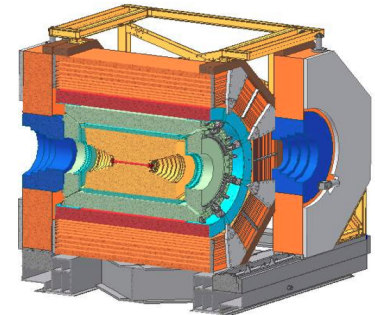


# $D^+$ Leptonic and $D^0$ Semileptonic Decays First Results from BESIII



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**ICHEP2012**  
Melbourne

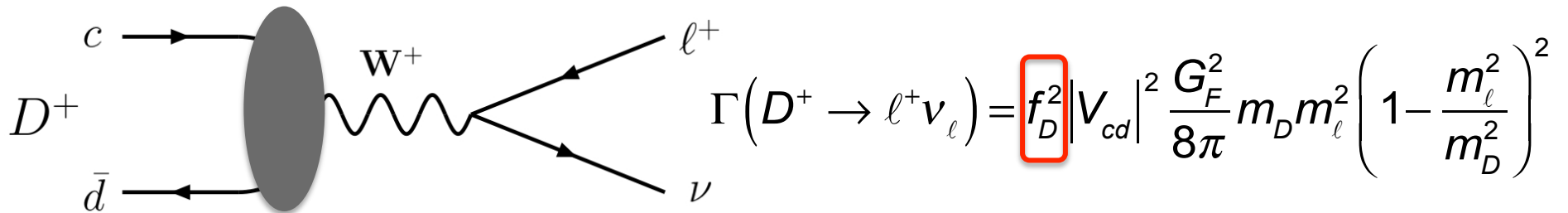
**36th International Conference  
on High Energy Physics**

4 – 11 July 2012  
Melbourne Convention and Exhibition Centre

The logo for ICHep2012 Melbourne features a stylized red map of Australia with a series of yellow dots along its eastern coast. The text "ICHEP2012" is in orange and "Melbourne" is in black. To the right, the conference title "36th International Conference on High Energy Physics" is in bold black, followed by the dates "4 – 11 July 2012" and the venue "Melbourne Convention and Exhibition Centre" in black.

# Window on Weak and Strong Physics

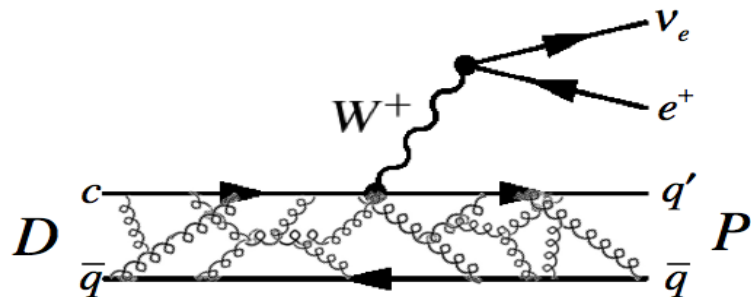
## Leptonic Decay



- Decay constant  $f_D$  incorporates the strong interaction effects (wave function at the origin)
- Use charm leptonic decays to validate theory (LQCD) and apply to  $B$  mixing, which requires  $f_B$
- Multiple tests with charm:  $f_D$ ,  $f_{D_s}$  (esp. ratios)
- Sensitivity to New Physics

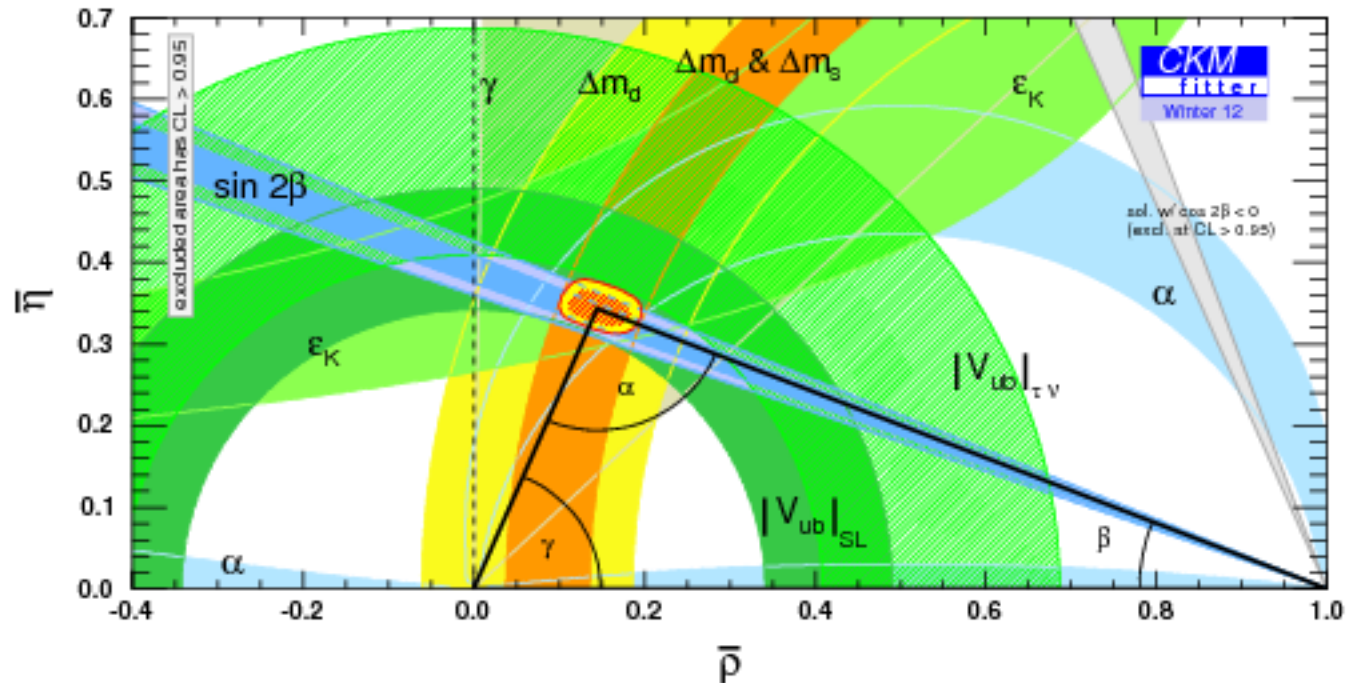
# Window on Weak and Strong Physics

## Semileptonic Decay


$$\frac{d\Gamma(D \rightarrow K(\pi) e \nu)}{dq^2} = \frac{G_F^2 |V_{cs(d)}|^2 P_{K(\pi)}^3}{24\pi^3} |f_+(q^2)|^2$$

- Use Strong Interaction theory (LQCD) for form factor, extract CKM
- Use other measurements and unitarity for CKM and test theory
- Theoretical uncertainties can be reduced in determinations of  $|V_{ub}|$  if FF calculations can be validated with charm
- Multiple tests available, semileptonic  $D$  decays to pseudoscalar mesons are cleanest

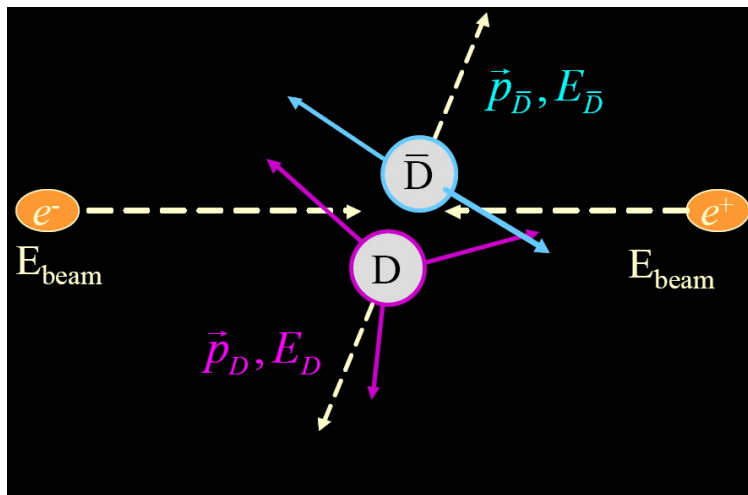
# Window on Weak and Strong Physics



- Widths of mixing and  $|V_{ub}|$  bands will be reduced as charm validates LQCD
- Long-term goal: Over-constrain CKM and search for New Physics

# Charm Physics at Threshold

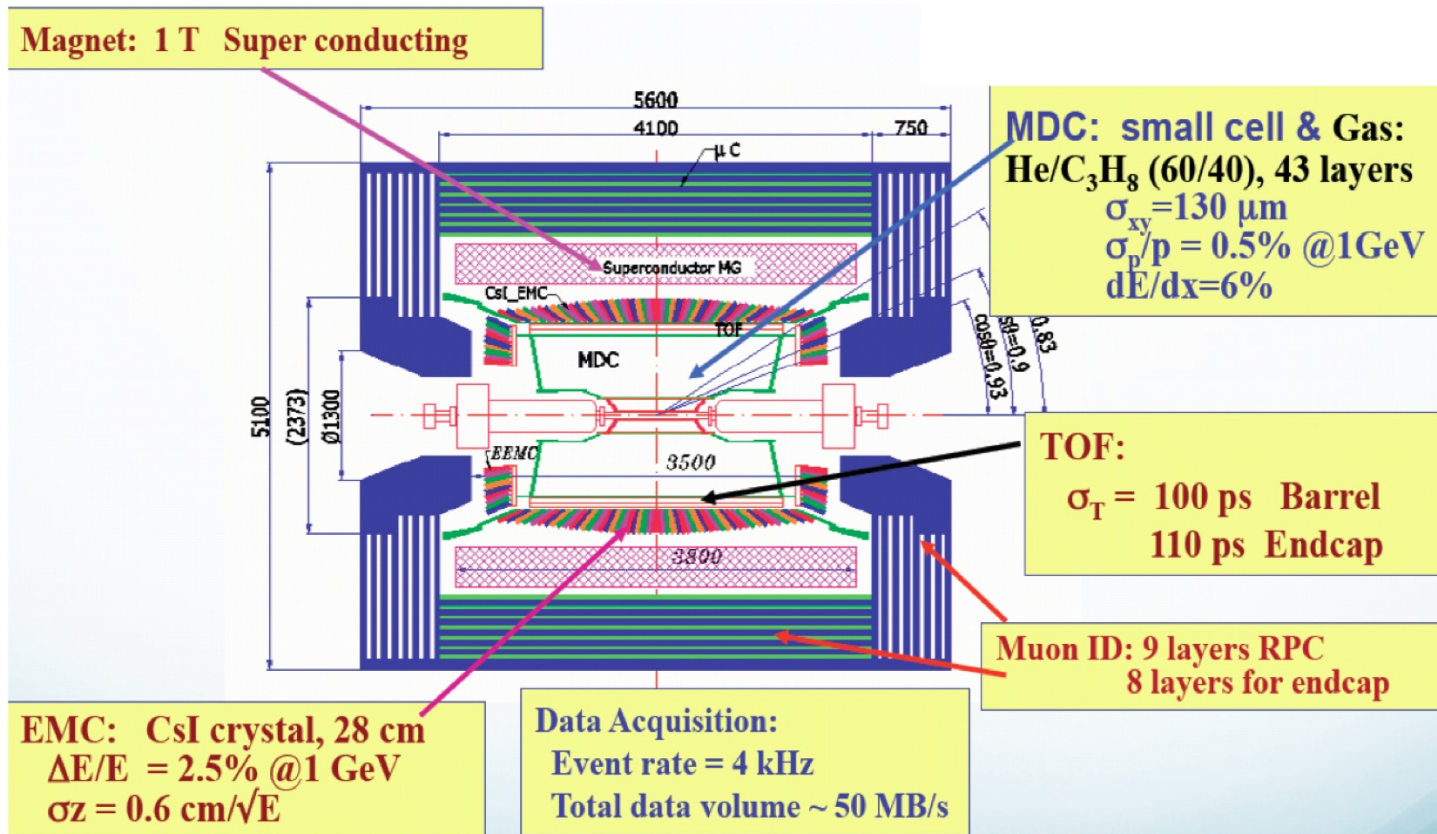
- At  $\psi(3770)$  charm production is  $D^0\bar{D}^0$  and  $D^+D^-$
- Fully reconstruct about 15% of  $D$  decays



$$\Delta E = E_D - E_{\text{Beam}}$$
$$M_{\text{BC}} = \sqrt{E_{\text{Beam}}^2 - p_D^2}$$

- Hadronic tag on one side gives “beam” of  $D^0$  or  $D^+$  on the other side for leptonic/semileptonic studies. Neutrino is reconstructed from missing energy and momentum

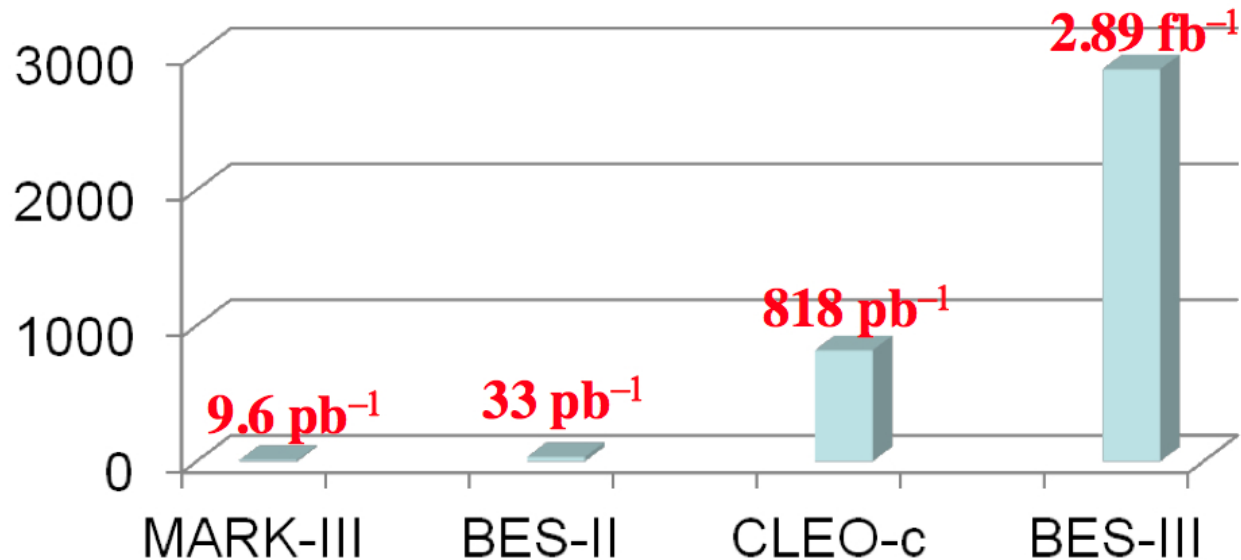
# BESIII at BEPCII



- Comparable capabilities to CLEO-c, plus muon ID
- The big advantage: BEPCII is a two-ring machine designed for charm
  - Design (achieved) luminosity at  $\psi(3770)$ :  $1 (0.65) \times 10^{33}$

## BESIII Data

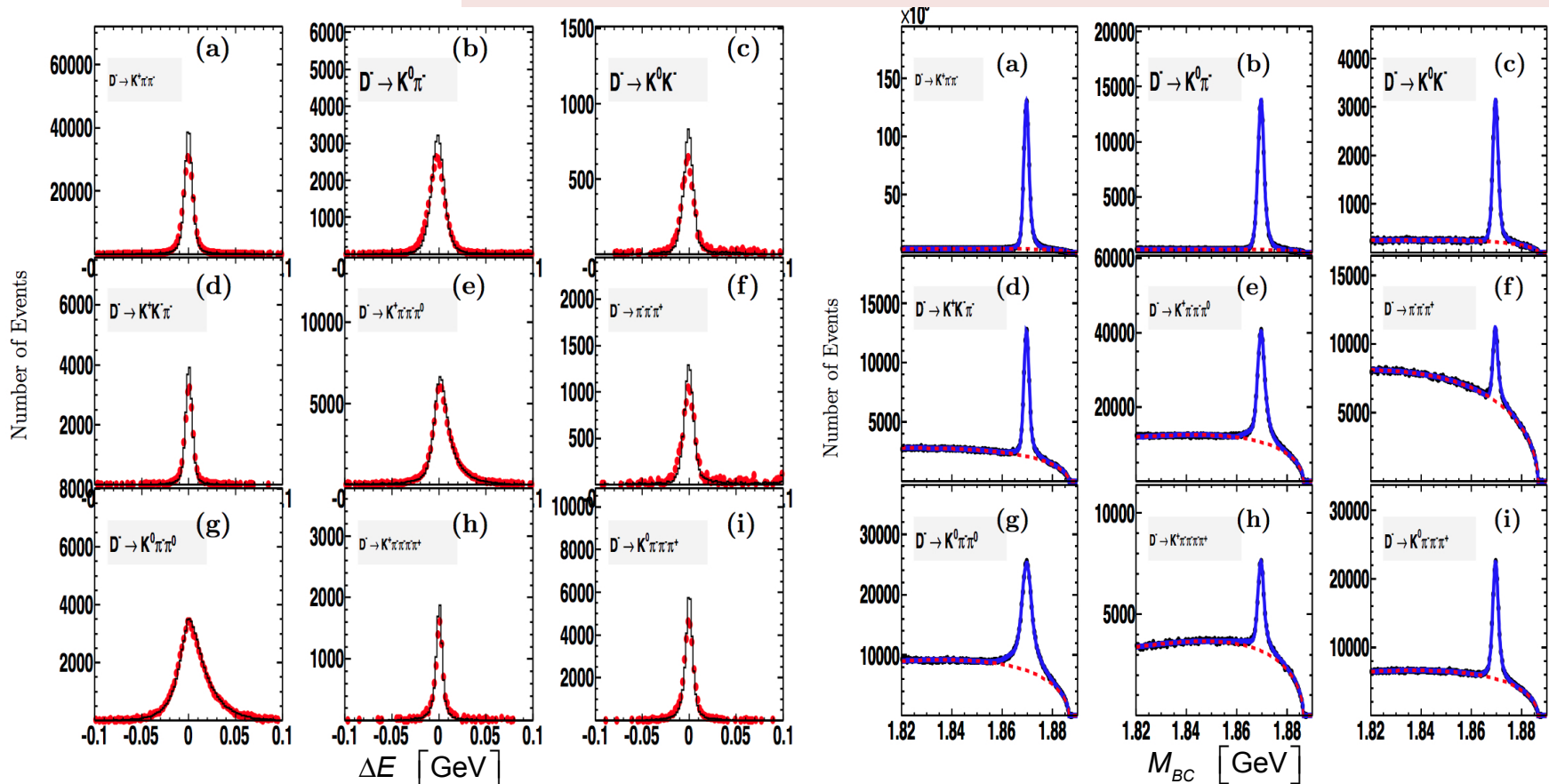
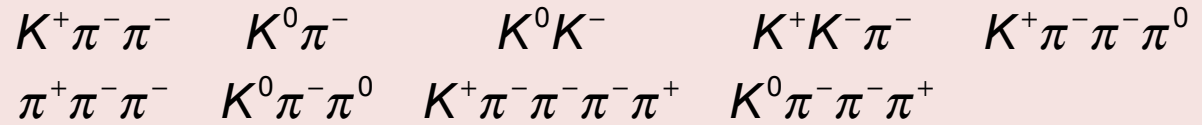
- World's largest  $\psi(3770)$  sample



- Tools/techniques for precision charm physics still under development – all results are PRELIMINARY
  - $D^+ \rightarrow K^0(\pi^0)e^+\nu_\mu$  analysis is “partially blind” – 0.92 fb<sup>-1</sup> analyzed so far. Full 2.9 fb<sup>-1</sup> later for final results

# $D^+$ Leptonic Decays – Tag Selection

- Nine  $D^-$  tag modes



$$N_{D^-}^{\text{tag}} = (1.566 \pm 0.002) \times 10^6 \text{ in } 2.9 \text{ fb}^{-1}$$

BESIII Preliminary

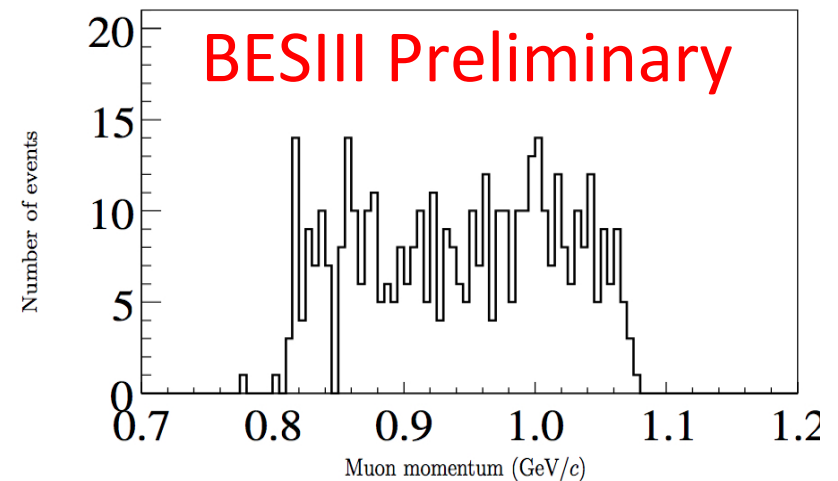
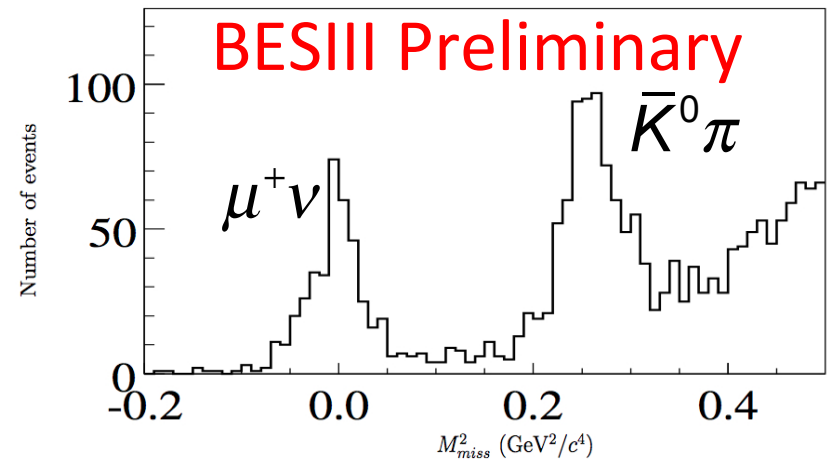


# $D^+$ Leptonic Decays – Signal Selection

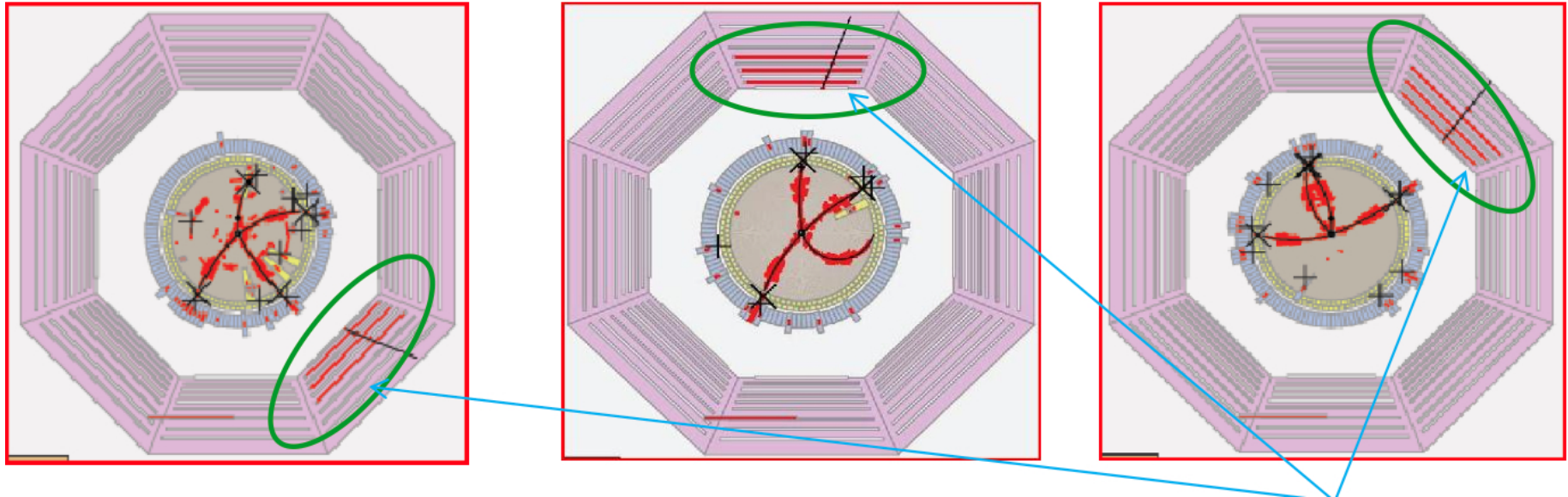
- Exactly one track in addition to tag, with the right charge
- Positive muon identification
- No extra photon
- Select on consistency with leptonic decay:

$$M_{\text{miss}}^2 = \left(E_{\text{Beam}} - E_{\mu}\right)^2 - \left(-\vec{p}_{\text{tag}} - \vec{p}_{\mu}\right)^2 \approx 0$$

425 signal candidates:  
small BG, mom. dist.  
consistent with  $D^+ \rightarrow \mu^+ \nu$

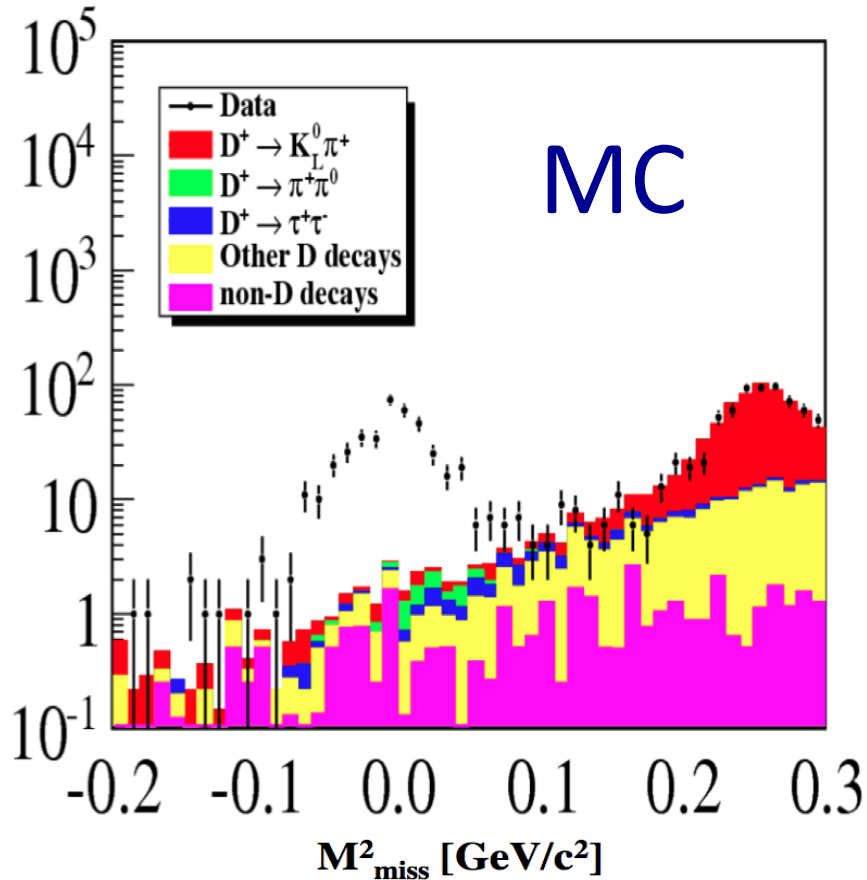


# $D^+$ Leptonic Decays – Sample Events



- Positive muon ID requirement reduces background at the expense of slightly reduced efficiency

# $D^+$ Leptonic Decays – Backgrounds



MC BG est.:  $47.7 \pm 2.6$

Indep. data est.:  $48.9 \pm 4.8$

## BESIII Preliminary

| Numbers of background events from $D\bar{D}$ decays |                |                  |  |
|---|----------------|------------------|--|
| Source  | $N_{bkg}^{MC}$ | Scale factor $f$ | $N_{bkg}^{data} = \frac{N_{bkg}^{MC}}{f} \times \frac{\eta_{data}}{\eta_{MC}}$ |
| $D^+ \rightarrow K_L^0 \pi^+$                       | 111            | 10.8             | $7.9 \pm 0.8 \pm 0.3$  |
| $D^+ \rightarrow \pi^+ \pi^0$                       | 53             | 10.8             | $3.8 \pm 0.5 \pm 0.3$  |
| $D^+ \rightarrow \tau^+ \nu_\tau$                   | 96             | 10.8             | $6.9 \pm 0.7 \pm 0.3$  |
| Other $D$ decays                                    | 250            | 10.8             | $17.9 \pm 1.1 \pm 0.5$   |
| <b>Sum</b>  | <b>510</b>     | <b>10.8</b>      | <b><math>36.4 \pm 1.6 \pm 0.7</math></b>                                       |

| Numbers of background events from $non - D\bar{D}$ decays |                |                  |  |
|---|----------------|------------------|--|
| Source  | $N_{bkg}^{MC}$ | Scale factor $f$ | $N_{bkg}^{data} = \frac{N_{bkg}^{MC}}{f} \times \frac{\eta_{data}}{\eta_{MC}}$ |
| $e^+e^- \rightarrow (\gamma)\psi(3686)$                   | 2              | 6.3              | $0.2 \pm 0.2 \pm 0.0$  |
| $e^+e^- \rightarrow (\gamma)J/\psi$                       | 0              | 5.7              | $0.0 \pm 0.0 \pm 0.0$  |
| $e^+e^- \rightarrow \text{Light Hadron}$                  | 33             | 3.1              | $8.2 \pm 1.4 \pm 0.3$  |
| $e^+e^- \rightarrow \tau^+ \tau^-$                        | 15             | 6.0              | $1.9 \pm 0.5 \pm 0.4$  |
| $\psi(3770) \rightarrow non - D\bar{D}$                   | 7              | 5.8              | $0.9 \pm 0.4 \pm 0.9$  |
| <b>Sum</b>  |                |                  | <b><math>11.3 \pm 1.6 \pm 1.0</math></b>                                       |
| <i>Total (D decay and non - D decay)</i>                  |                |                  | <b><math>47.7 \pm 2.3 \pm 1.3</math></b>                                       |

| Event type  | Number                   |
|---|--------------------------|
| $N(D^+ \rightarrow \mu^+ \nu_\mu)^{\text{candidate}}$ | 425                      |
| $N_b$   | $47.7 \pm 2.3 \pm 1.3$   |
| $N(D^+ \rightarrow \mu^+ \nu_\mu)$                    | $377.3 \pm 20.6 \pm 2.6$ |

## $D^+$ Leptonic Decays – Results

### BESIII Preliminary

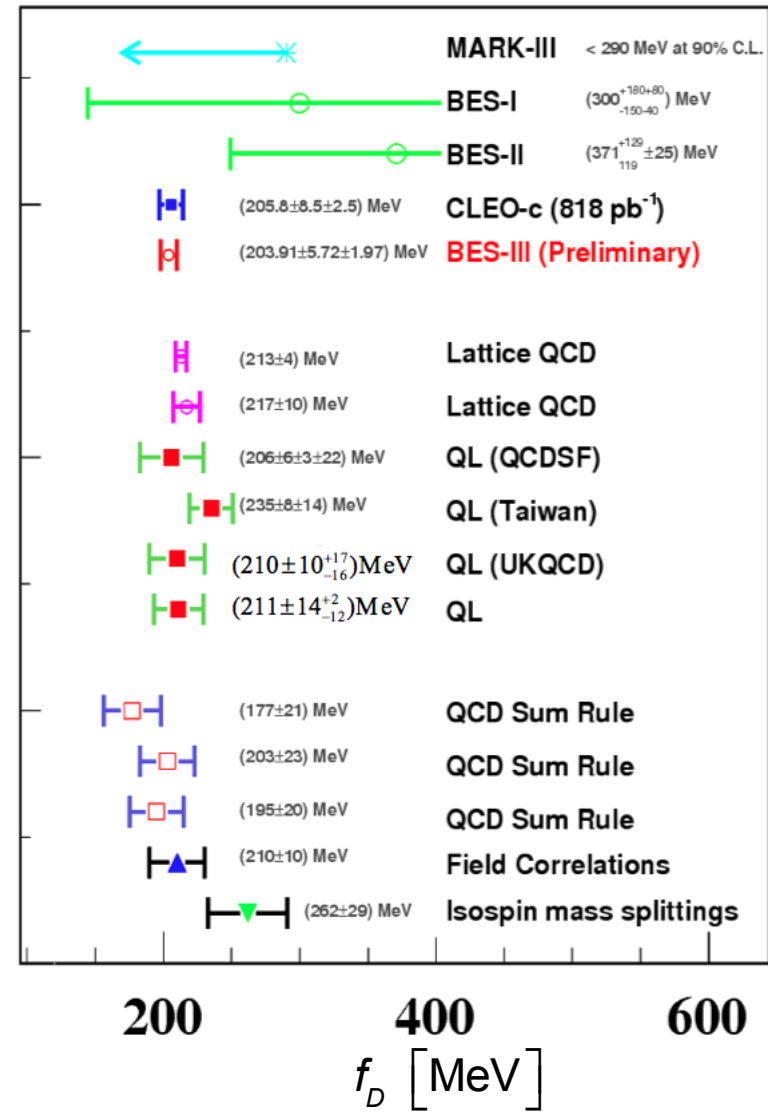
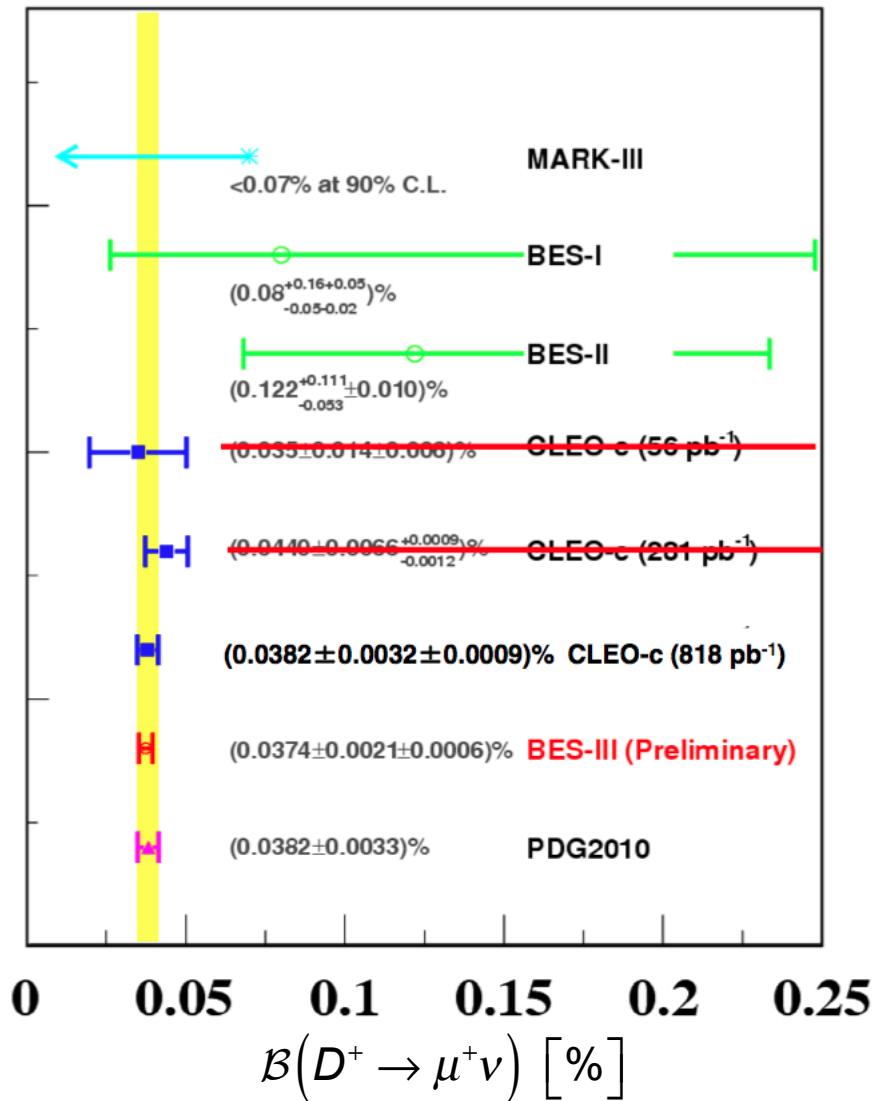
$$N(D^+ \rightarrow \mu^+ \nu) = 377.3 \pm 20.6$$

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu) = (3.74 \pm 0.21 \pm 0.06) \times 10^{-4}$$

$$f_{D^+} = (203.9 \pm 5.7 \pm 2.0) \text{ MeV}$$

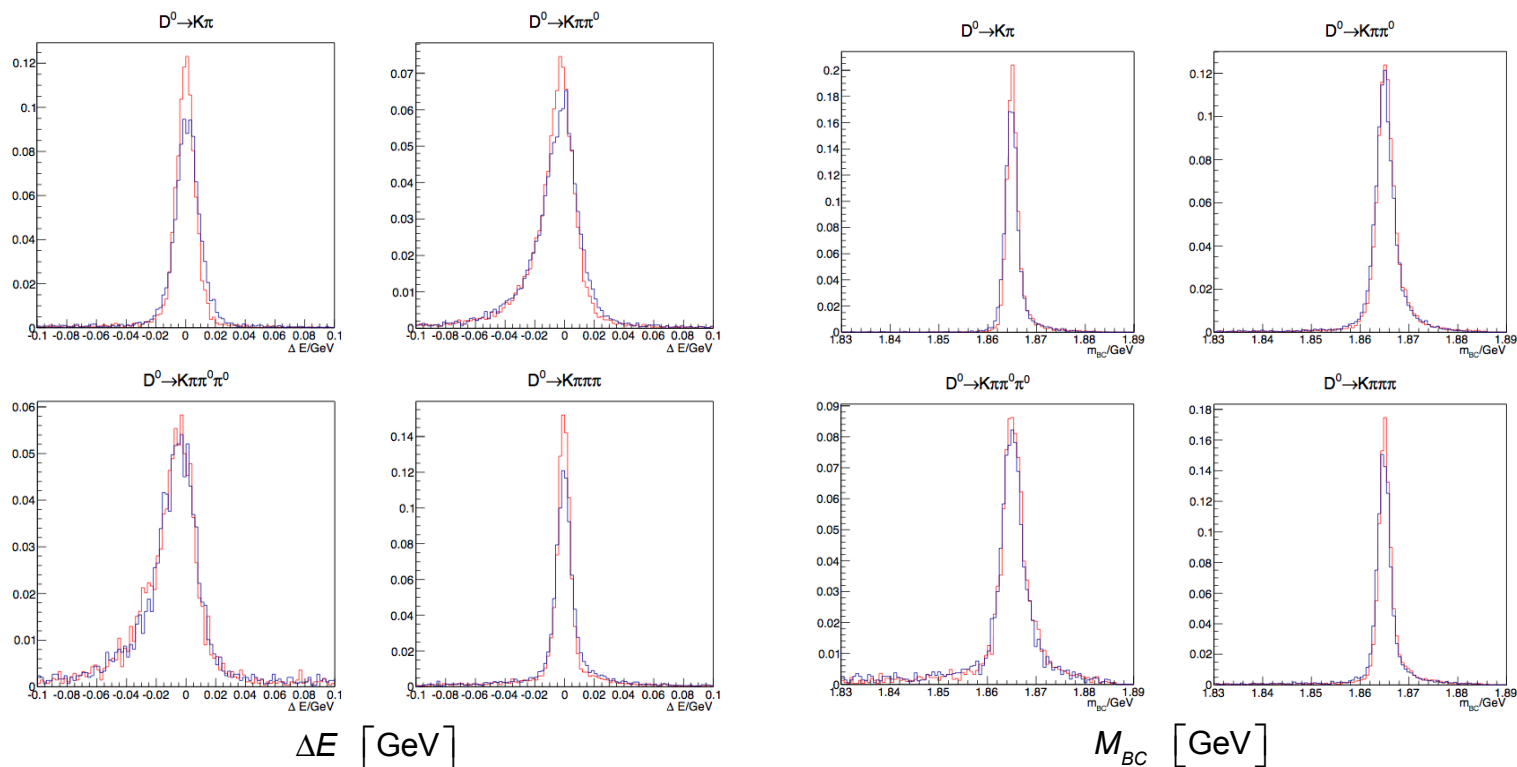
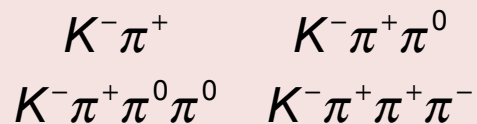
- Consistent with CLEO-c
- Still statistics limited – need more data!

# $D^+$ Leptonic Decays – Comparisons (from G. Rong)



# $D^0$ Semileptonic Decays – Tag Selection

- Four  $D^0$  tag modes



$$N_{D^-}^{\text{tag}} = (0.774 \pm 0.001) \times 10^6 \text{ in } 0.92 \text{ fb}^{-1}$$

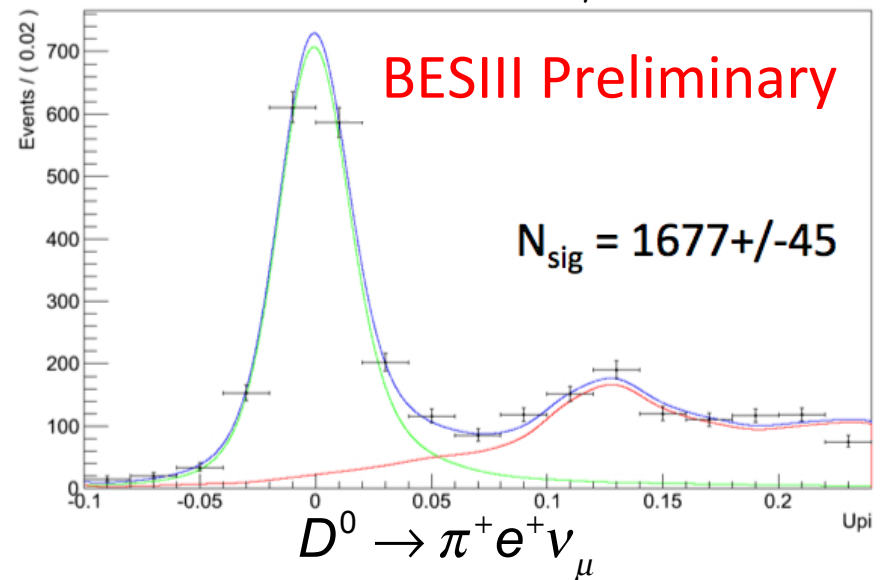
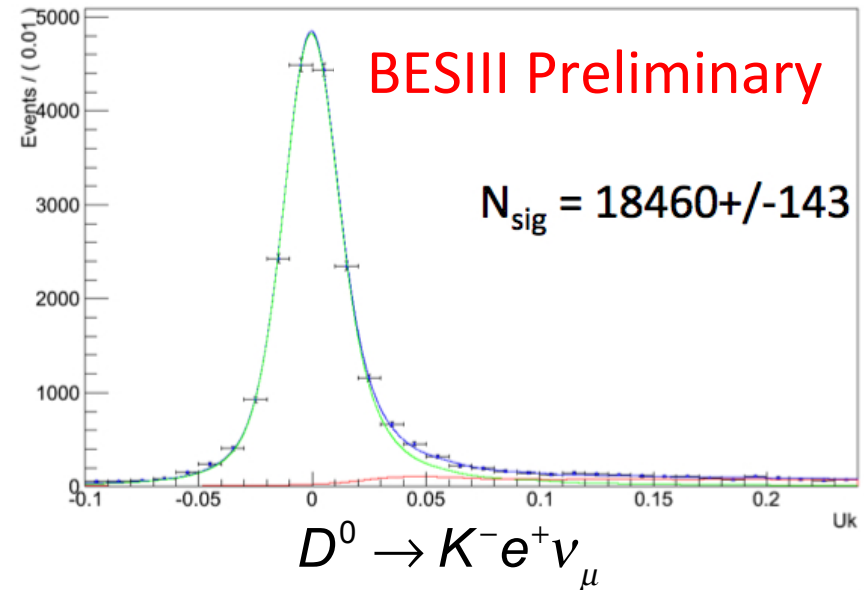
**BESIII Preliminary**

# $D^0$ Semileptonic Decays – Signal Selection

- Tag plus exactly two oppositely-charged tracks
- Kaon/pion/electron ID
- Electron has right charge
- No extra neutral energy
- Select on consistency with semileptonic decay

$$U = E_{\text{miss}} - |\vec{P}_{\text{miss}}| \approx 0$$

- Fit  $U$  distribution to extract yield



# $D^0$ Semileptonic Decays – Branching Fraction

$$B_{sig} = \frac{N_{sig}^{obs}}{\sum_{\alpha} N_{tag}^{obs,\alpha} \epsilon_{tag,sig}^{\alpha} / \epsilon_{tag}^{\alpha}}$$

BESIII Preliminary

| Mode  | measured branching fraction(%) | PDG               | CLEOc                       |
|---|--------------------------------|-------------------|-----------------------------|
| $\bar{D}^0 \rightarrow K^+ e^- \bar{\nu}$   | $3.542 \pm 0.030 \pm 0.067$    | $3.55 \pm 0.04$   | $3.50 \pm 0.03 \pm 0.04$    |
| $\bar{D}^0 \rightarrow \pi^+ e^- \bar{\nu}$ | $0.288 \pm 0.008 \pm 0.005$    | $0.289 \pm 0.008$ | $0.288 \pm 0.008 \pm 0.003$ |

- Systematic uncertainties are preliminary
- Good consistency with CLEO-c, statistical precision is comparable with only 1/3 data analyzed

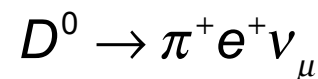
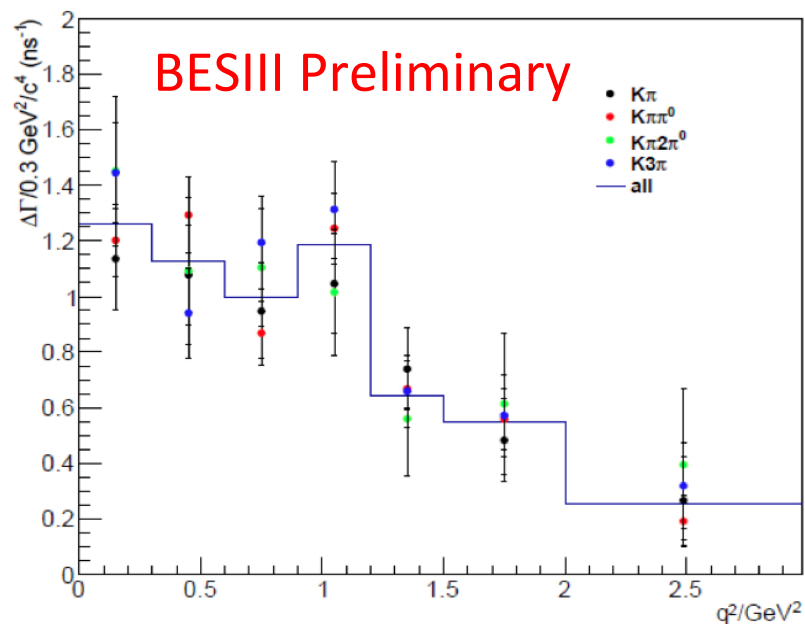
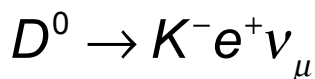
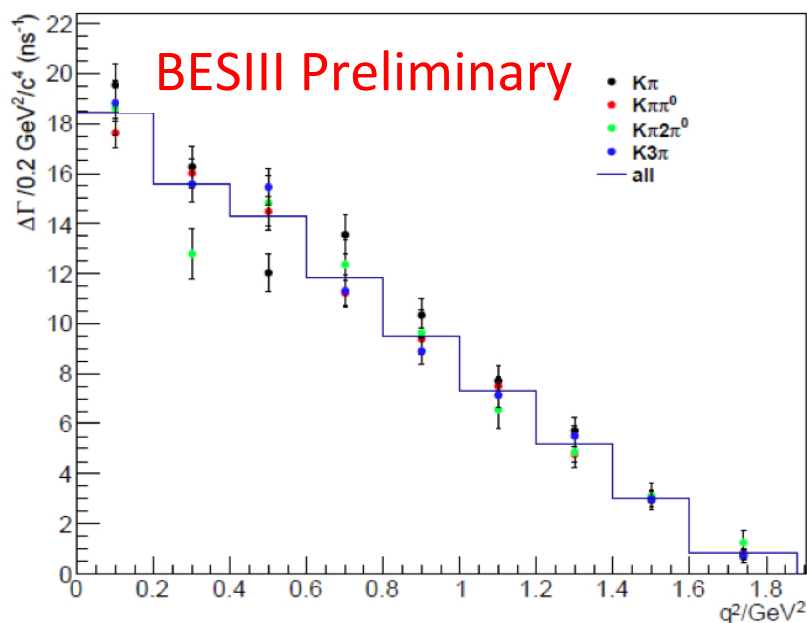


# $D^0$ Semileptonic Decays – $q^2$ Distribution

- Partition  $D^+$  semileptonic candidates in bins of

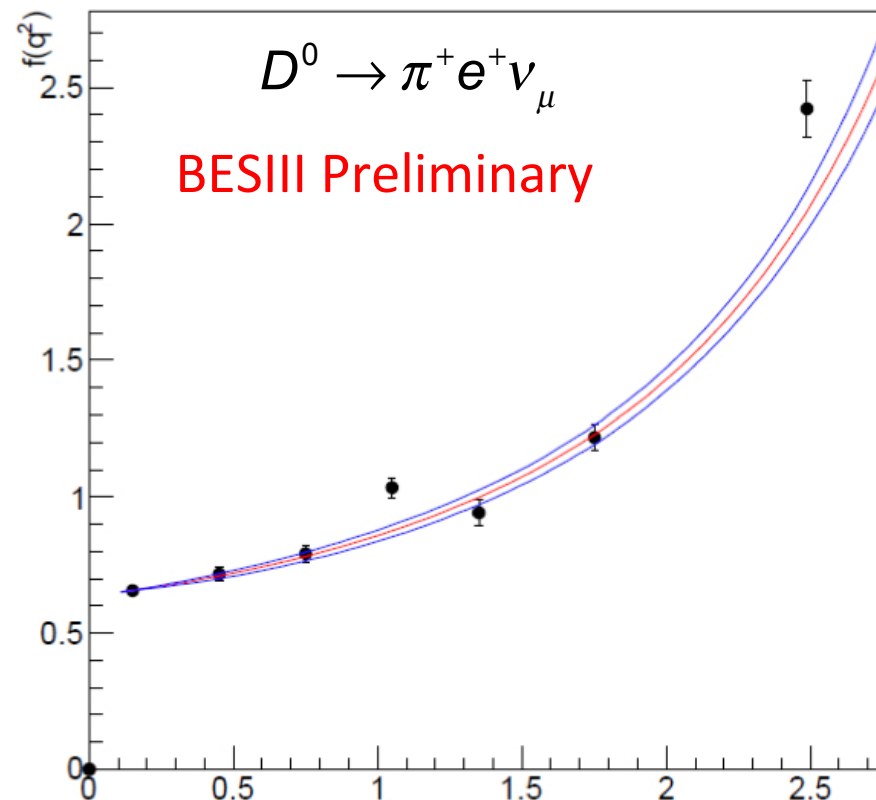
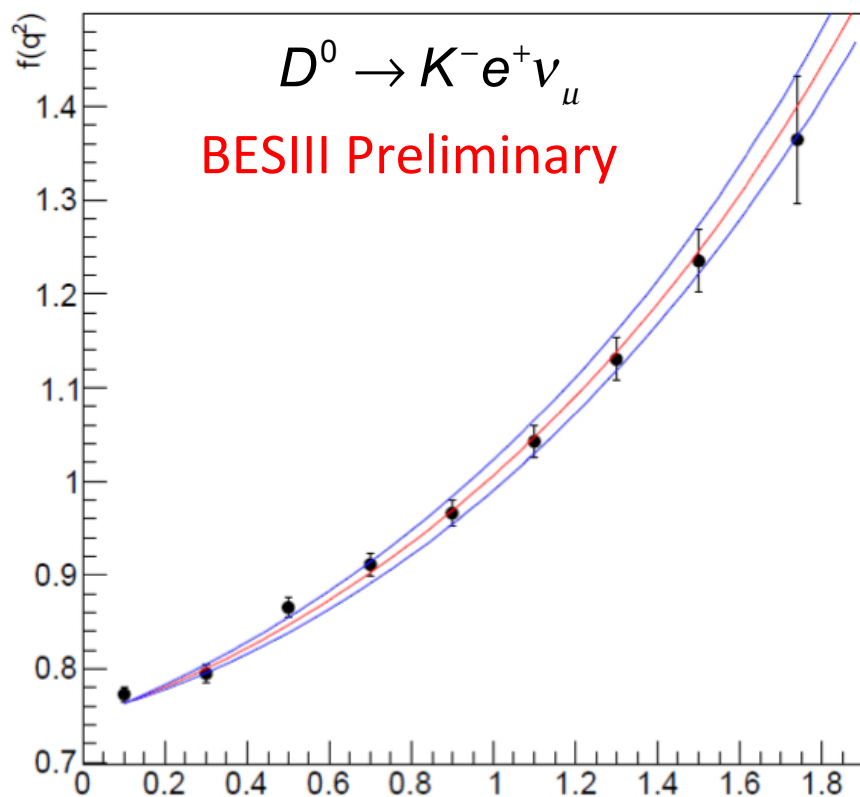
$$q^2 = (E_\nu + E_e)^2 - |\vec{p}_\nu + \vec{p}_e|^2 \quad \text{with} \quad E_\nu = E_{\text{miss}} \quad |\vec{p}_\nu| = E_{\text{miss}}$$

- Fit  $U$  distribution in each  $q^2$  bin



# $D^0$ Semileptonic Decays – extract $f(q^2)$

- Points are data with statistical errors only
- Curves are Fermilab-MILC (arXiv:1111.5471) with  $\pm 1\sigma$  (statistical) bands



# $D^0$ Semileptonic Decays – Form Factor Parameterizations

Simple Pole Model

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{H^*}^2}\right)}$$

Modified Pole Model

Becirevic and Kaidalov  
PLB 478, 417 ('00)

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{H^*}^2}\right)\left(1 - \alpha \frac{q^2}{m_{H^*}^2}\right)}$$

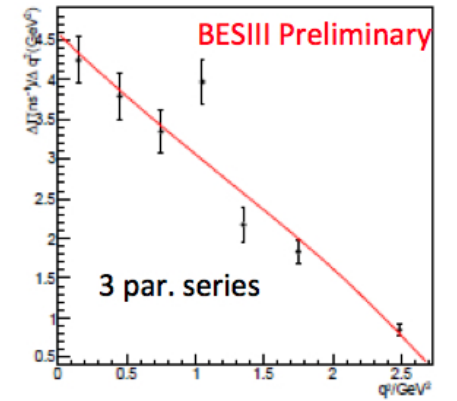
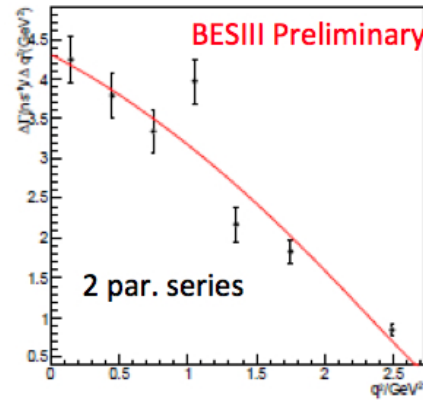
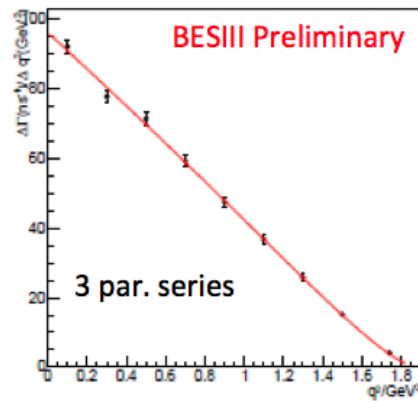
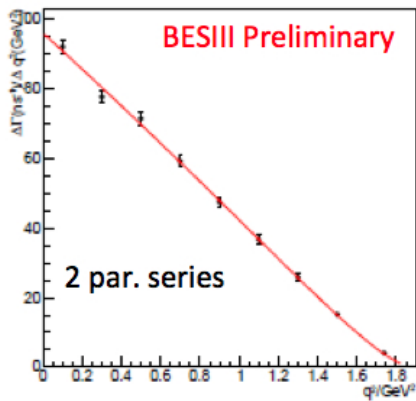
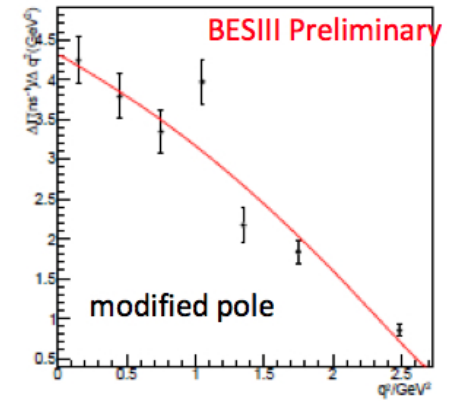
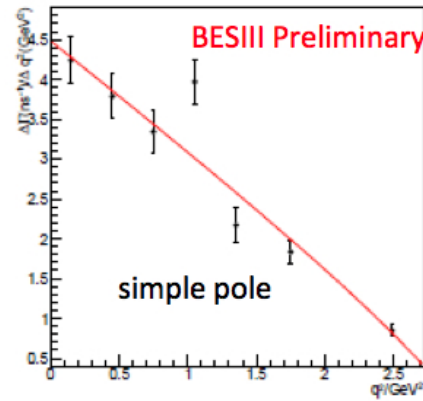
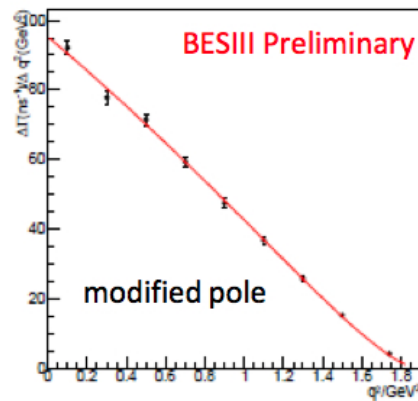
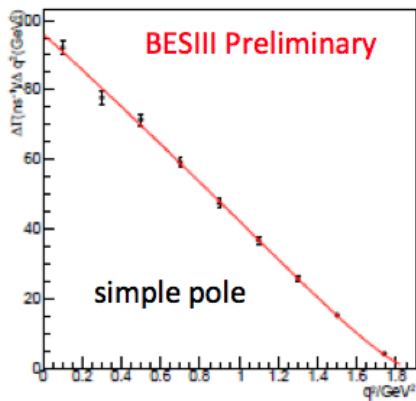
Series Expansion

Becher and Hill  
PLB 633, 61 ('06)

$$f_+(q^2) = \frac{1}{P(q^2)\phi(q^2, t_0)} \sum_{k=0}^{\infty} a_k(t_0) \left[ z(q^2, t_0) \right]^k$$

$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}} \quad t_{\pm} = (m_D \pm m_X)^2$$

# $D^0$ Semileptonic Decays – $f(q^2)$



$$D^0 \rightarrow K^- e^+ \nu_\mu$$

$$D^0 \rightarrow \pi^+ e^+ \nu_\mu$$

# $D^0$ Semileptonic Decays – Form Factor Results

|                            |                             |                              |                             |
|----------------------------|-----------------------------|------------------------------|-----------------------------|
| Simple Pole                | $f_+(0) V_{cd(s)} $         | $m_{pole}$                   |                             |
| $D^0 \rightarrow Ke\nu$    | $0.729 \pm 0.005 \pm 0.007$ | $1.943 \pm 0.025 \pm 0.003$  |                             |
| $D^0 \rightarrow \pi e\nu$ | $0.142 \pm 0.003 \pm 0.001$ | $1.876 \pm 0.023 \pm 0.004$  |                             |
| Modified Pole              | $f_+(0) V_{cd(s)} $         | $\alpha$                     |                             |
| $D^0 \rightarrow Ke\nu$    | $0.725 \pm 0.006 \pm 0.007$ | $0.265 \pm 0.045 \pm 0.006$  |                             |
| $D^0 \rightarrow \pi e\nu$ | $0.140 \pm 0.003 \pm 0.002$ | $0.315 \pm 0.071 \pm 0.012$  |                             |
| 2 par. series              | $f_+(0) V_{cd(s)} $         | $r_1$                        |                             |
| $D^0 \rightarrow Ke\nu$    | $0.726 \pm 0.006 \pm 0.007$ | $-2.034 \pm 0.196 \pm 0.022$ |                             |
| $D^0 \rightarrow \pi e\nu$ | $0.140 \pm 0.004 \pm 0.002$ | $-2.117 \pm 0.163 \pm 0.027$ |                             |
| 3 par. series              | $f_+(0) V_{cd(s)} $         | $r_1$                        | $r_2$                       |
| $D^0 \rightarrow Ke\nu$    | $0.729 \pm 0.008 \pm 0.007$ | $-2.179 \pm 0.355 \pm 0.053$ | $4.539 \pm 8.927 \pm 1.103$ |
| $D^0 \rightarrow \pi e\nu$ | $0.144 \pm 0.005 \pm 0.002$ | $-2.728 \pm 0.482 \pm 0.076$ | $4.194 \pm 3.122 \pm 0.448$ |

- Reasonable consistency with CLEO-c, comparable precision with 2/3 of data still to analyze

## Future Charm Prospects at BESIII

- Finalize  $D^+ \rightarrow \mu^+ \nu_\mu$  and  $D^0 \rightarrow K^-(\pi^+)e^+ \nu_\mu$  on the  $2.9 \text{ fb}^{-1} \psi(3770)$  sample
- Extend to  $D^+ \rightarrow K^0(\pi^0)e^+ \nu_\mu$  and other modes
- Highlights of coming data runs:

2012-2013  $E_{\text{CM}}=4260$  and  $4360$  MeV for “XYZ” studies ( $0.5 \text{ fb}^{-1}$  each)

2013-2014  $E_{\text{CM}}=4170$  MeV for  $D_s$  ( $\sim 2.4 \text{ fb}^{-1}$ )

TBD Additional  $\psi(3770)$  data

# Summary and Conclusions

- First results from the BESIII experiment have been presented on

–  $D^+$  Leptonic Decays

## BESIII Preliminary

$$N(D^+ \rightarrow \mu^+ \nu) = 377.3 \pm 20.6$$

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu) = (3.74 \pm 0.21 \pm 0.06) \times 10^{-4}$$

$$f_{D^+} = (203.9 \pm 5.7 \pm 2.0) \text{ MeV}$$

–  $D^0$  Semileptonic Decays

## BESIII Preliminary

$$\mathcal{B}(D^0 \rightarrow K^+ e \nu) = (3.542 \pm 0.030 \pm 0.067) \%$$

$$\mathcal{B}(D^0 \rightarrow \pi^+ e \nu) = (0.288 \pm 0.008 \pm 0.005) \%$$

$\frac{\Delta\Gamma}{\Delta q^2}$  distributions  $\rightarrow$  FF fits, parameters

- BESIII has arrived for precision charm physics, with more data and more measurements to come