  $V(q^2)$

$$\mathcal{F}_{11} = 2\sqrt{2}\alpha q H_0,$$

$$\mathcal{F}_{21} = 2\alpha q (H_+ + H_-),$$

$$\mathcal{F}_{31} = 2\alpha q (H_+ - H_-),$$

Single pole mode:

$$V(q^2) = \frac{V(0)}{1 - \frac{q^2}{m_V^2}},$$

$$A_1(q^2) = \frac{A_1(0)}{1 - \frac{q^2}{m_\Lambda^2}},$$

$$A_2(q^2) = \frac{A_2(0)}{1 - \frac{q^2}{m_\Lambda^2}},$$



# Form Factor Results

Fit the data with different models, the 2<sup>nd</sup> is the nominal fit:

	$S + \bar{K}^*(892)^0$	$S + \bar{K}^*(892)^0 + \bar{K}^*(1410)^0$	$S + \bar{K}^*(892)^0 + \bar{K}^*(1410)^0 + D$
$m_A$ (GeV/ $c^2$ )	$2.65 \pm 0.10$	$2.63 \pm 0.10$	$2.58 \pm 0.09$
$r_V$	$1.458 \pm 0.016$	$1.463 \pm 0.017$	$1.471 \pm 0.016$
$r_2$	$0.804 \pm 0.020$	$0.801 \pm 0.020$	$0.786 \pm 0.020$

Evaluated at  $q^2 = 0$ ,  $r_V = V(0)/A_1(0)$ ,  $r_2 = A_2(0)/A_1(0)$

Final results (with syst. Error) , from 2<sup>nd</sup> fit

floating  $m_A$

$$m_A = 2.63 (0.10) (0.13) \text{ GeV}$$

$$r_V = 1.463 (0.017) (0.032)$$

$$r_2 = 0.801 (0.020) (0.020)$$

fixing  $m_A = 2.5$  GeV

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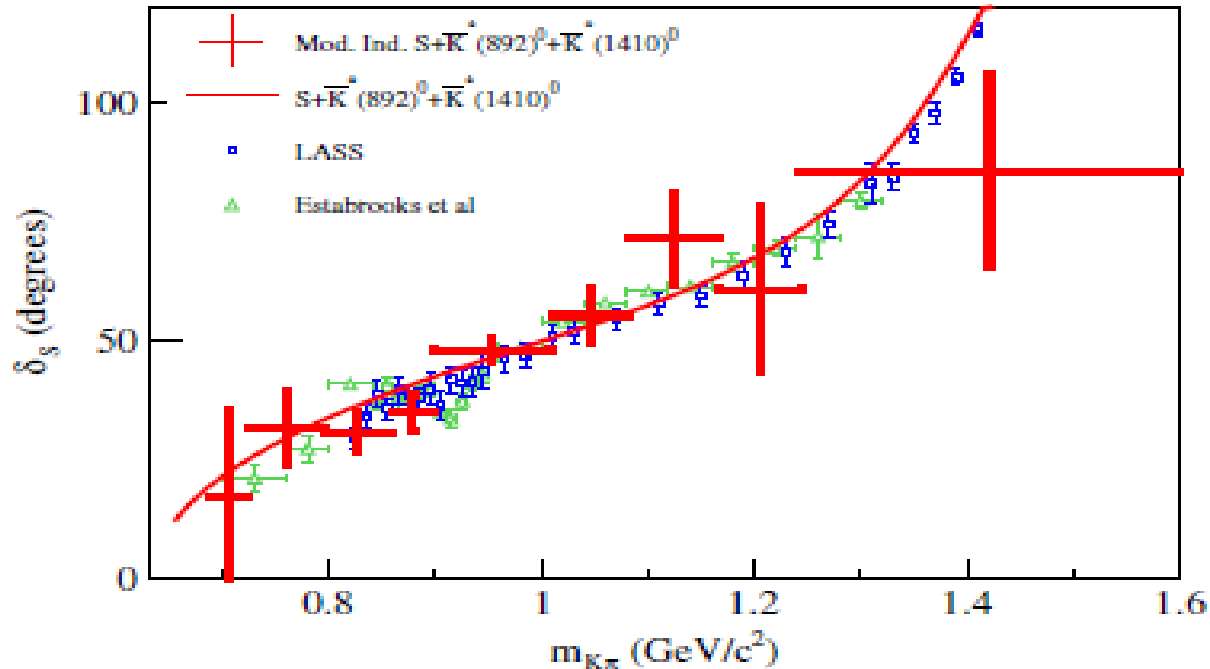
$$r_V = 1.493 (0.014) (0.021)$$

$$r_2 = 0.775 (0.011) (0.011)$$

Fraction of signal components

Component	$S + \bar{K}^*(892)^0(\%)$	$S + \bar{K}^*(892)^0 + \bar{K}^*(1410)^0(\%)$	$S + \bar{K}^*(892)^0 + \bar{K}^*(1410)^0 + D(\%)$
S wave	$5.62 \pm 0.14 \pm 0.13$	$5.79 \pm 0.16 \pm 0.15$	$5.69 \pm 0.16 \pm 0.15$
P wave	94.38	94.21	94.12
$\bar{K}^*(892)^0$	94.38	$94.11 \pm 0.74 \pm 0.75$	$94.41 \pm 0.15 \pm 0.20$
$\bar{K}^*(1410)^0$	0	$0.33 \pm 0.13 \pm 0.19$	$0.16 \pm 0.08 \pm 0.14$
D wave	0	0	$0.19 \pm 0.09 \pm 0.09$

# Phase of S-wave Component

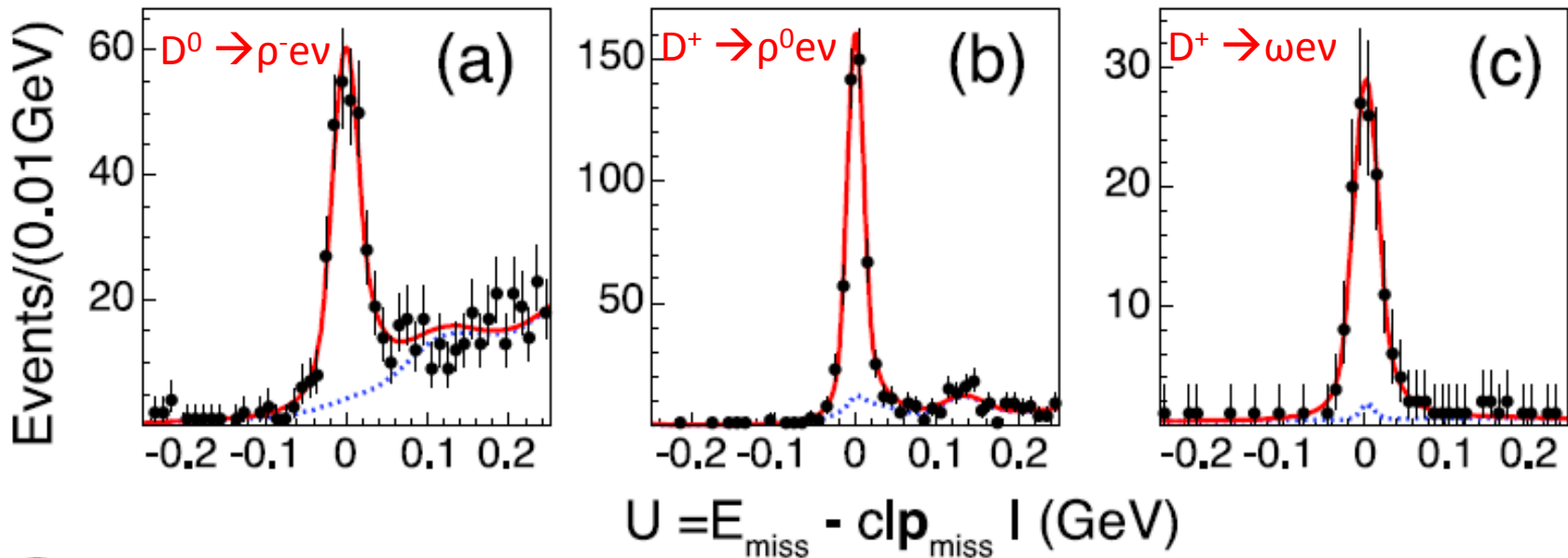


- Agreement with  $K^- p$  interactions producing  $K^- \pi^+$  at small momentum transfer
- Additional negative sign between S and P wave compared with elastic  $K\pi$  scattering

$$D^0/D^+ \rightarrow \rho e \nu$$

$$D^+ \rightarrow \omega e \nu$$

- CLEOc , 818 pb<sup>-1</sup> , arXiv:1112.2884
- Improved precision on BF on both decays
- First measurement on Cabbio-suppressed P→V Form Factor measurement
- Combined with D→K\*e ν and B→V l<sup>+</sup>l<sup>-</sup>, to extract V<sub>ub</sub> from B→ρ e ν
- Double tag technique, extract yields by fitting U = E<sub>miss</sub> - P<sub>miss</sub>



# $\rho/\omega e \nu$ Branching Fraction Results

Decay Mode	$\epsilon$ (%)	$N_{\text{tag, SL}}$	$\mathcal{B}_{\text{SL}}$	$\mathcal{B}_{\text{SL}}(\text{prev})$	$\mathcal{B}_{\text{SL}}(\text{ISGW2})$	$\mathcal{B}_{\text{SL}}(\text{FK})$
$D^0 \rightarrow \rho^- e^+ \nu_e$	$26.03 \pm 0.02$	$304.6 \pm 20.9$	$1.77 \pm 0.12 \pm 0.10$	$1.94 \pm 0.39 \pm 0.13$	1.0	2.0
$D^+ \rightarrow \rho^0 e^+ \nu_e$	$42.84 \pm 0.03$	$447.4 \pm 24.5$	$2.17 \pm 0.12^{+0.12}_{-0.22}$	$2.1 \pm 0.4 \pm 0.1$	1.3	2.5
$D^+ \rightarrow \omega e^+ \nu_e$	$14.67 \pm 0.03$	$128.5 \pm 12.6$	$1.82 \pm 0.18 \pm 0.07$	$1.6^{+0.7}_{-0.6} \pm 0.1$	1.3	2.5

BF units  $10^{-3}$ , more consistent with FK predictions ( PRD 72, 034029, 2005)

Results consistent with iso-spin invariance :

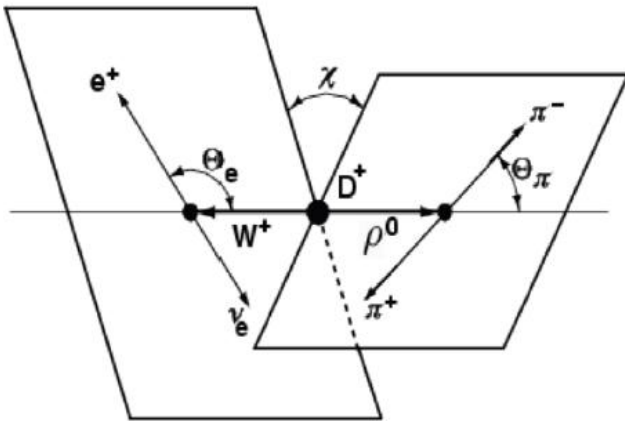
( Iso-spin symmetry not expected to be exact due to  $\rho^0$ - $\omega$  interference)

$$\frac{\Gamma(D^0 \rightarrow \rho^- e^+ \nu_e)}{2\Gamma(D^+ \rightarrow \rho^0 e^+ \nu_e)} = 1.03 \pm 0.09^{+0.08}_{-0.02}$$

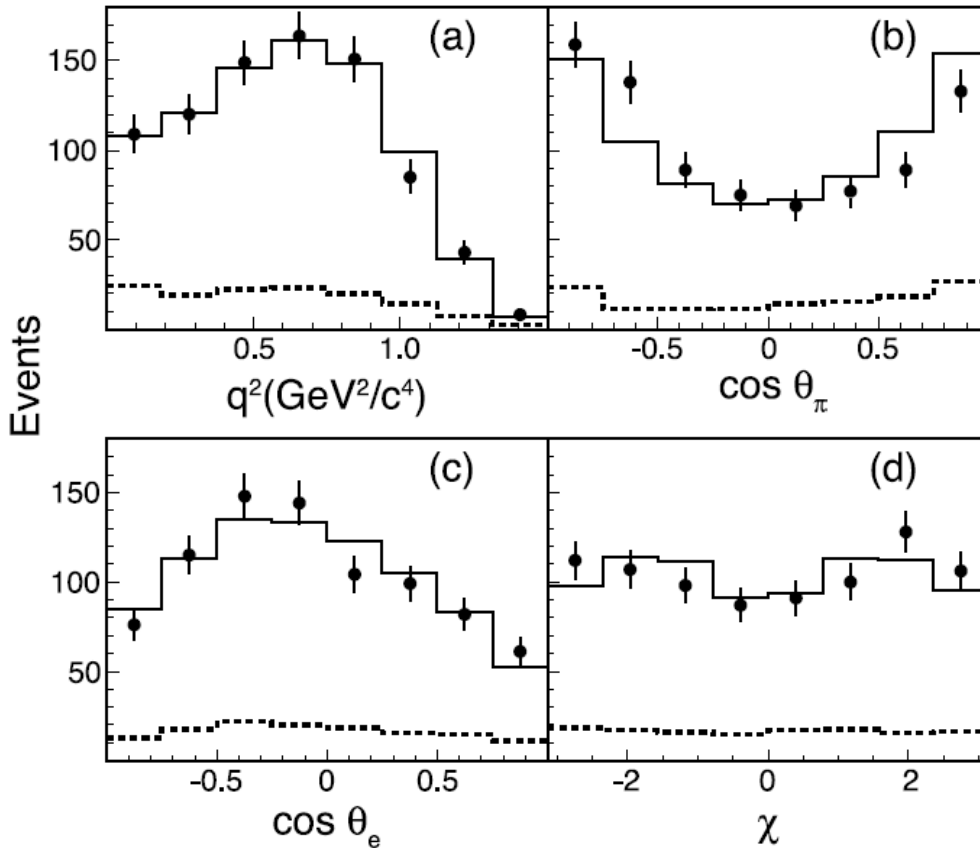
# $\rho e \nu$ Form Factor Measurement

- Differential decay rate can be expressed in terms of 3 helicity amplitudes
- Helicity amplitudes are related to 2 axial form factors  $A_1(q^2)$ ,  $A_2(q^2)$ , and 1 vector form factor  $V(q^2)$
- Assume simple pole mode, and simultaneous fit to iso-spin conjugate  $D^0/D^+ \rightarrow \rho e \nu$
- extract two FF ratios:

$$r_V = \frac{V(0)}{A_1(0)} \text{ and } r_2 = \frac{A_2(0)}{A_1(0)}.$$



# $\rho e \nu$ Form Factor Result



Projection of the combined  $\rho$  and  $\rho^0$  data

\* Difference in  $\cos \theta_\pi$  might be due to s-wave interference

\*

$$r_V = 1.48 \pm 0.15 \pm 0.05$$

$$r_2 = 0.83 \pm 0.11 \pm 0.04$$

\*

Using PDG  $V_{cd}$ ,  $D^0$  and  $D^+$  lifetime:

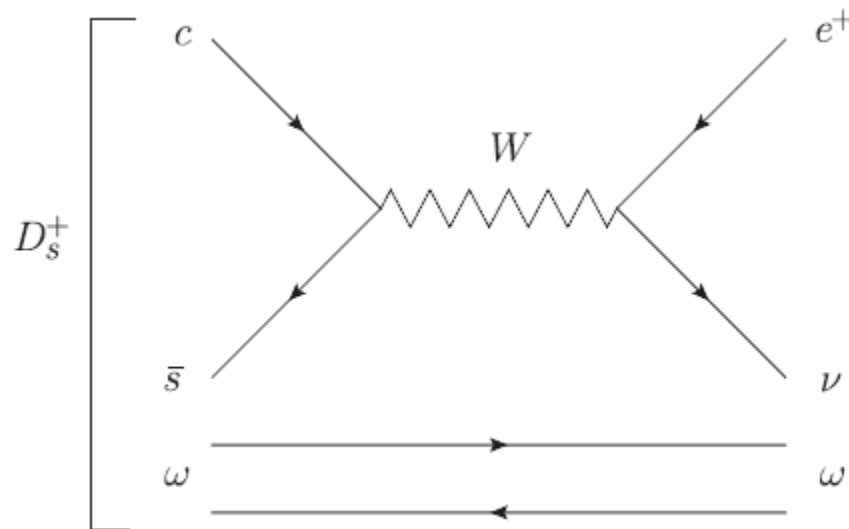
$$A_1(0) = 0.56 \pm 0.01_{-0.03}^{+0.02}$$

$$A_2(0) = 0.47 \pm 0.06 \pm 0.04$$

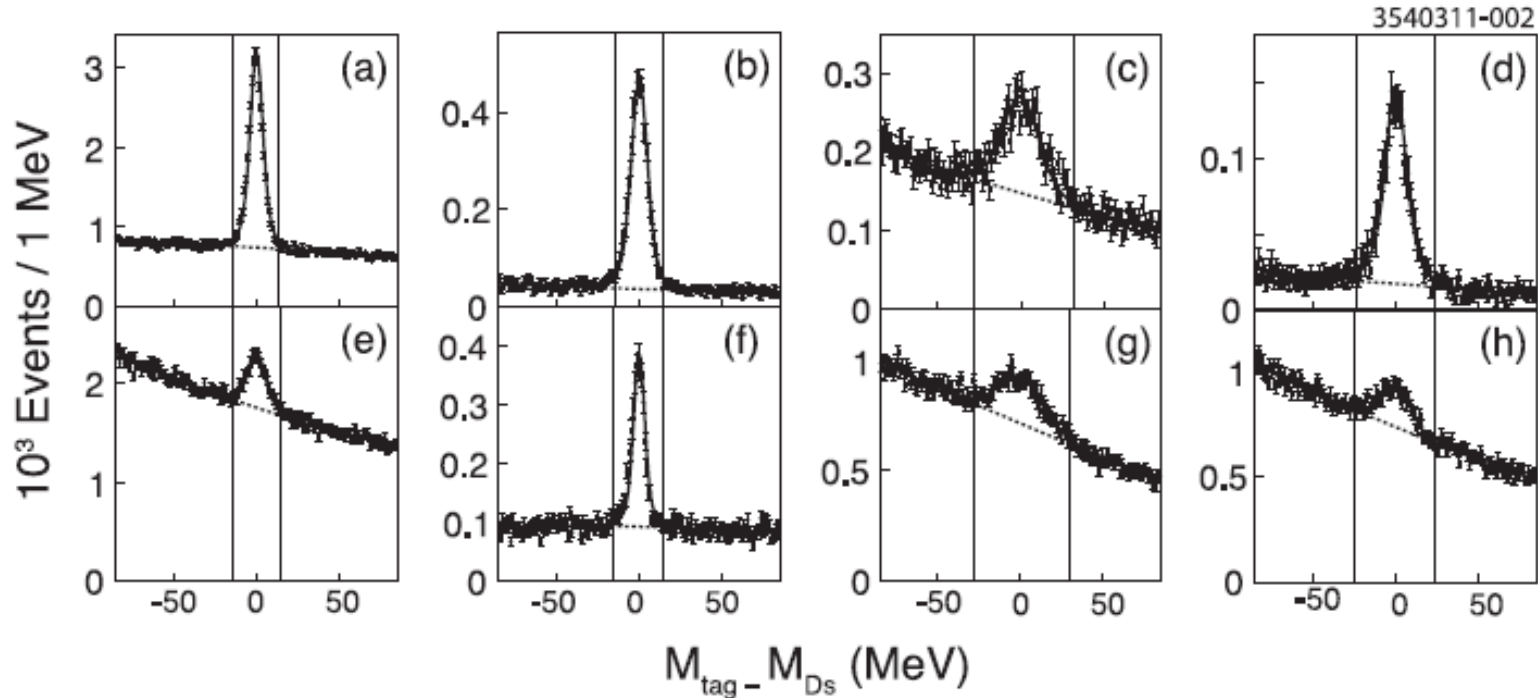
$$V(0) = 0.84 \pm 0.09_{-0.06}^{+0.05}$$

# Search for $D_s^+ \rightarrow \omega e \nu$

- CLEOc, 4170 MeV,  $586 \text{ pb}^{-1}$  ( $0.6 \times 10^6 D_s D_s^*$ ), PRD 84, 012005 (2011)
- Probe four-quark content of  $D_s$ ,  $\text{BF} > 2 \times 10^{-4}$  unlikely due to  $\omega$ - $\phi$  mixing, evidence for “weak annihilation”. (see PRD 79, 074006, 2009)



# Tag Modes



Modes	$N_{\text{data}}$	Low sideband	High sideband
$K_S^0 K^-$	$5828 \pm 92$	1 231	958
$K^+ K^- \pi^-$	$25\,990 \pm 285$	22 385	19 452
$K^{*-} \bar{K}^{*0}$	$2891 \pm 100$	2 783	2 647
$\pi^+ \pi^- \pi^-$	$8152 \pm 369$	56 530	43 475
$\eta \pi^-$	$3635 \pm 160$	5 727	3 379
$\eta \rho^-$	$6877 \pm 330$	26 879	14 658
$\pi^- \eta' (\eta \pi^+ \pi^-)$	$2344 \pm 70$	1 040	572
$\pi^- \eta' (\rho \gamma)$	$4451 \pm 337$	42 412	25 476



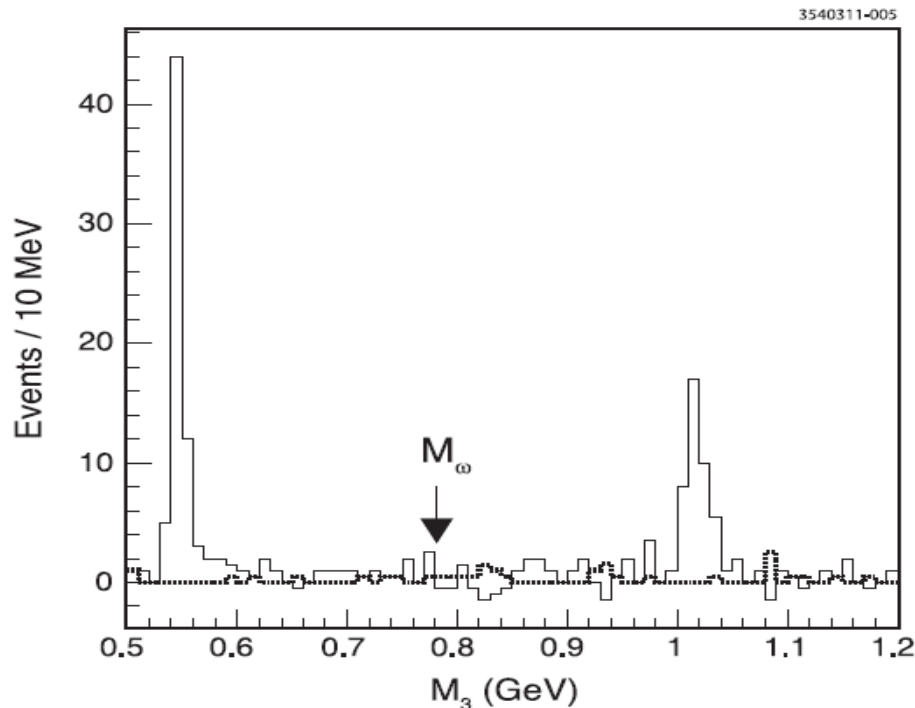
# Signal Selection and Results

Missing mass square measured for missing neutrino:

$$MM^2 = (E_b - E_{\text{tag}} - E_\gamma - E_s)^2 - (\mathbf{p}_b - \mathbf{p}_{\text{tag}} - \mathbf{p}_\gamma - \mathbf{p}_s)^2$$

$(E_s, P_s)$ : from  $\omega(\pi\pi\pi^0)$  and electron

Require  $-0.05 < MM^2 < 0.05 \text{ GeV}^2$ , fit mass of  $\pi\pi\pi^0$



No signal found:

Upper limit:

$$\mathcal{B}(D_s^+ \rightarrow \omega e^+ \nu) < 0.20\%$$

at 90% C.L.

# Summary

- Semi-leptonic D decay analyses have been successful , FOCUS, BELLE, BarBar and CELOc
- 1/3  $\psi(3770)$  data analyzed at BESIII for  $D \rightarrow K/\pi e \nu$ , better precision expected
- More new results coming soon from BESIII