



# Rare Charm Decays from BES III

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**(for the BESIII Collaboration)**

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北京谱仪  
III

# Outline

- **BEPCII and the BESIII Experiment**
- **Charm Program at BESIII**
- **Preliminary Results on  $D$  rare/forbidden decays**
- **Summary**

# The BEPCII Collider

Beam energy: 1.0 – 2.3 GeV

Peak Luminosity:

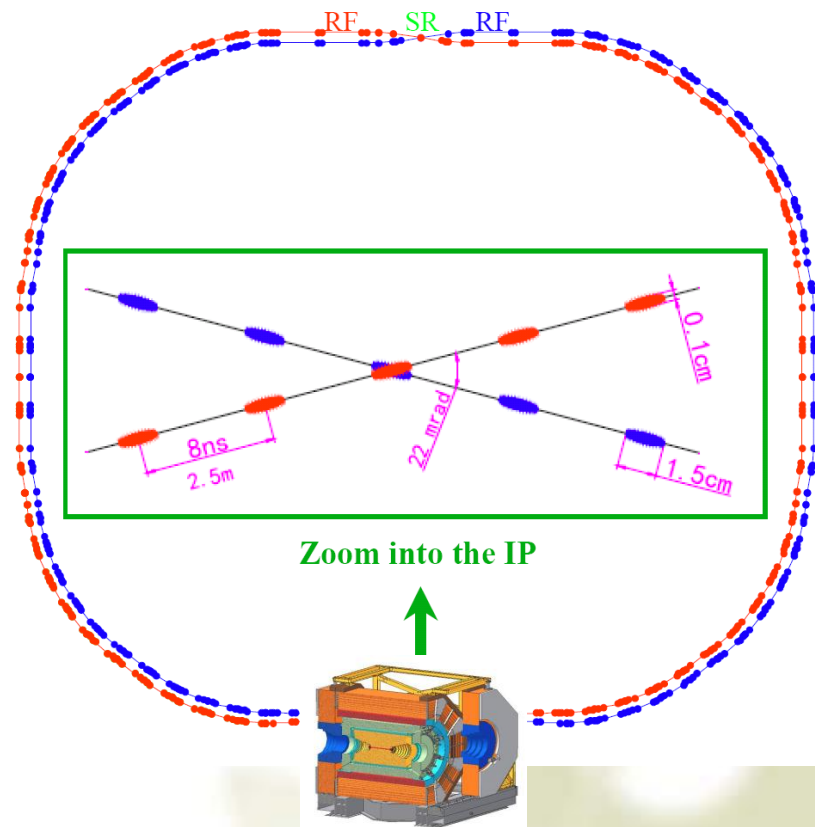
**Design:**  $1 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$

**Achieved:**  $0.65 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$

Optimum energy: 1.89 GeV

Energy spread:  $5.16 \times 10^{-4}$

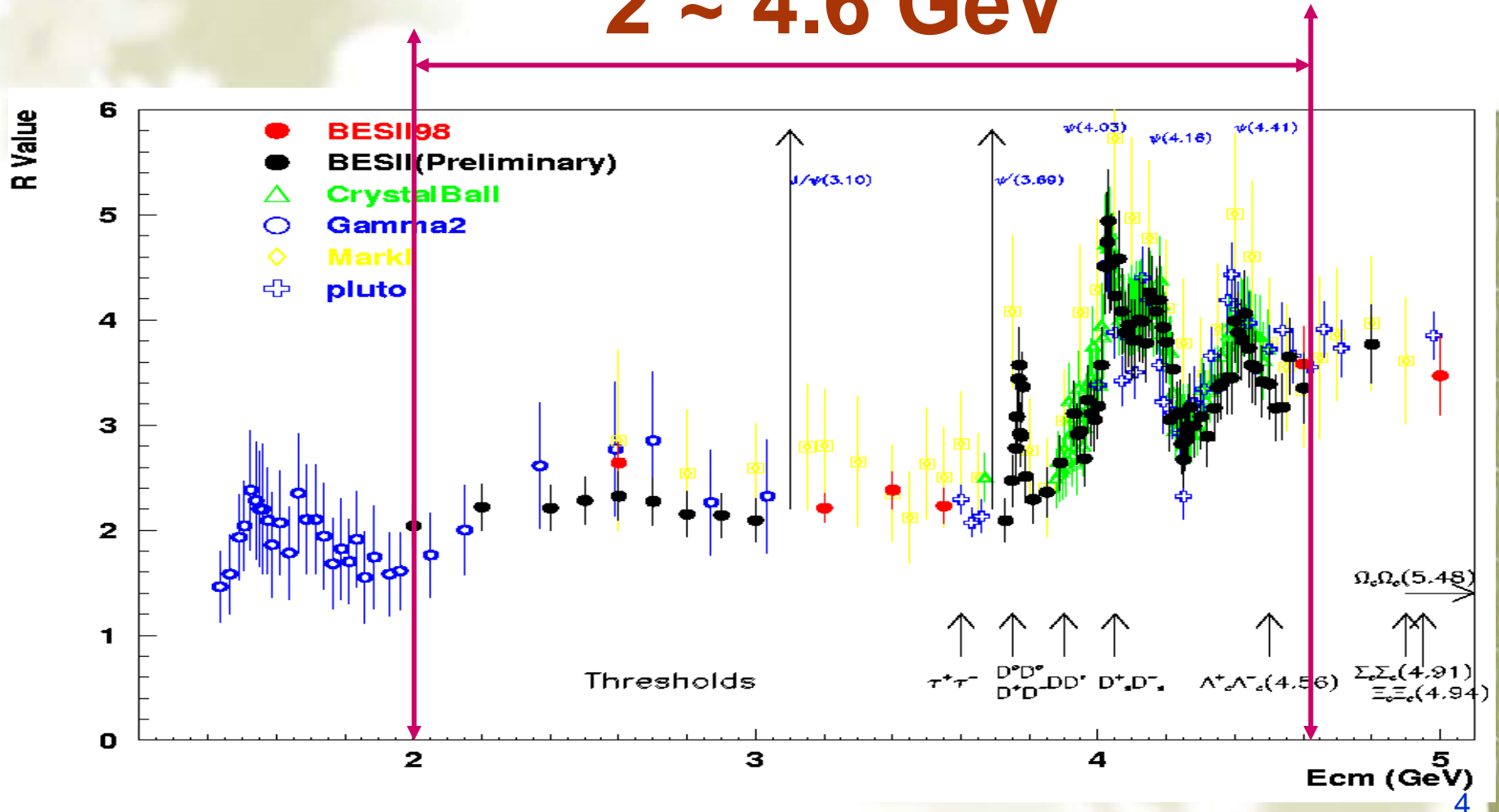
Circumference: 237 m



**Beam energy measurement:** Using Compton backscattering technique. Accuracy up to  $5 \times 10^{-5}$

# Energies of the BEPCII Collider

2 ~ 4.6 GeV



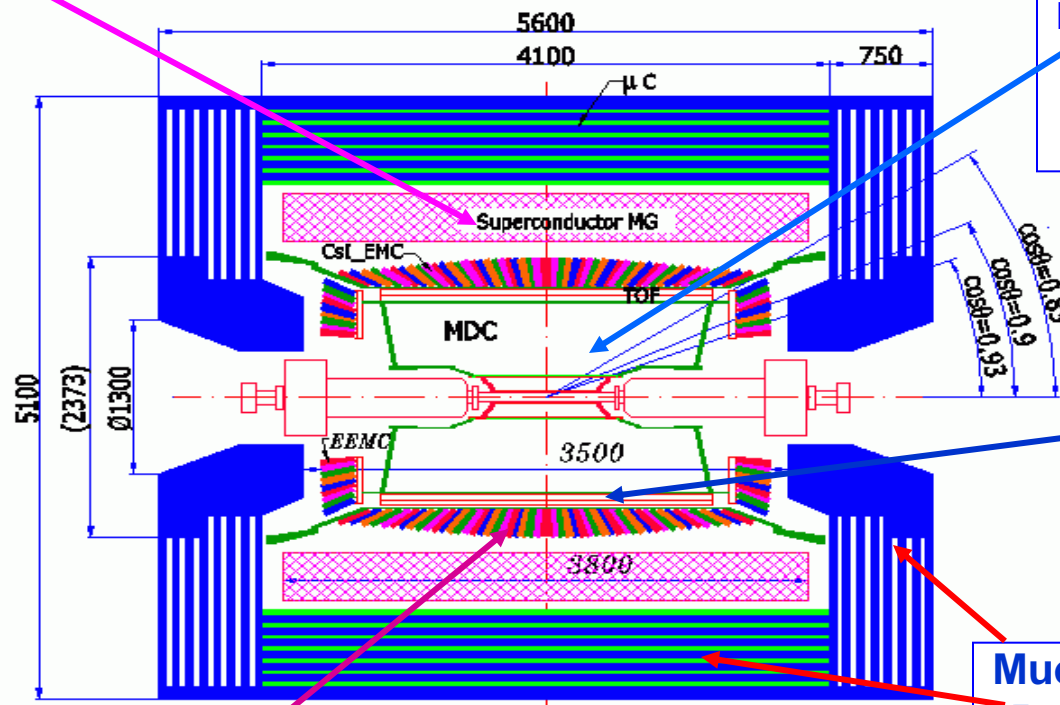
# Data Samples

- So far BESIII has collected :
  - 2009: 220 Million  $J/\psi$  ( $4 \times$  BESII)
  - 2009: 106 Million  $\psi'$  ( $4 \times$  CLEOc)
  - 2009: 42  $\text{pb}^{-1}$  @3.65 GeV
  - **2010-11: 2.89  $\text{fb}^{-1}$   $\psi(3770)$**   
**( $3.5 \times$  CLEO-c  $0.818\text{fb}^{-1}$ )**
  - **2011: 0.5 $\text{fb}^{-1}$  @4010 MeV for Ds and XYZ spectroscopy**
  - 2012: tau mass measurement; 0.4 billion  $\Psi'$ ; 1 billion  $J/\psi$  (by May 25)
- BESIII data-taking plans (preliminary)
  - 2013: Ds physics (@4170 MeV) + R scan ( $E_{\text{cm}} > 4$  GeV)
  - 2014:  $\psi'/\tau$  /R scan ( $E_{\text{cm}} > 4$  GeV);
  - 2015:  $\psi(3770)$ : 5-10  $\text{fb}^{-1}$  for DD

**World's largest samples  
of on-threshold  $J/\psi$ ,  
 $\psi(3686)$  and  $\psi(3770)$  data**

# The BESIII Detector

Magnet: 1 T Super conducting



MDC: small cell & He gas  
 $\sigma_{xy} = 130 \mu\text{m}$   
 $s_p/p = 0.5\% @ 1\text{GeV}$   
 $dE/dx = 6\%$

TOF:  
 $\sigma_T = 90 \text{ ps}$  Barrel  
 $110 \text{ ps}$  Endcap

Muon ID: 8~9 layer RPC  
 $\sigma_{R\phi} = 1.4 \text{ cm} \sim 1.7 \text{ cm}$

EMCAL: CsI crystal  
 $\Delta E/E = 2.5\% @ 1 \text{ GeV}$   
 $\sigma_{\phi,z} = 0.5 \sim 0.7 \text{ cm}/\sqrt{E}$

Data Acquisition:  
 Event rate = 3 kHz  
 Throughput ~ 50 MB/s

Trigger: Tracks & Showers  
 Pipelined; Latency = 6.4  $\mu\text{s}$

The new BESIII detector is hermetic for neutral and charged particle with excellent resolution, PID, and large coverage.

# The BESIII Collaboration

<http://bes3.ihep.ac.cn>

Political Map of the World, June 1999



**>300 physicists**

**50 institutions from 10 countries**

# Physics at BESIII

Many exciting ways to use higher luminosity !

**Charmonium states:**  $J/\psi$ ,  $\psi(2S)$ ,  $\eta_c(1S)$ ,  $\eta_c(2S)$ ,  $\chi_{cJ}$ , and  $h_c$

**Exotics:** hybrids, glueballs and other exotics in  $J/\psi$   
and  $\psi(2S)$  radiative decays;

**Open charm physics:**  $D$ ,  $D^+$ ,  $D_s$  (like CLEO-c)

Improve statistics-hungry analyses

Improved reach for mixing, rare decays, CP violation

Quantum correlations, strong  $K\pi$  phase, ...

Spectroscopy via Dalitz plots

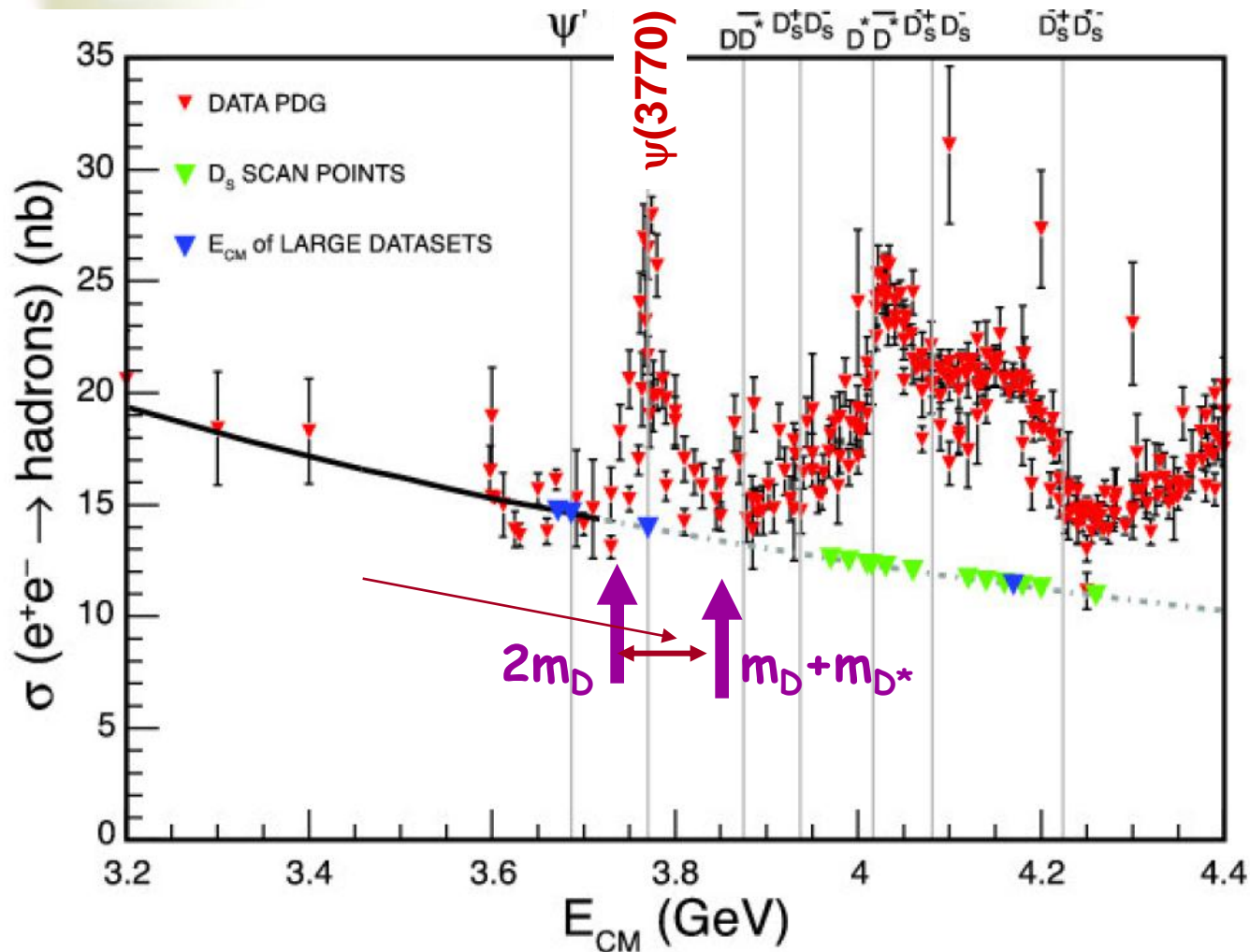
**Energy scans:**  $R_{had}$ , resonances, DD composition, ...

**Tau Physics**

**No doubt many more innovations...**



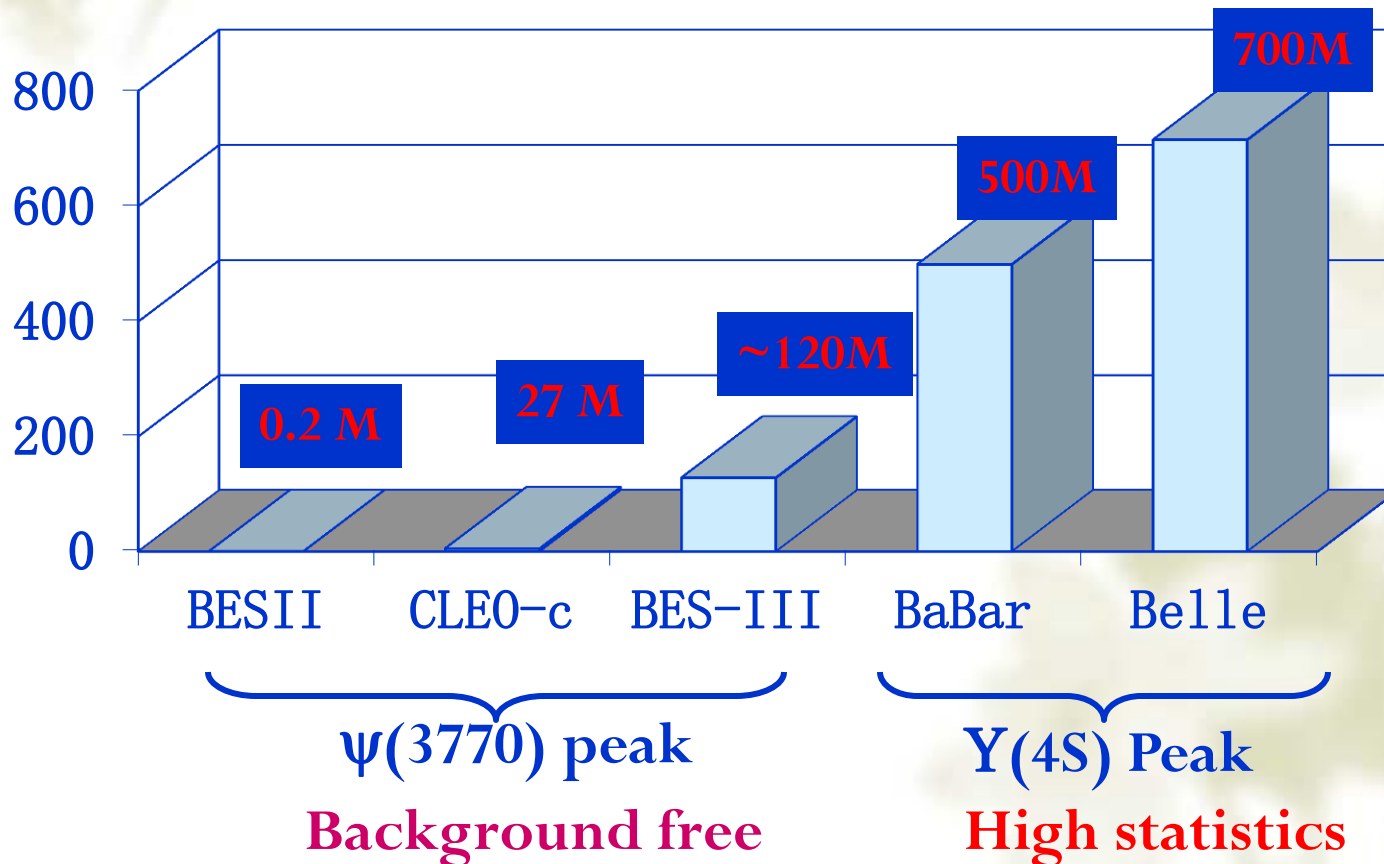
# Charm Physics



If a  $D$  meson is produced here it must recoil from a  $D$  meson & <sub>9</sub>  
 nothing else: *not enough energy to make any other particles*

# Charm Physics

**65 millions DD pairs are expected at BESIII with  $10\text{fb}^{-1}$  @  $3770\text{MeV}$ , while 5 millions at CLEO-c until 2008. But SuperB will produce about 3.2 billions ...**



# Charm Physics

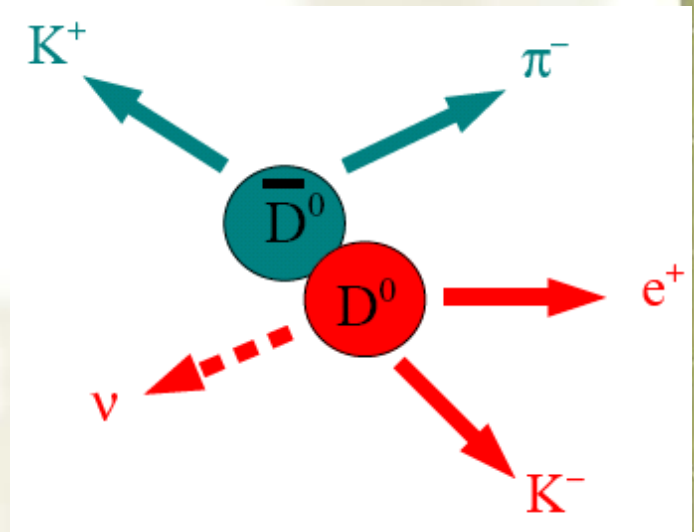
- ◆ Threshold production at 3.773, 4.03, 4.17 GeV

$$e^+e^- \rightarrow D\bar{D}, D_s D_s, D_s D_s^*$$

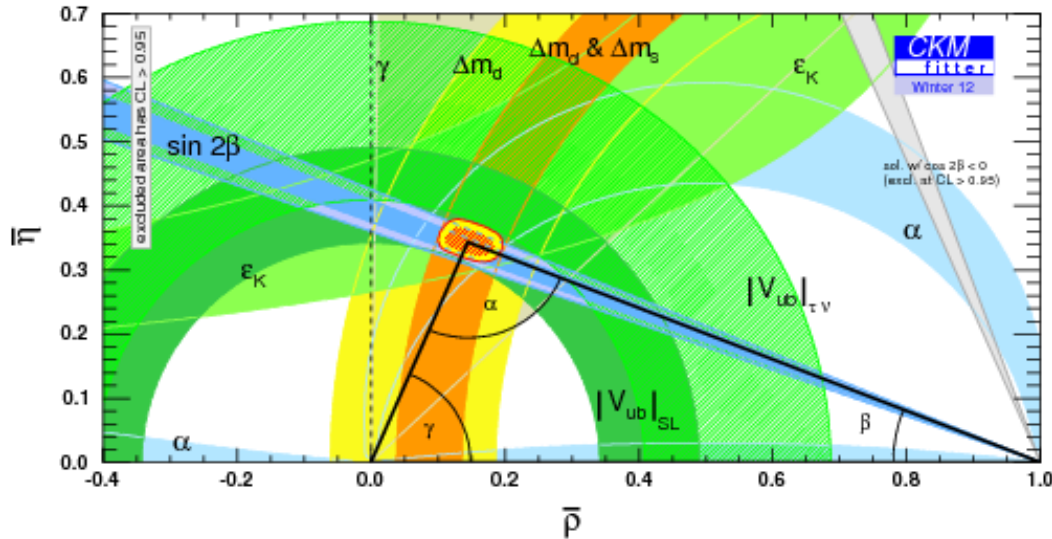
- ◆ Double Tag techniques: (partial-)reconstruct both D mesons

- ◆ Charm events at threshold are very clean

- Ratio of signal to background is optimum
- Lots of systematic uncertainties cancellation while applying double tag method



# Charm's Role in the Big Picture



Flavor Physics:

- \* Over-constrain CKM matrix
- \* Search for New Physics

Difficulties:

- \* Mixing is not theoretically clean
- \*  $V_{ub}$  is not theoretically clean

Example:  $V_{ub}$  from  $B \rightarrow \pi | \nu$

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

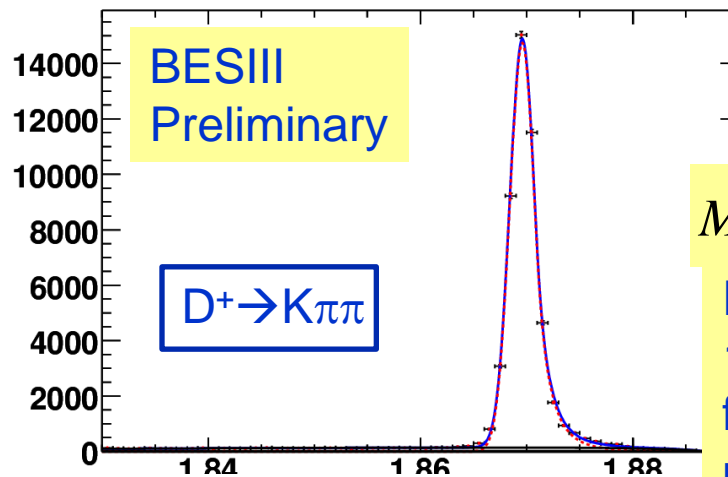
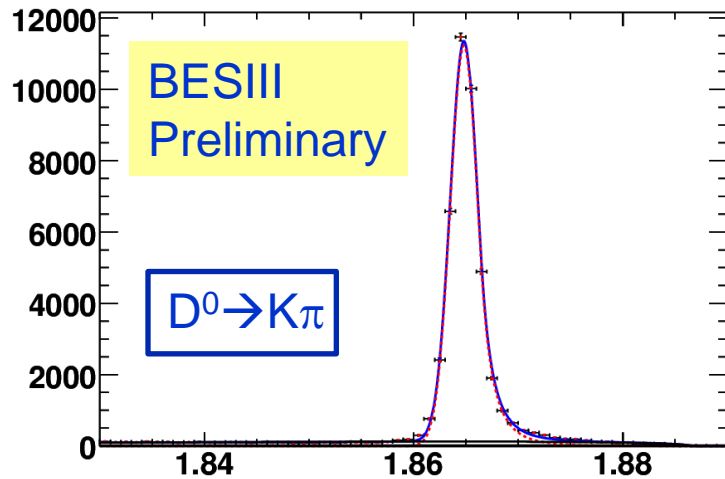
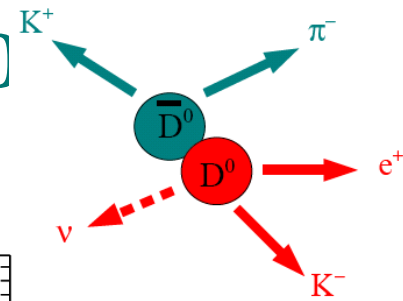
Latest result:

$$V_{ub} \times 10^3 = 3.92 \pm 0.09(\text{exp}) \pm 0.45(\text{theory})$$

- \* Needs inputs from Lattice QCD
- \* Charm physics provides perfect calibration

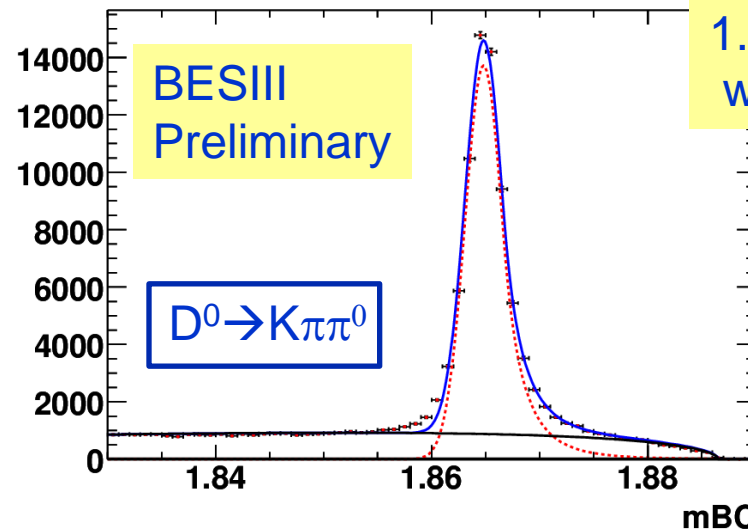
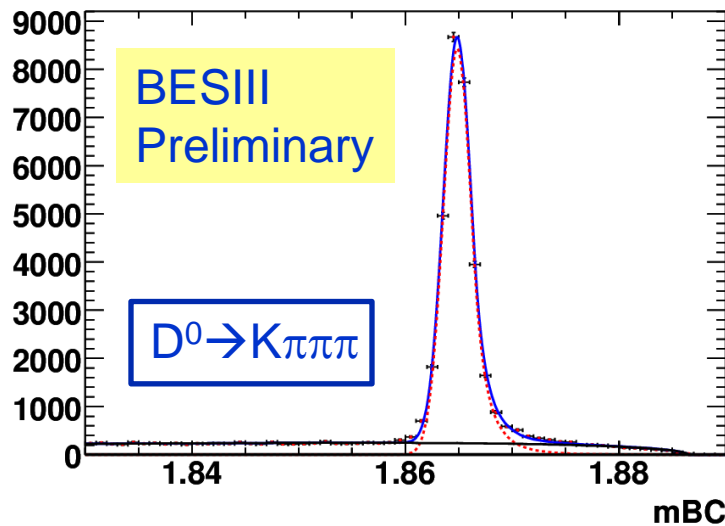
# Tag Mode Reconstructio

@ $\psi(3770)$  with  $420\text{pb}^{-1}$  first clean single tagging sample:



$$M_{BC} = \sqrt{E_{beam}^2 - |P_D|^2}$$

Resolution:  
1.3 MeV  
for pure charged  
modes;  
1.9 MeV for modes  
with one  $\pi^0$ .



# Measurements with Tagged DD

- ❖ **Absolute branching fractions**
- ❖ **Semileptonic decays**
  - ↪  $|V_{cs}|$  and  $|V_{cd}|$  CKM matrix elements
- ❖ **Purely leptonic decays**
  - ↪  $f_D$  and  $f_{D_s}$  decay constants
- ❖ **D-D oscillations**
  - ↪ Exploiting quantum correlations @ the  $\psi(3770)$
- ❖ **CP violation**
- ❖ **Rare/Forbidden decays**

# Prospects for Charm at BESIII

Look for the size of the statistics/systematic/FSR errors for precision measurements at BESIII after CLEO-c.

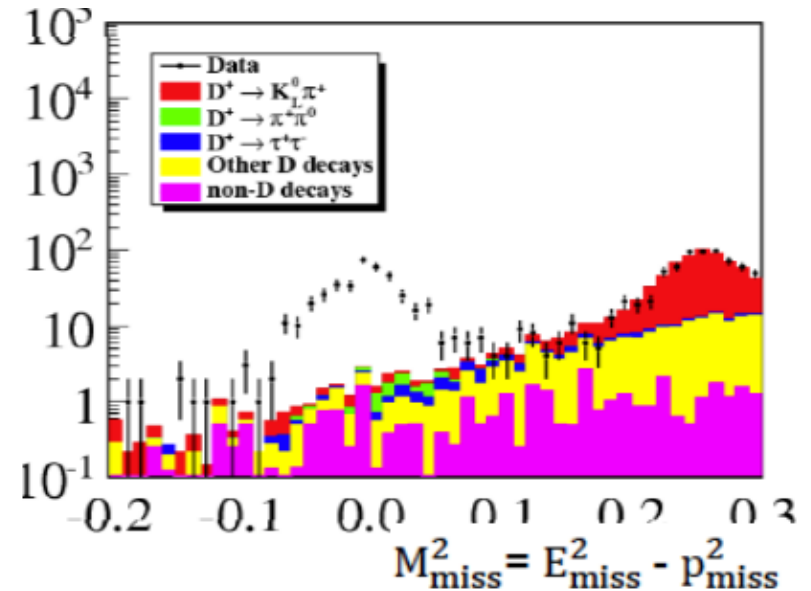
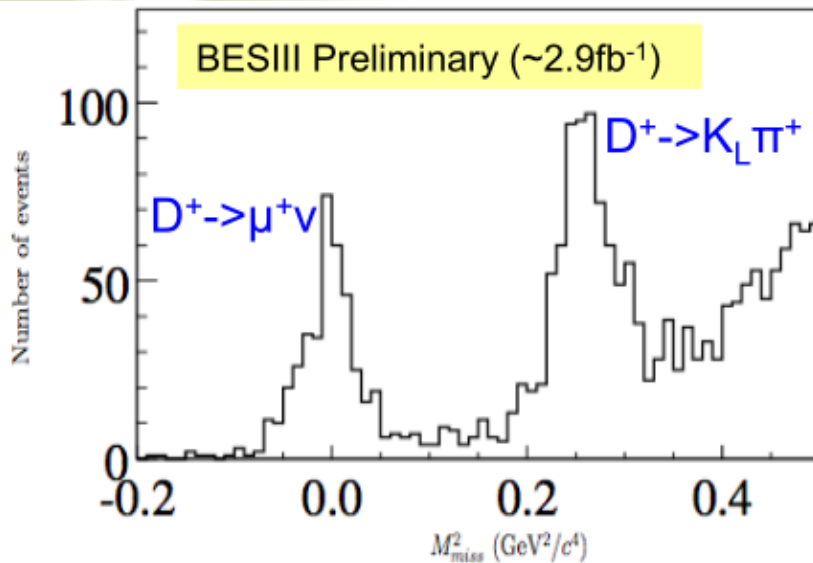
CLEO-c errors for $D^0/D^+$ physics with $818\text{pb}^{-1}$ @ $3770\text{MeV}$	BESIII ( $5\text{fb}^{-1}$ )
$f_{D^+} (D^+ \rightarrow \mu^+ \nu)$ : $\pm 4.1\%$ (stat.) $\pm 1.2\%$ (sys.)	$\pm 2.0\%$ (stat.)
$f_{\pi}(0) (D^0 \rightarrow \pi l \nu)$ : $\pm 5.3\%$ (stat.) $\pm 0.7\%$ (sys.)	$\pm 2.3\%$ (stat.)
$\text{BR}(D^0 \rightarrow K\pi)$ : $\pm 0.9\%$ (stat.) $\pm 1.8\%$ (sys.)	limited by sys.
$\text{BR}(D^+ \rightarrow K\pi\pi)$ : $\pm 1.1\%$ (stat.) $\pm 2.0\%$ (sys.)	limited by sys.

CLEO-c errors for $D_s$ physics with $600\text{pb}^{-1}$ @ $4170\text{ MeV}$	
$f_{D_s} (D_s^+ \rightarrow \mu^+ \nu, \tau \nu)$ : $\pm 2.5\%$ (stat.) $\pm 1.2\%$ (sys.)	$\pm 0.8\%$ (stat.)
$\text{BR}(D_s^+ \rightarrow KK\pi)$ : $\pm 4.2\%$ (stat.) $\pm 2.9\%$ (sys.)	$\pm 2.0\%$ (stat.)

For  $D_s$  physics, BESIII are taking data at both 4010 and 4170 MeV:  
4010 MeV (clean single tag, lower cross section 0.3 nb)  $0.5\text{ fb}^{-1}$  in May 2011  
4170 MeV (dirty single tag, maximum cross section 0.9 nb)  $\rightarrow$  CLEO-c  $0.6\text{ fb}^{-1}$

Significant gains will be made with increased luminosity at BESIII.

# Preliminary Results of $D \rightarrow \mu \nu$



Results:  $N(D^+ \rightarrow \mu^+ \nu) = 377.3 \pm 19.4$   
 $\text{BF}(D^+ \rightarrow \mu^+ \nu) = (3.74 \pm 0.21 \pm 0.06) \times 10^{-4}$

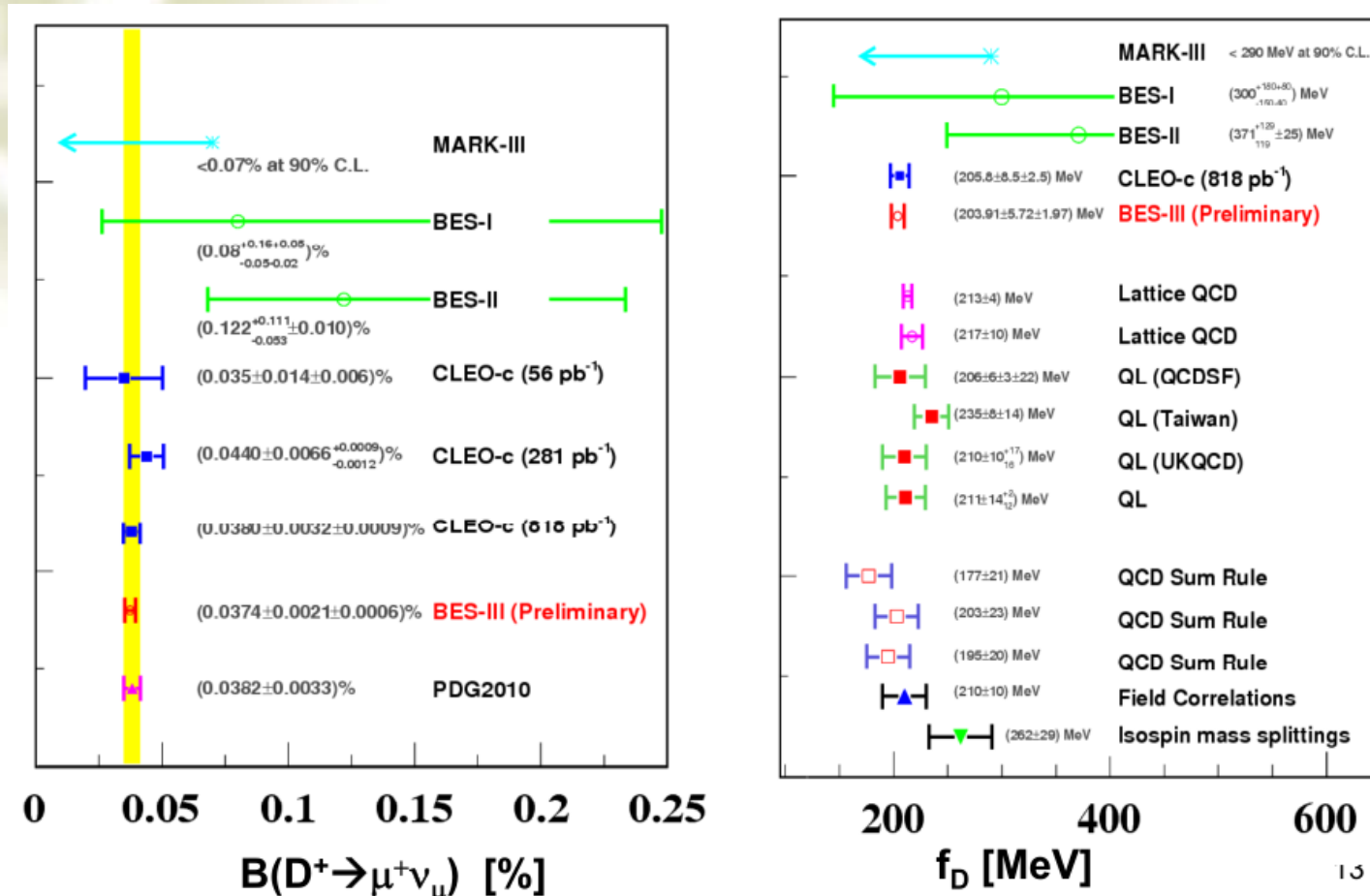


$$\Gamma(D^+ \rightarrow l^+ \nu_l) = \frac{G_F^2 f_{D^+}^2}{8\pi} |V_{cd}|^2 m_l^2 m_{D^+} \left(1 - \frac{m_l^2}{m_{D^+}^2}\right)^2$$

$f_{D^+} = (203.91 \pm 5.72 \pm 1.97) \text{ MeV}$   
 $|V_{cd}| = (0.222 \pm 0.006 \pm 0.005)$



# $D \rightarrow \mu \nu$ : Comparison of BF and $f_D$



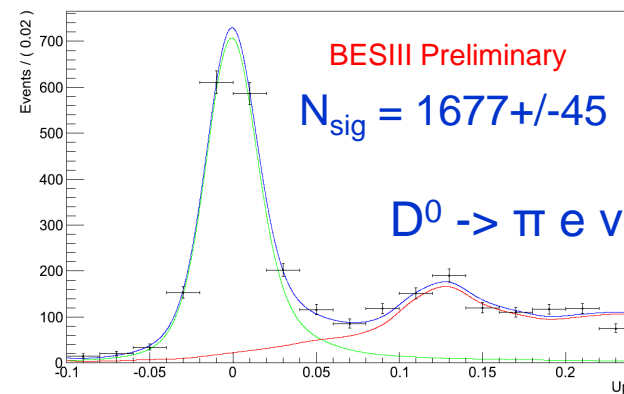
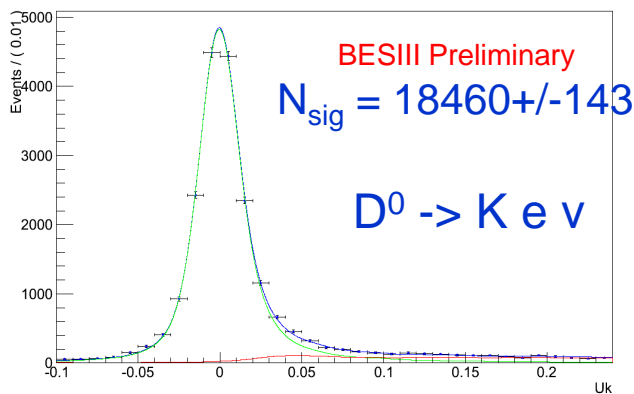
- The most precise measurement is provided by BESIII
- The error is still dominated by statistics, even with  $10 \text{ fb}^{-1}$  D data.

# D → K/π e ν (BF, form factor)

BESIII, ~2.93 fb<sup>-1</sup> data taken at ψ(3770), ~923 pb<sup>-1</sup> analyzed (by two groups, partially blind analysis)

- Double tag technique
- Simple differential decay rate function (massless lepton assumed)

$$\frac{\Delta\Gamma(D \rightarrow \pi(K)e\nu)}{\Gamma(D)} = \frac{G_F^2 |V_{cd(s)}|^2}{\Gamma(D)} p^3 |f_+(q^2)|^2$$



Mode	measured branching fraction(%)	PDG	CLEOc
$\bar{D}^0 \rightarrow K^+ e^- \bar{\nu}$	$3.542 \pm 0.030 \pm 0.067$	$3.55 \pm 0.04$	$3.50 \pm 0.03 \pm 0.04$
$\bar{D}^0 \rightarrow \pi^+ e^- \bar{\nu}$	$0.288 \pm 0.008 \pm 0.005$	$0.289 \pm 0.008$	$0.288 \pm 0.008 \pm 0.003$

\* Preliminary systematics  
 \* Will improve using full (3x) data set in the near future

# Rare and Forbidden decays

## Search for New Physics in Charm Sector:

- Lepton flavor and lepton number violating decays of D decays
- Flavor Changing Neutral Current ( $c \rightarrow u |^+|^-$ )  $\sim 10^{-8}$
- Charm Mixing (Large CPV in mixing indicates New Physics)
- CP Violation - Direct (New Physics could be  $\sim 0\%$ )

## Experimental status:

- the Charm factory is NOT always dominating in these area, especially with LHCb and Super B factories
- the Charm factory (like BESIII) has unique advantage in some particular processes

# Search for $D^0 \rightarrow \gamma\gamma$

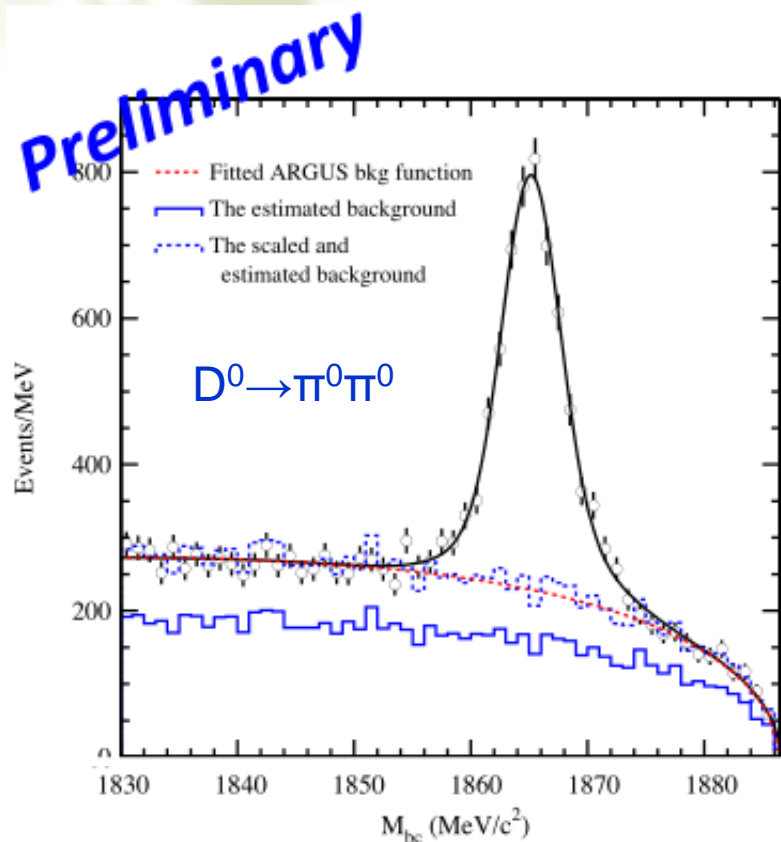
- FCNC transition (i.e.,  $c \rightarrow u + \gamma$ ) and is forbidden at tree level.
- Extremely suppressed in decays of K and B mesons
- Decays of the D mesons: diluted by long-distance contributions
- This small transition rate due to the short distance effect is enhanced by long distance effect, bringing the overall  $B(D^0 \rightarrow \gamma\gamma)$  larger.  
SM:  $B(D^0 \rightarrow \gamma\gamma) \sim 10^{-8}$  or less (i.e., see Fajfer et al. PRD64, 074008 (2001)).
- But, for instance, the minimal super-symmetric standard model predicts the rate could be enhanced by a factor of 100 by exchanging gluino (i.e., see Prelovsek and Wyler, PLB500, 304 (2001)) or  $BR(D^0 \rightarrow \gamma\gamma) \sim 10^{-6}$ .

## Three experimental results so far:

- **CLEO2** looked for this with 13.8/fb taken around  $\Upsilon(4S)$ .  
 $\rightarrow B(D^0 \rightarrow \gamma\gamma) < 2.9 \times 10^{-5}$  @ 90% CL (PRL90, 101801 (2003)).
- **CLEO-c** also looked for based on 818/pb taken at  $\psi(3770)$ .  
 $\rightarrow$  Preliminary result:  $B(D^0 \rightarrow \gamma\gamma) < 8.63 \times 10^{-6}$  @ 90% CL (Charm 2010).
- **BaBar** also has a result with 470.5/fb taken around  $\Upsilon(4S)$ .  
 $\rightarrow B(D^0 \rightarrow \gamma\gamma) < 2.2 \times 10^{-6}$  @ 90% CL (hep-ex:1110.6480).

# Search for $D^0 \rightarrow \gamma\gamma$

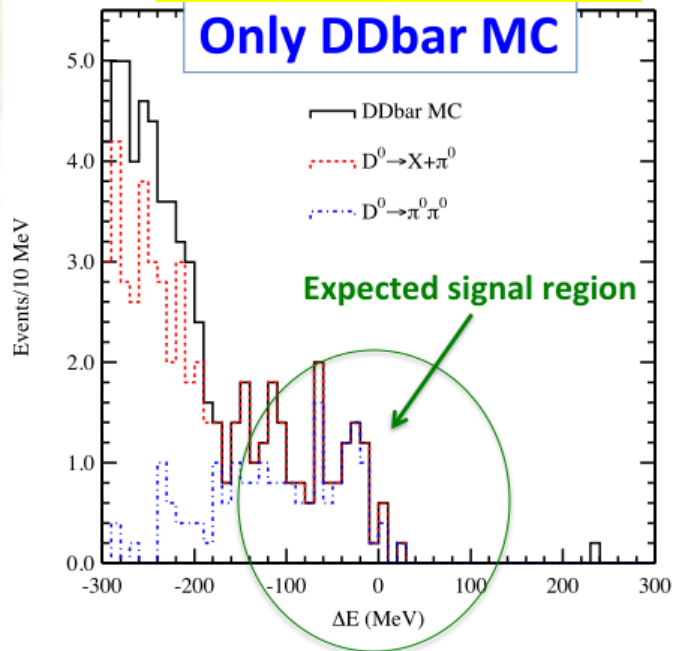
- Measure with  $D^0 \rightarrow \pi^0\pi^0$  decays which share some of the common backgrounds (i.e., continuum such as  $e^+e^- \rightarrow \gamma^* \rightarrow q\bar{q}$ ).



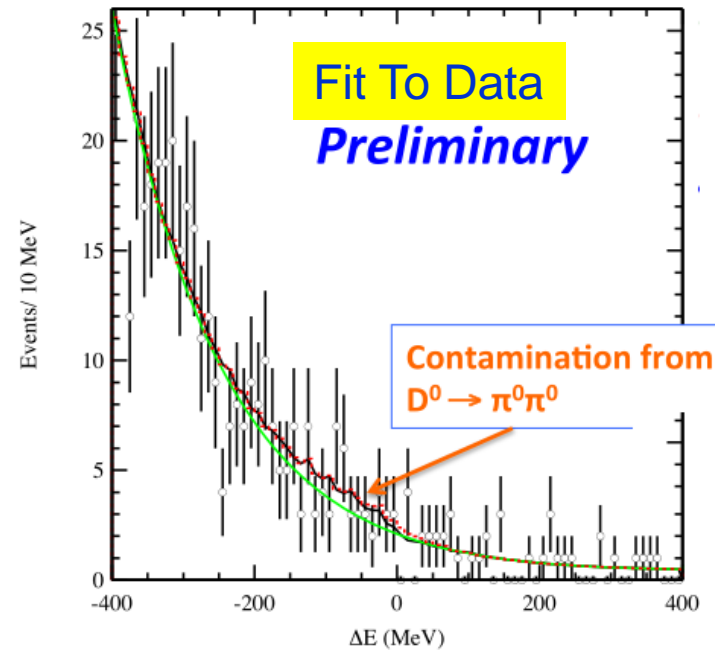
- **4081±117 signal events.**
- The resultant **preliminary**  $B(D^0 \rightarrow \pi^0\pi^0)$  is consistent with the known value (PDG and the latest result from BaBar).
- The total MC-based background (solid-blue) underestimates the one seen in data: Needed to scale it UP (dashed-blue) by  $(49 \pm 2)\%$  to match to data!  
We attribute this to poor simulation of “non-DDbar” components.

# Search for $D^0 \rightarrow \gamma\gamma$

Peaking Background



- Gives:  $-2.9 \pm 7.1$  events
- No significant signals.
- Larger stat. error than MC's due to the underestimated non-DD components:



$$\frac{B(D^0 \rightarrow \gamma\gamma)}{B(D^0 \rightarrow \pi^0 \pi^0)} < 5.8 \times 10^{-3} \text{ UL @ 90\% CL}$$

corresponds to

$$B(D^0 \rightarrow \gamma\gamma) < 4.6 \times 10^{-6} \text{ UL @ 90\% CL.}$$

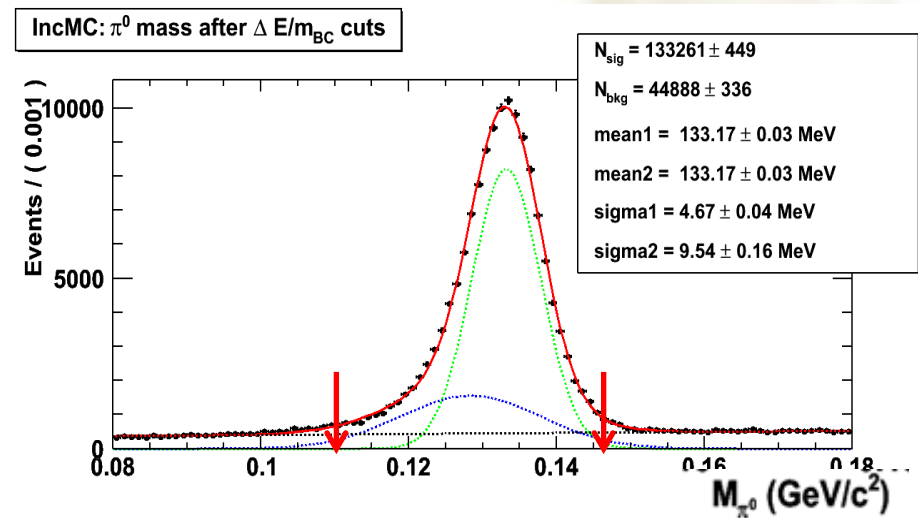
- to improve systematics of  $D^0 \rightarrow \pi^0 \pi^0$
- to test with double tag method

# FCNC Process: $D^0 \rightarrow \pi^0 \nu \bar{\nu}$

- Another typical FCNC process
- First measurement
- Unique measurement in the Charm factory
- Double tag technique and blind analysis
- Tag modes:  $D \rightarrow K\pi, D \rightarrow K\pi\pi^0, D \rightarrow K\pi\pi\pi$

To select the signals:

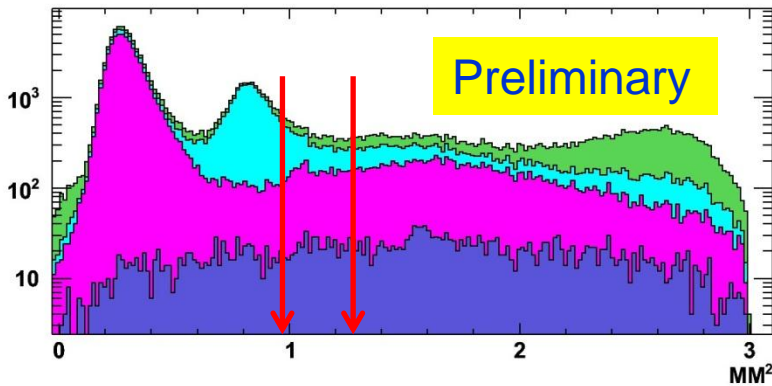
- reconstruct  $\pi^0$  with the two leading showers among the unused shower
- no additional charged tracks



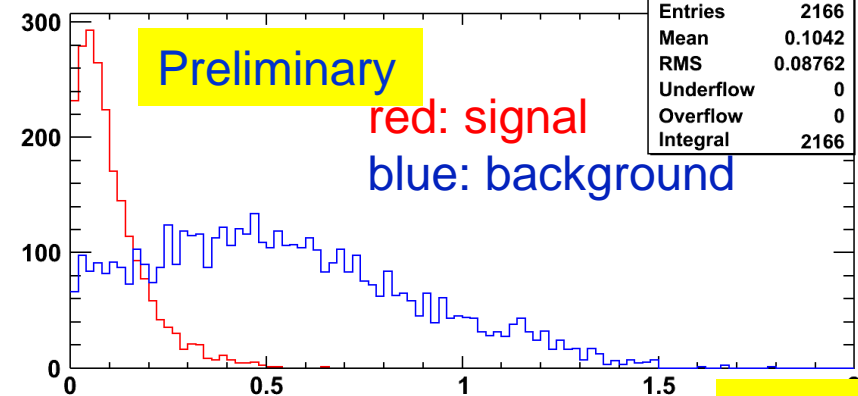
# FCNC Process: $D^0 \rightarrow \pi^0 \nu \bar{\nu}$

- Define  $MM^2 = (E_{cms} - E_{tagD} - E_{\pi^0 fit})^2 - (P_{cms} - P_{tagD} - P_{\pi^0 fit})^2$ 
  - choose  $MM^2 \in [1.0, 1.3]$  as our signal region to keep away from the peaking of  $K_L$  (hot pink) and  $K^*$  (aqua).
- Define  $E_{extral}$  as the total energy of the rest of the showers in the EMC

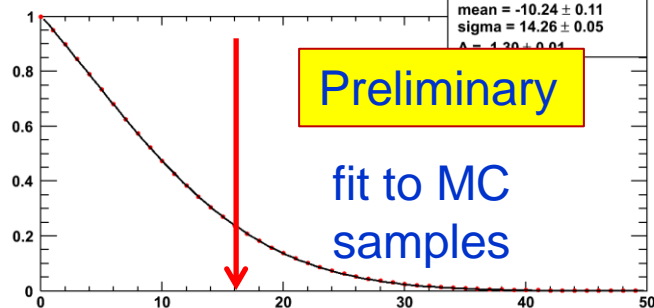
cocktail MC



extraEnergy [1.0,1.3]



scan likelihood



Preliminary Results based on MC study:

$$BF(D^0 \rightarrow \pi^0 \nu \bar{\nu}) : 1.4 \times 10^{-3} @ 90\% \text{ C.L.}$$

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# Summary

- ⊕ **BEPCII/BESIII with successful commission and data taking**
- ⊕ **Lots of interesting physics at  $D$  energies**
- ⊕ **Many measurements are unique to BESIII**
- ⊕ **We expect rich physics results in the coming years from BESIII.**
- ⊕ **Super- $\tau$ -charm will provide us many opportunities**



**Thank You!**