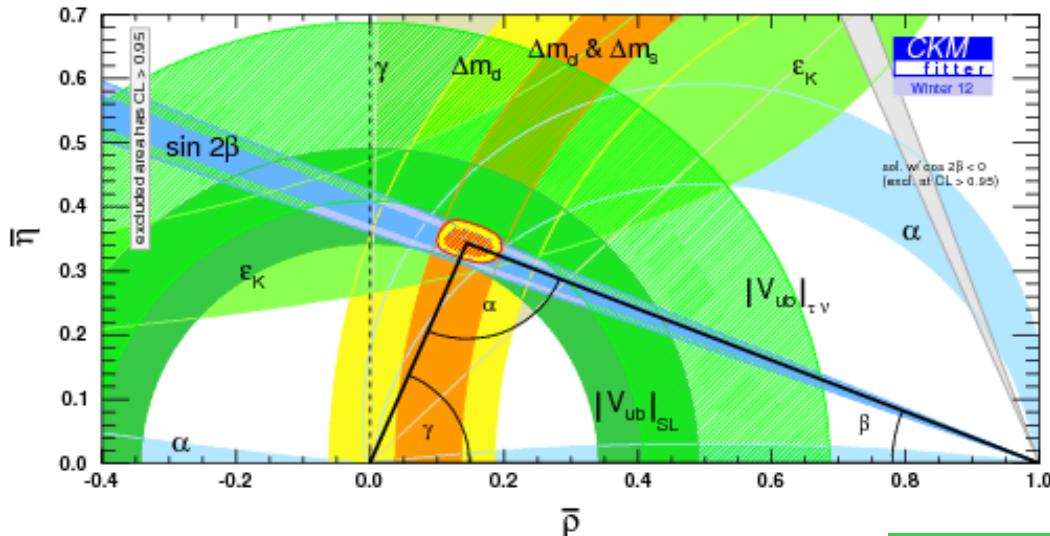


Recent Results of D semi-leptonic Decays

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CKM 2012 Conference
Oct. 1 2012

Charm's Role in the Big Picture



Example: V_{ub} from $B \rightarrow \pi l \nu$

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

Latest result:

$$V_{ub} \times 10^3 = 3.92 \pm 0.09(\text{exp}) \pm 0.45(\text{theory})$$

- * Needs inputs from Lattice QCD
- * Charm physics provides perfect calibration

Flavor Physics:

- * Over-constrain CKM matrix
- * Search for New Physics

Difficulties:

- * Mixing is not theoretically clean
- * V_{ub} is not theoretically clean

Why Semi-leptonic D decays

- Large branching fraction, theoretically tractable, experimentally accessible
- $P \rightarrow P$ transition
 - Measure CKM elements
 - Validate LQCD
- $P \rightarrow V$ transition
 - More factors
 - No unquenched calculations existed
- Rare / forbidden modes
 - New physics, new interactions

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{cx}|^2 p_X^3 |f_+(q^2)|^2$$

Experiment Results

- Exclusive D/D_s decays
 - * $P \rightarrow P \bar{v}$:
 $D \rightarrow K/\pi e \bar{\nu}$ (BF, form factor)
Results from FOCUS, Belle, Barbar, CLEOc
Results from BESIII (**preliminary**)
 - * $P \rightarrow V \bar{v}$:
 $D^+ \rightarrow K \pi e \bar{\nu}$ (**new**)
 $D/D^+ \rightarrow \rho e \bar{\nu}$ (**new**)
 - * rare decay /search
 $D^+ \rightarrow \eta/\eta'/\phi e \bar{\nu}$
 $D_s \rightarrow \omega e \bar{\nu}$ (**new**)
- Inclusive D/D_s Decays

$D^0 \rightarrow K e \nu \& \pi e \nu$

- BESIII, $\sim 2.9 \text{ fb}^{-1}$ data taken at $\psi(3770)$, $\sim 923 \text{ pb}^{-1}$
- Double tag technique (to suppress background),
tag side: fully reconstructed hadronic modes
signal side: missing neutrino inferred

$$U = E_{\text{miss}} - c |\vec{P}_{\text{miss}}| \approx 0$$

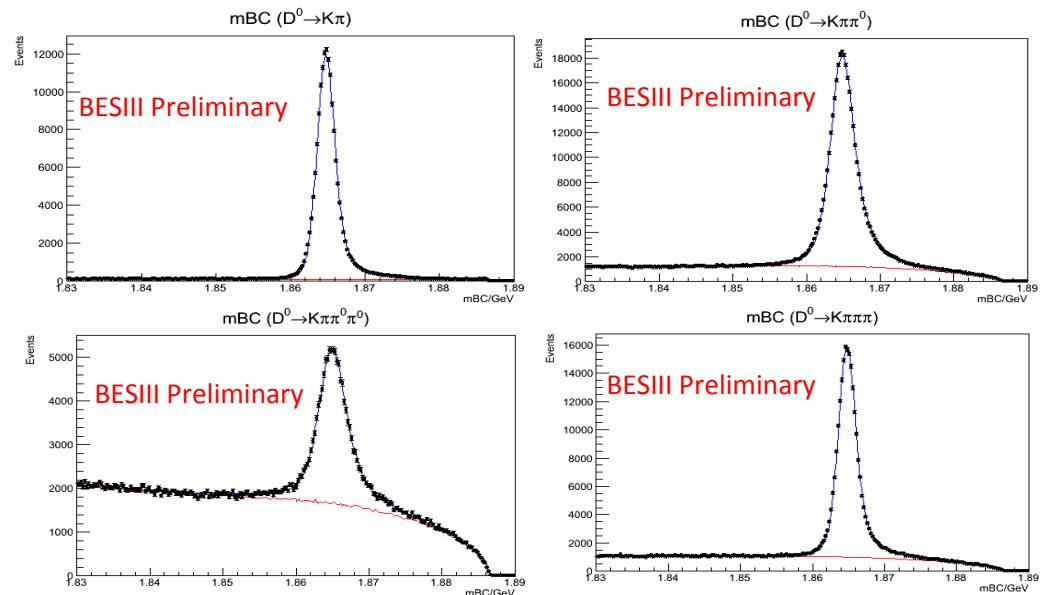
- Simple differential decay rate function (massless lepton assumed)

$$\frac{\Delta \Gamma(D \rightarrow \pi(K)e\nu)}{dq^2} = \frac{G_F^2 |V_{cd(s)}|^2}{24\pi^3} p^3 |f_+(q^2)|^2$$

Tag Mode Reconstruction

- Four tag modes picked
- Best tag mode based minimum ΔE

$$\Delta E \equiv E - E_{beam}$$

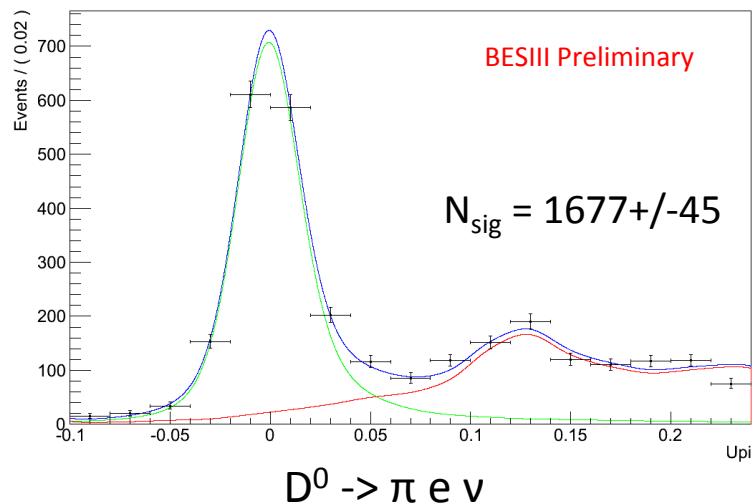
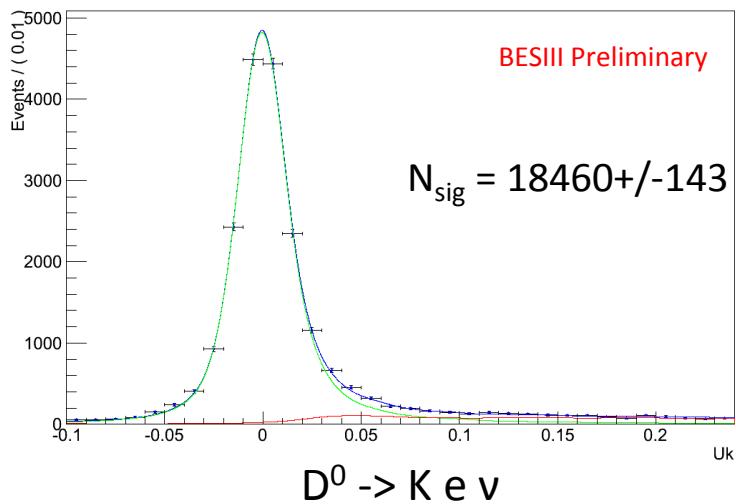


BESIII Preliminary

Mode	Data Yield	Fraction of All Tags (%)	Tag Efficiency(%)
$D^0 \rightarrow K^- \pi^+$	$159,929 \pm 413$	20.7	62.08 ± 0.07
$D^0 \rightarrow K^- \pi^+ \pi^0$	$323,348 \pm 667$	41.8	33.56 ± 0.03
$D^0 \rightarrow K^- \pi^+ \pi^0 \pi^0$	$78,467 \pm 480$	10.1	14.93 ± 0.04
$D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$	$211,910 \pm 550$	27.4	36.80 ± 0.04

Signal Selection

- Two good oppositely-charged tracks
- Kaon/pion and electron PID requirements
- Electron has same charge as the tag side K
- Veto if any unmatched EMC shower is $> 250\text{MeV}$ (some background has extra π^0)



Branching Fraction Results

$$\begin{aligned} N_{tag}^{obs} &= 2N_{D\bar{D}}B_{tag}\epsilon_{tag} \\ N_{sig}^{obs} &= 2N_{D\bar{D}}B_{tag}B_{sig}\epsilon_{tag,sig} \end{aligned} \longrightarrow B_{sig} = \frac{N_{sig}^{obs}}{\sum_{\alpha} N_{tag}^{obs,\alpha}\epsilon_{tag,sig}^{\alpha}/\epsilon_{tag}^{\alpha}},$$

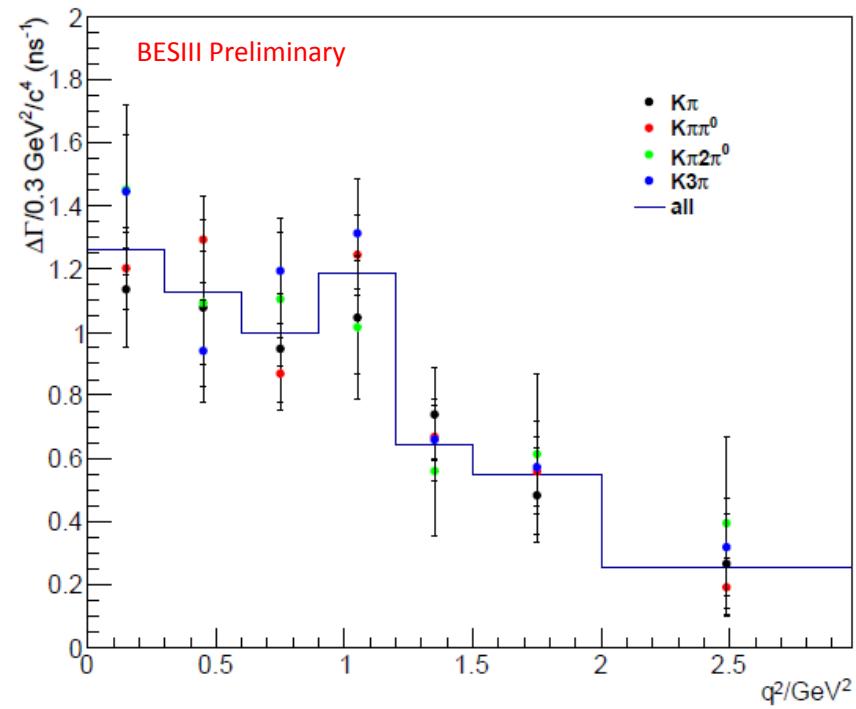
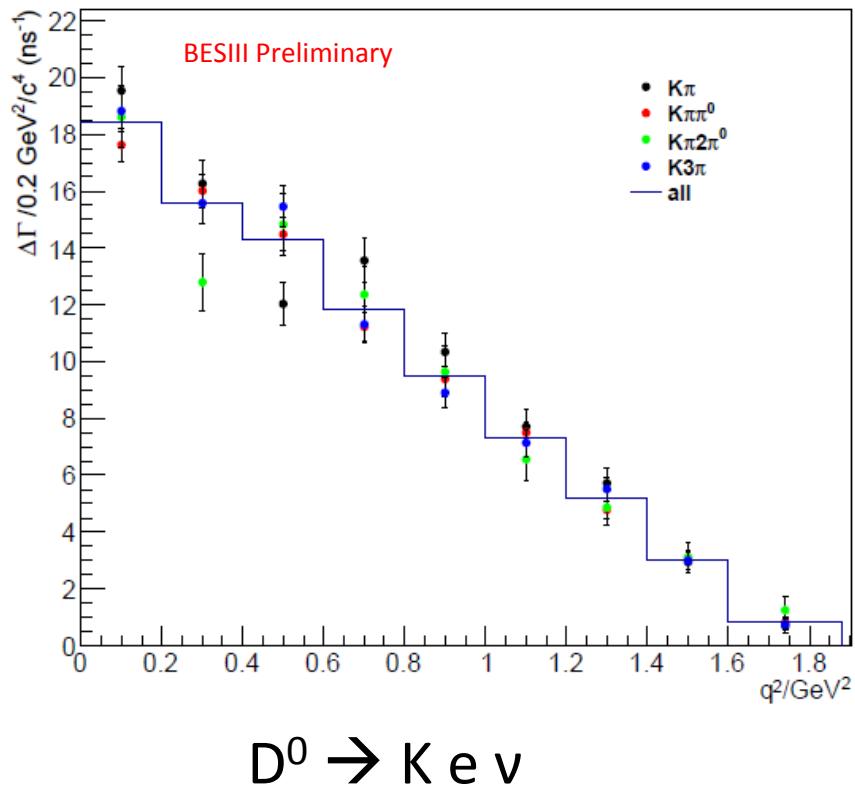
BESIII Preliminary

Mode	measured branching fraction(%)	PDG	CLEOc
$\bar{D}^0 \rightarrow K^+ e^- \bar{\nu}$	$3.542 \pm 0.030 \pm 0.067$	3.55 ± 0.04	$3.50 \pm 0.03 \pm 0.04$
$\bar{D}^0 \rightarrow \pi^+ e^- \bar{\nu}$	$0.288 \pm 0.008 \pm 0.005$	0.289 ± 0.008	$0.288 \pm 0.008 \pm 0.003$

- * Systematics are preliminary
- * Will improve using full (3x) data set in the near future

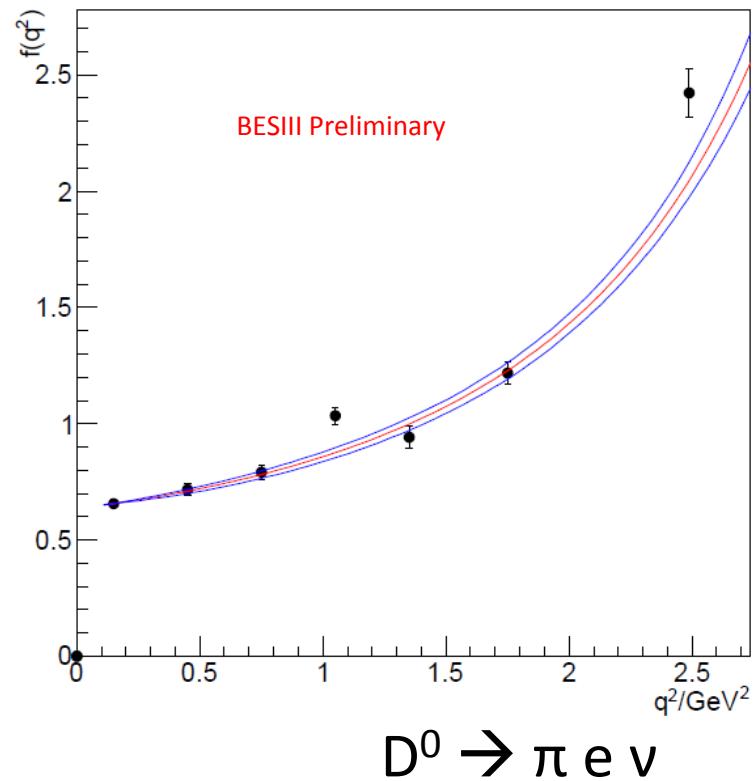
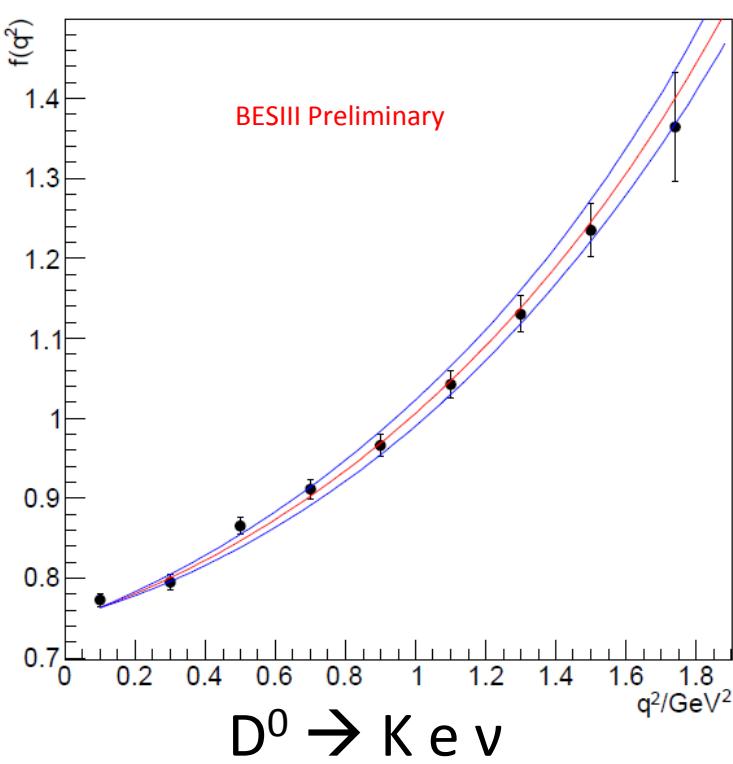
Partial Decay Rates Results

- Measured in each q^2 bin, by fitting U distribution
- Compare results from each tag mode



$f(q^2)$ Results

- Points: data with stat. error only
- Curves: from Fermilab-MILC within one stat. error, preliminary, [arXiv:1111.5471](https://arxiv.org/abs/1111.5471) (XXIX International Symposium on Lattice Field Theory);
- Other theoretical work: HPQCD, arXiv:1111.0225
- Comparing shape only here ($f_+(0)$ not known)



Form Factor Parameterization

Fit to partial decay rates $\Delta\Gamma$

Simple pole model:

$$f_+(q^2) = \frac{f_+(0)}{1 - q^2/m_{pole}^2}$$

Modified pole model:

Beciirevic and Kaidalov
PLB 478, 417 (2000)

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{pole}^2}\right) \left(1 - \alpha \frac{q^2}{m_{pole}^2}\right)}$$

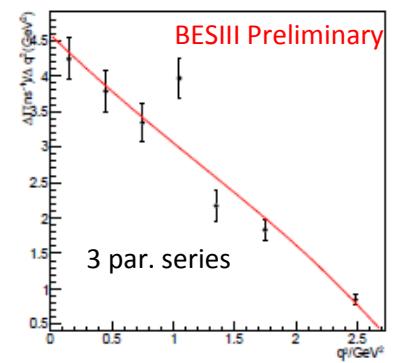
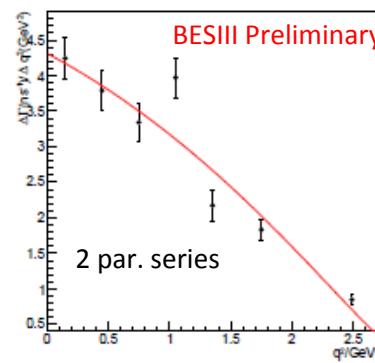
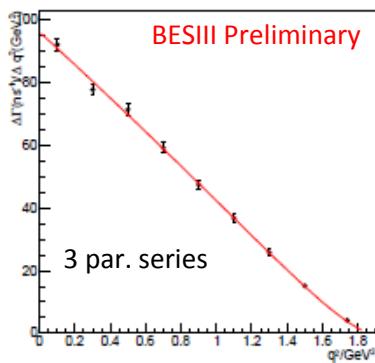
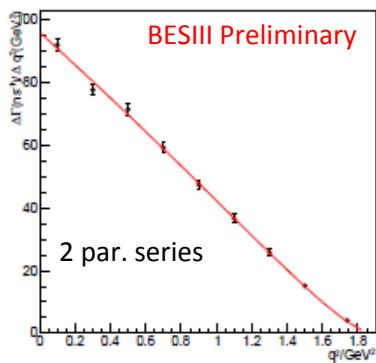
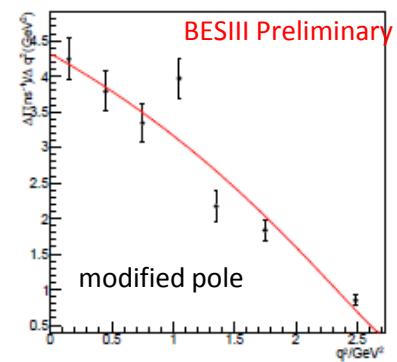
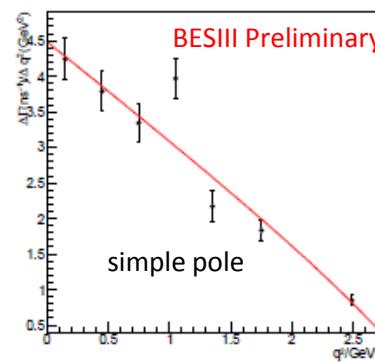
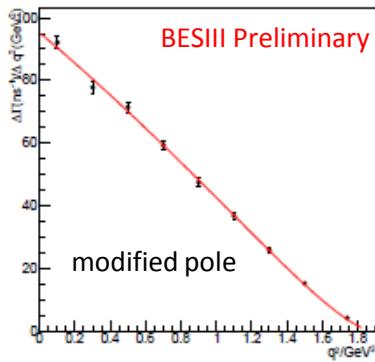
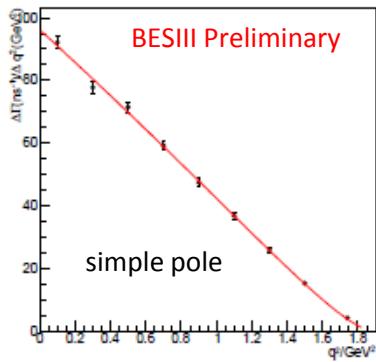
Series expansion:
Becher and Hill
PLB 633, 61 (2006)

$$f_+(q^2) = \frac{1}{P(q^2) \phi(q^2, t_0)} \sum_{k=0}^{\infty} a_k(t_0) [z(q^2, t_0)]^k$$

Could fit: $f_+(0), r_1 = a_2/a_1, r_2 = a_3/a_1$

Form Factor Fits

$$\chi^2 = \sum_{i,j=1}^n (\Delta\Gamma_i - g(q^2)_i) C_{ij}^{-1} (\Delta\Gamma_j - g(q^2)_j)$$



$D^0 \rightarrow K e \bar{\nu}$

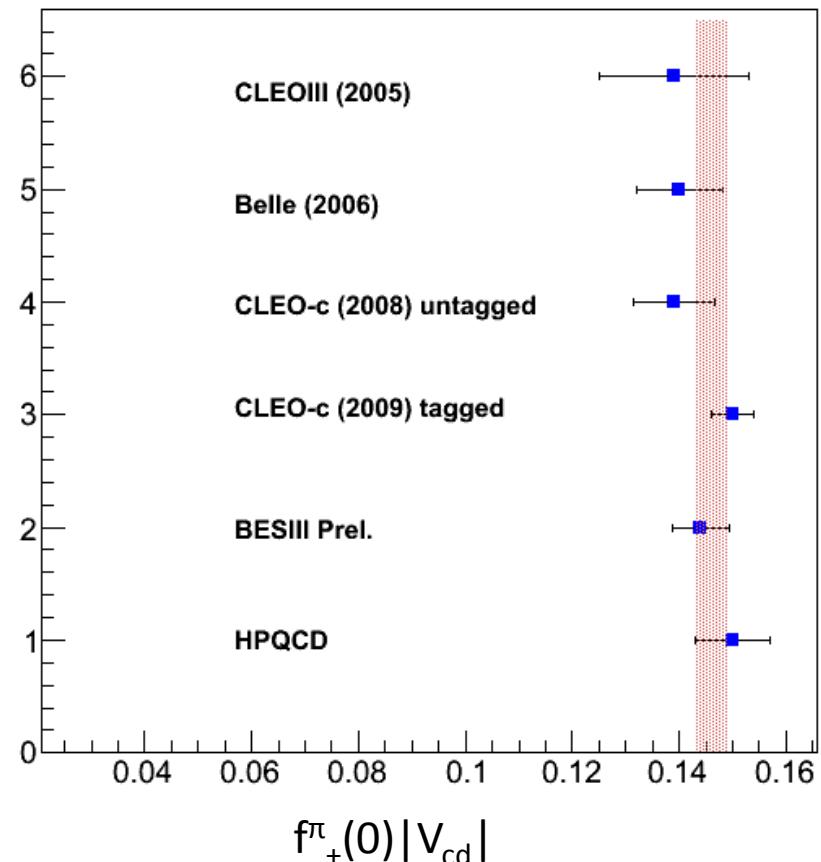
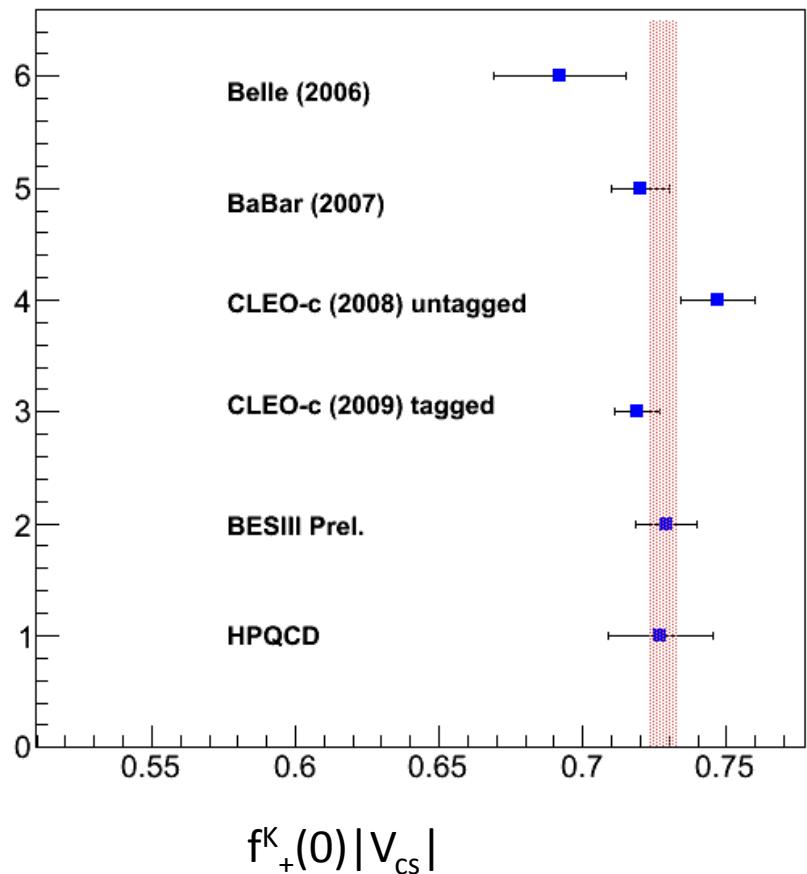
$D^0 \rightarrow \pi e \bar{\nu}$

Form Factor Results

BESIII Preliminary

Simple Pole	$f_+(0) V_{cd(s)} $	m_{pole}	
$D^0 \rightarrow K e \nu$	$0.729 \pm 0.005 \pm 0.007$	$1.943 \pm 0.025 \pm 0.003$	
$D^0 \rightarrow \pi e \nu$	$0.142 \pm 0.003 \pm 0.001$	$1.876 \pm 0.023 \pm 0.004$	
Modified Pole	$f_+(0) V_{cd(s)} $	α	
$D^0 \rightarrow K e \nu$	$0.725 \pm 0.006 \pm 0.007$	$0.265 \pm 0.045 \pm 0.006$	
$D^0 \rightarrow \pi e \nu$	$0.140 \pm 0.003 \pm 0.002$	$0.315 \pm 0.071 \pm 0.012$	
2 par. series	$f_+(0) V_{cd(s)} $	r_1	
$D^0 \rightarrow K e \nu$	$0.726 \pm 0.006 \pm 0.007$	$-2.034 \pm 0.196 \pm 0.022$	
$D^0 \rightarrow \pi e \nu$	$0.140 \pm 0.004 \pm 0.002$	$-2.117 \pm 0.163 \pm 0.027$	
3 par. series	$f_+(0) V_{cd(s)} $	r_1	r_2
$D^0 \rightarrow K e \nu$	$0.729 \pm 0.008 \pm 0.007$	$-2.179 \pm 0.355 \pm 0.053$	$4.539 \pm 8.927 \pm 1.103$
$D^0 \rightarrow \pi e \nu$	$0.144 \pm 0.005 \pm 0.002$	$-2.728 \pm 0.482 \pm 0.076$	$4.194 \pm 3.122 \pm 0.448$

Comparison (3 par. Series)



- Numbers are from HFAG 2012 report([arXiv:1207.1158](https://arxiv.org/abs/1207.1158))
- Error bar of BESIII prel. will shrink with full data

$D^+ \rightarrow K \pi e \nu$

- BaBar, 347.5 fb^{-1} $\Upsilon(4s)$, PRD 83, 072001 (2011)
- Measurements of $K\pi$ resonant and non-resonant contributions: S-wave, search of radially excited P-wave and D-wave
- Accurate measurements of $K^*(892)$ modes: resonance parameters, form factors
- $K\pi$ S-wave phase versus the $K\pi$ mass

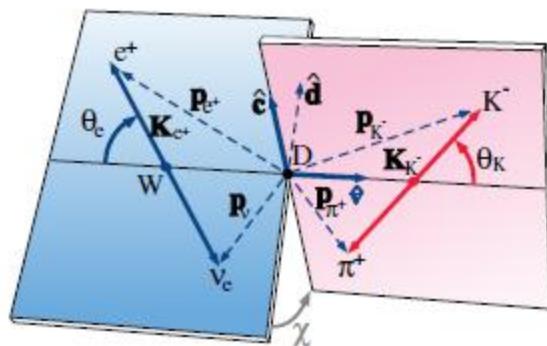


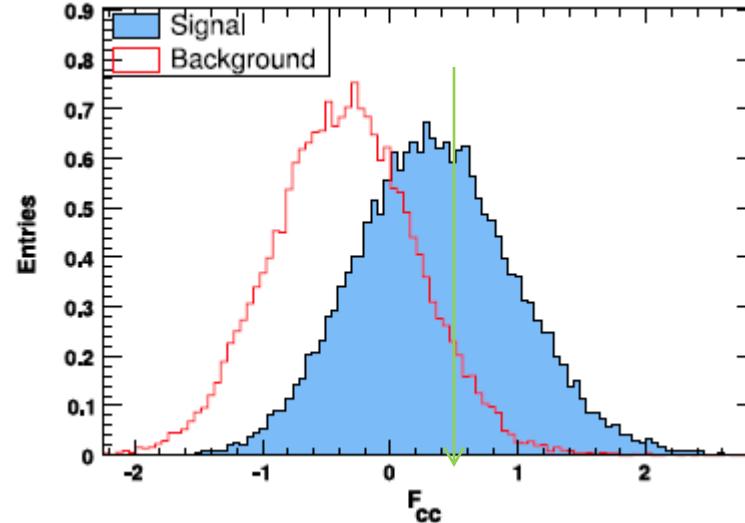
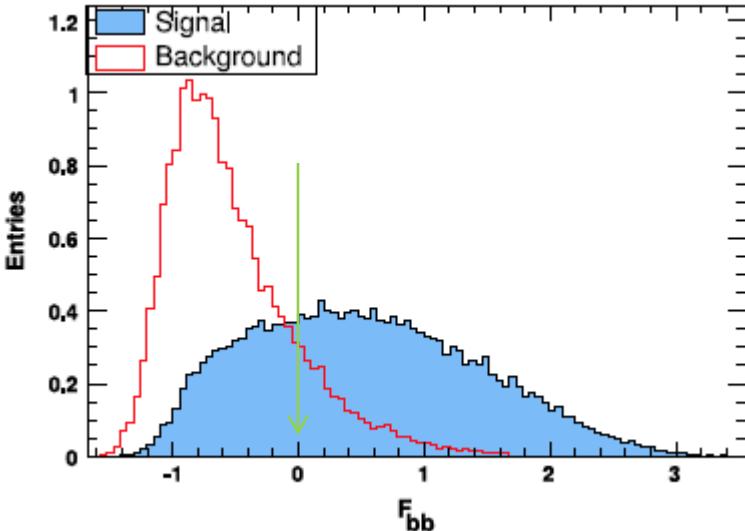
FIG. 3 (color online). Definition of angular variables.

Differential decay rate has 5 degrees of freedom:

- m^2 , of the $K\pi$ system
- q^2 , of the $e\nu$ system
- $\cos(\theta_K)$
- $\cos(\theta_e)$
- χ

Event Selection

- Particles boosted to the CM system
- Fisher discrimination variables to reject:
 - (1) BB_bar events (2) continuum background (mainly from charm)



Cuts: $F_{bb} > 0$, $F_{cc} > 0.5$

After cuts: 244×10^3 signal events left with S/B = 2.3

Form Factor Parameterization

Form factors expanded into partial waves:

F_{10} for S-wave contribution,

F_{i1} and F_{i2} for P and D waves, respectively

$$F_1 = F_{10} + F_{11} \cos\theta_K + F_{12} \frac{3\cos^2\theta_K - 1}{2};$$

$$F_2 = \frac{1}{\sqrt{2}} F_{21} + \sqrt{\frac{3}{2}} F_{22} \cos\theta_K;$$

$$F_3 = \frac{1}{\sqrt{2}} F_{31} + \sqrt{\frac{3}{2}} F_{32} \cos\theta_K.$$

$F_{i1} \rightarrow$ Helicity form factors \rightarrow axial-vector form factor $A_{1,2}(q^2)$
 the vector form factor $V(q^2)$

$$F_{11} = 2\sqrt{2}\alpha q H_0,$$

Single pole mode:

$$F_{21} = 2\alpha q (H_+ + H_-),$$

$$F_{31} = 2\alpha q (H_+ - H_-),$$

$$V(q^2) = \frac{V(0)}{1 - \frac{q^2}{m_V^2}},$$

$$A_1(q^2) = \frac{A_1(0)}{1 - \frac{q^2}{m_A^2}},$$

$$A_2(q^2) = \frac{A_2(0)}{1 - \frac{q^2}{m_A^2}},$$

Form Factor Results

Fit the data with different models, the 2nd is the nominal fit:

	$S + \bar{K}^*(892)^0$
m_A (GeV/c ²)	2.65 ± 0.10
r_V	1.458 ± 0.016
r_2	0.804 ± 0.020

$S + \bar{K}^*(892)^0$
$\bar{K}^*(1410)^0$
2.63 ± 0.10
1.463 ± 0.017
0.801 ± 0.020

$S + \bar{K}^*(892)^0$
$\bar{K}^*(1410)^0 + D$
2.58 ± 0.09
1.471 ± 0.016
0.786 ± 0.020

Evaluated at $q^2 = 0$, $r_V = V(0)/A_1(0)$, $r_2 = A_2(0)/A_1(0)$

Final results (with syst. Error) , from 2nd fit

floating m_A

$$\begin{aligned} m_A &= 2.63 (0.10) (0.13) \text{ GeV} \\ r_V &= 1.463 (0.017) (0.032) \\ r_2 &= 0.801 (0.020) (0.020) \end{aligned}$$

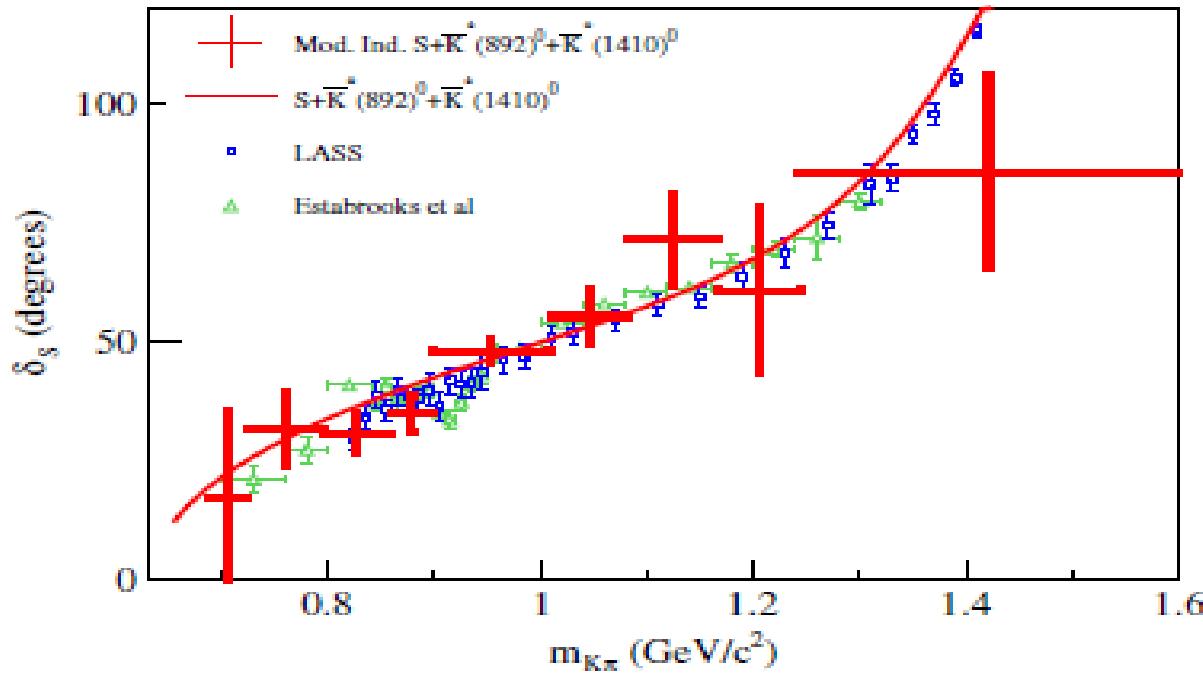
fixing $m_A = 2.5$ GeV

$$\begin{aligned} r_V &= 1.493 (0.014) (0.021) \\ r_2 &= 0.775 (0.011) (0.011) \end{aligned}$$

Fraction of signal components

Component	$S + \bar{K}^*(892)^0$ (%)	$S + \bar{K}^*(892)^0 + \bar{K}^*(1410)^0$ (%)	$S + \bar{K}^*(892)^0 \bar{K}^*(1410)^0 + D$ (%)
S wave	$5.62 \pm 0.14 \pm 0.13$	$5.79 \pm 0.16 \pm 0.15$	$5.69 \pm 0.16 \pm 0.15$
P wave	94.38	94.21	94.12
$\bar{K}^*(892)^0$	94.38	$94.11 \pm 0.74 \pm 0.75$	$94.41 \pm 0.15 \pm 0.20$
$\bar{K}^*(1410)^0$	0	$0.33 \pm 0.13 \pm 0.19$	$0.16 \pm 0.08 \pm 0.14$
D wave	0	0	$0.19 \pm 0.09 \pm 0.09$

Phase of S-wave Component

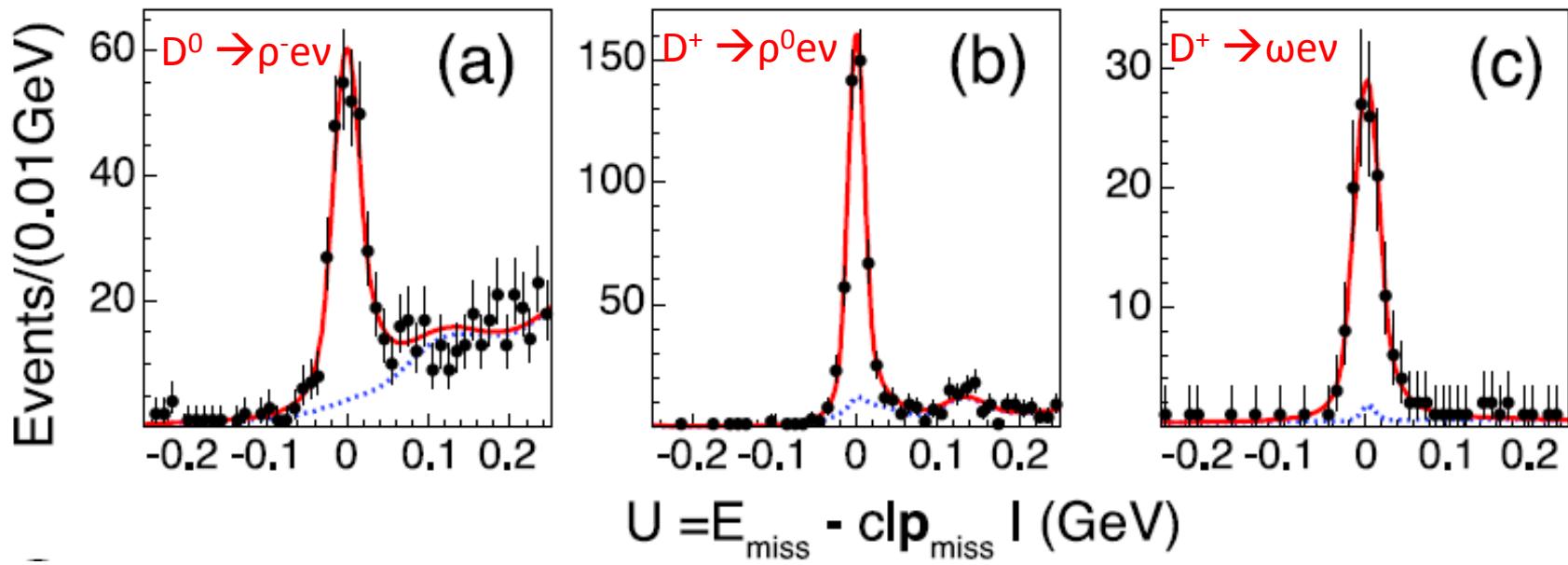


- Agreement with $K^- p$ interactions producing $K^- \pi^+$ at small momentum transfer
- Additional negative sign between S and P wave compared with elastic $K\pi$ scattering

$$D^0/D^+ \rightarrow \rho^- e^- \bar{\nu}$$

$$D^+ \rightarrow \omega^- e^- \bar{\nu}$$

- CLEOc , 818 pb^{-1} , arXiv:1112.2884
- Improved precision on BF on both decays
- First measurement on Cabibbo-suppressed $P \rightarrow V$ Form Factor measurement
- Combined with $D \rightarrow K^* e \bar{\nu}$ and $B \rightarrow V l^+ l^-$, could extract V_{ub} from $B \rightarrow \rho^- e^- \bar{\nu}$
- Double tag technique, extract yields by fitting $U = E_{\text{miss}} - \vec{p}_{\text{miss}}$



$\rho/\omega e\nu$ Branching Fraction Results

Decay Mode	ϵ (%)	$N_{\text{tag, SL}}$	\mathcal{B}_{SL}	$\mathcal{B}_{\text{SL}}(\text{prev})$	$\mathcal{B}_{\text{SL}}(\text{ISGW2})$	$\mathcal{B}_{\text{SL}}(\text{FK})$
$D^0 \rightarrow \rho^- e^+ \nu_e$	26.03 ± 0.02	304.6 ± 20.9	$1.77 \pm 0.12 \pm 0.10$	$1.94 \pm 0.39 \pm 0.13$	1.0	2.0
$D^+ \rightarrow \rho^0 e^+ \nu_e$	42.84 ± 0.03	447.4 ± 24.5	$2.17 \pm 0.12^{+0.12}_{-0.22}$	$2.1 \pm 0.4 \pm 0.1$	1.3	2.5
$D^+ \rightarrow \omega e^+ \nu_e$	14.67 ± 0.03	128.5 ± 12.6	$1.82 \pm 0.18 \pm 0.07$	$1.6^{+0.7}_{-0.6} \pm 0.1$	1.3	2.5

BF units 10^{-3} , more consistent with FK predictions (PRD 72, 034029, 2005)

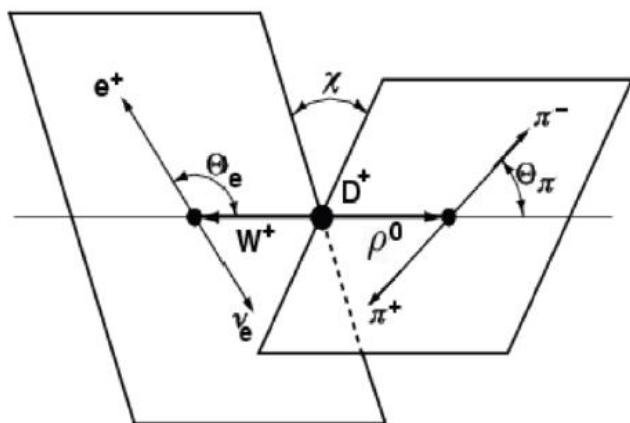
Results consistent with iso-spin invariance :
 (Iso-spin symmetry not expected to be exact due to ρ^0 - ω interference)

$$\frac{\Gamma(D^0 \rightarrow \rho^- e^+ \nu_e)}{2\Gamma(D^+ \rightarrow \rho^0 e^+ \nu_e)} = 1.03 \pm 0.09^{+0.08}_{-0.02}$$

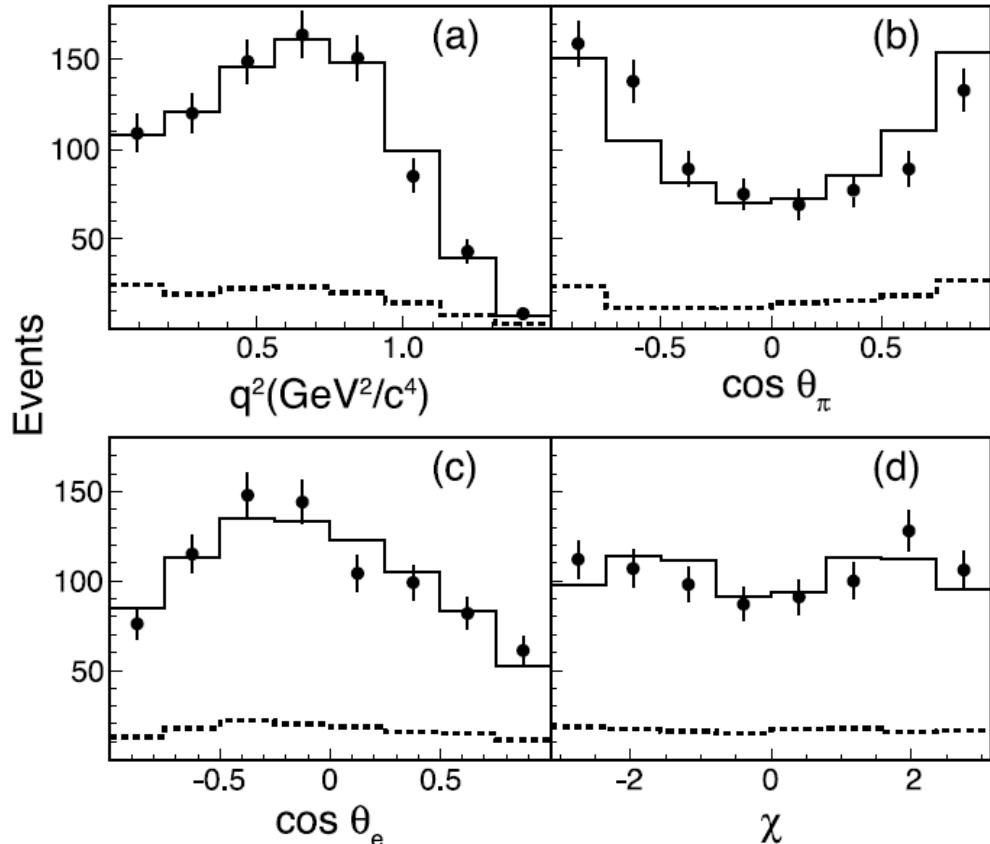
$\rho e \nu$ Form Factor Measurement

- Differential decay rate can be expressed in terms of 3 helicity amplitudes
- Helicity amplitudes are related to 2 axial form factors $A_1(q^2)$, $A_2(q^2)$, and 1 vector form factor $V(q^2)$
- Assume simple pole mode, and simultaneous fit to iso-spin conjugate $D^0/D^+ \rightarrow \rho e \nu$
- extract two FF ratios:

$$r_V = \frac{V(0)}{A_1(0)} \text{ and } r_2 = \frac{A_2(0)}{A_1(0)}.$$



ρ e v Form Factor Result



Projection of the combined ρ and ρ^0 data

* Difference in $\cos\theta_\pi$ might be due to s-wave interference

*

$$r_V = 1.48 \pm 0.15 \pm 0.05$$

$$r_2 = 0.83 \pm 0.11 \pm 0.04$$

*

Using PDG V_{cd} , D^0 and D^+ lifetime:

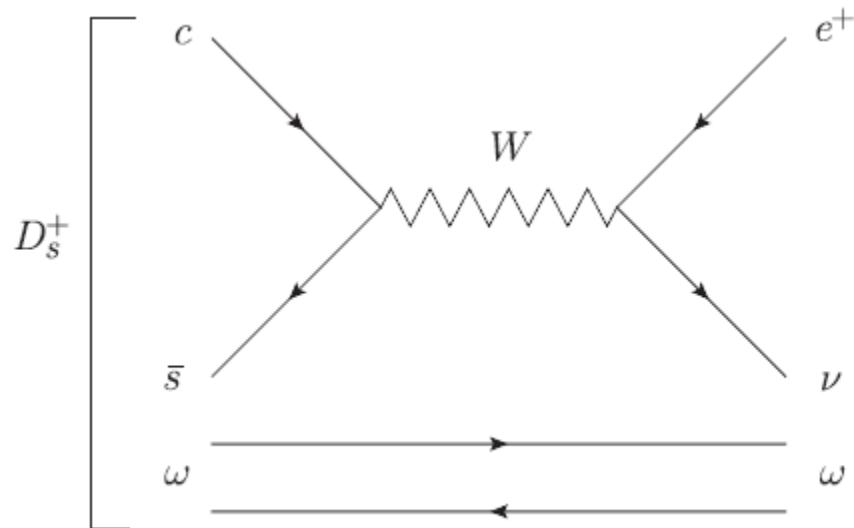
$$A_1(0) = 0.56 \pm 0.01^{+0.02}_{-0.03}$$

$$A_2(0) = 0.47 \pm 0.06 \pm 0.04$$

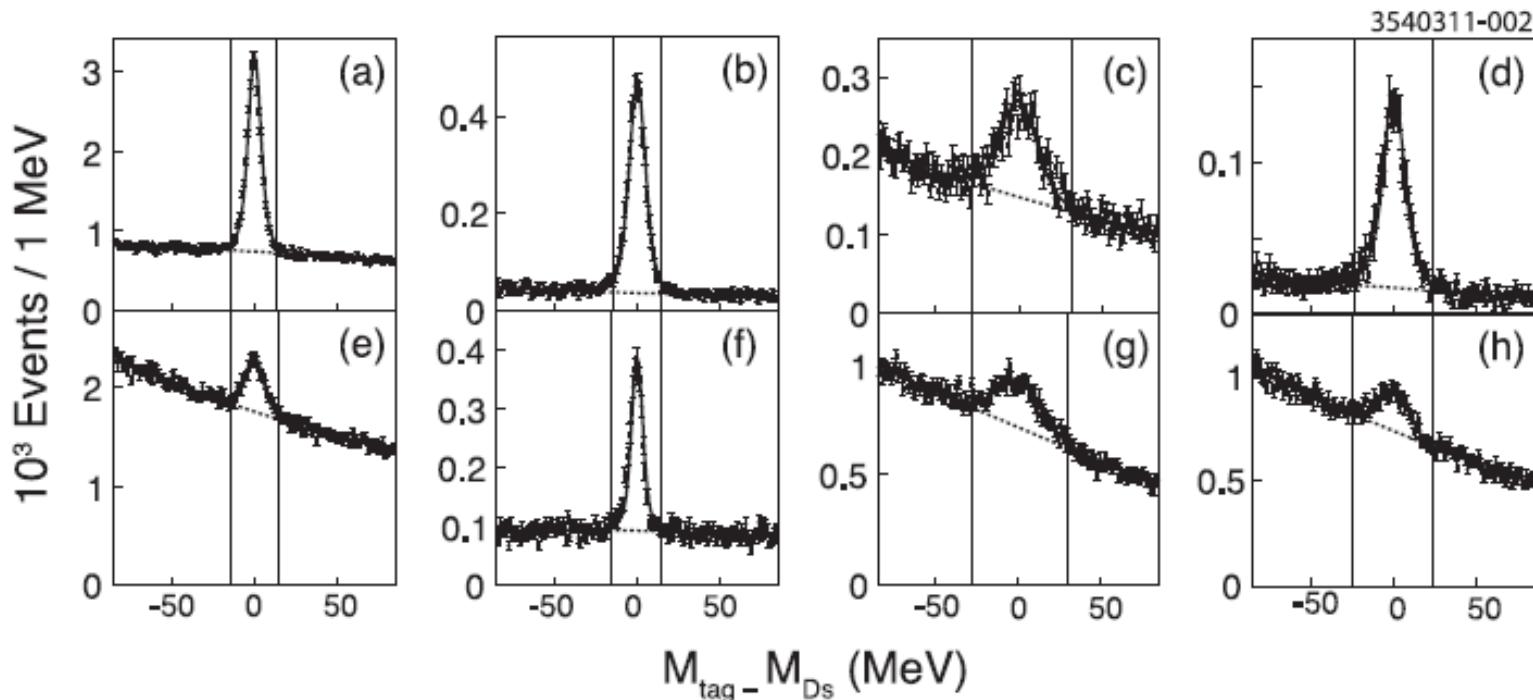
$$V(0) = 0.84 \pm 0.09^{+0.05}_{-0.06}$$

Search for $D_s^+ \rightarrow \omega e^+ \nu$

- CLEOc, 4170 MeV, 586 pb^{-1} ($0.6 \times 10^6 D_s D_s^*$), PRD 84, 012005 (2011)
- Probe four-quark content of D_s , $\text{BF} > 2 \times 10^{-4}$ unlikely due to ω - ϕ mixing, evidence for “weak annihilation”. (see PRD 79, 074006, 2009)



Tag Modes



Modes	N_{data}	Low sideband	High sideband
$K_S^0 K^-$	5828 ± 92	1 231	958
$K^+ K^- \pi^-$	$25\,990 \pm 285$	22 385	19 452
$K^{*-} \bar{K}^{*0}$	2891 ± 100	2 783	2 647
$\pi^+ \pi^- \pi^-$	8152 ± 369	56 530	43 475
$\eta \pi^-$	3635 ± 160	5 727	3 379
$\eta \rho^-$	6877 ± 330	26 879	14 658
$\pi^- \eta' (\eta \pi^+ \pi^-)$	2344 ± 70	1 040	572
$\pi^- \eta' (\rho \gamma)$	4451 ± 337	42 412	25 476

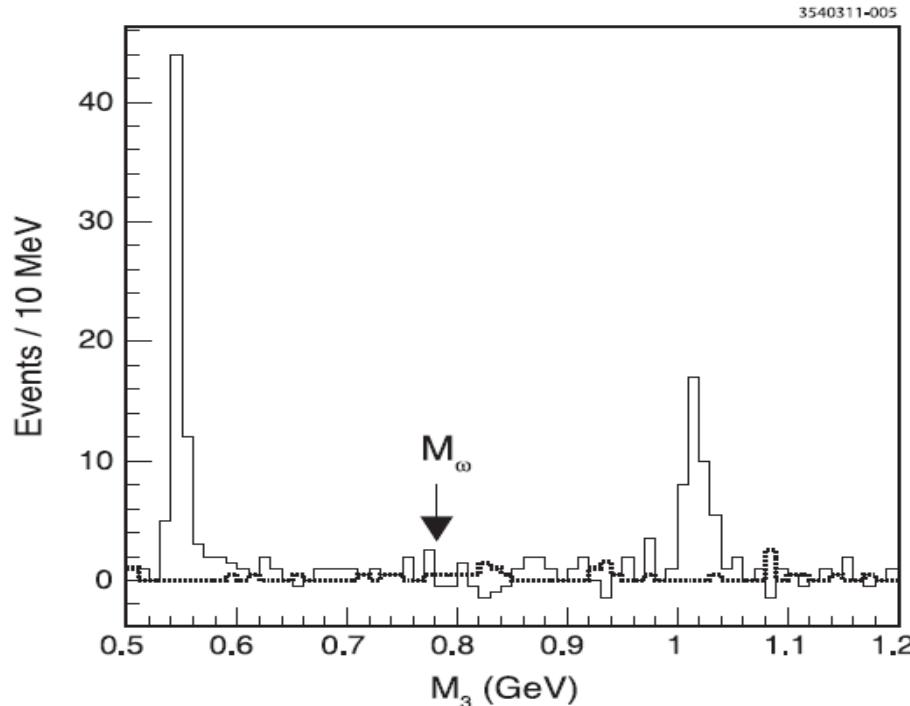
Signal Selection and Results

Missing mass square measured for missing neutrino:

$$MM^2 = (E_b - E_{\text{tag}} - E_\gamma - E_s)^2 - (\mathbf{p}_b - \mathbf{p}_{\text{tag}} - \mathbf{p}_\gamma - \mathbf{p}_s)^2$$

(E_s, P_s) : from $\omega(\pi\pi\pi^0)$ and electron

Require $-0.05 < MM^2 < 0.05 \text{ GeV}^2$, fit mass of $\pi\pi\pi^0$



No signal found:

Upper limit:

$$\mathcal{B}(D_s^+ \rightarrow \omega e^+ \nu) < 0.20\% \quad \text{at 90\% C.L.}$$

Summary

- Semi-leptonic D decay analyses have been successful , FOCUS, BELLE, BarBar and CELOc
- 1/3 $\psi(3770)$ data analyzed at BESIII for $D^0 \rightarrow K/\pi e \nu$, better precision expected
- More new results coming soon from BESIII